

PREA Facility Audit Report: Final

Name of Facility: State Correctional Institution Mahanoy

Facility Type: Prison / Jail

Date Interim Report Submitted: NA

Date Final Report Submitted: 11/21/2023

Auditor Certification	
The contents of this report are accurate to the best of my knowledge.	<input type="checkbox"/>
No conflict of interest exists with respect to my ability to conduct an audit of the agency under review.	<input type="checkbox"/>
I have not included in the final report any personally identifiable information (PII) about any inmate/resident/detainee or staff member, except where the names of administrative personnel are specifically requested in the report template.	<input type="checkbox"/>
Auditor Full Name as Signed: Marc Coudriet	Date of Signature: 11/21/ 2023

AUDITOR INFORMATION	
Auditor name:	Coudriet, Marc
Email:	usmc58312215@outlook.com
Start Date of On-Site Audit:	10/23/2023
End Date of On-Site Audit:	10/25/2023

FACILITY INFORMATION	
Facility name:	State Correctional Institution Mahanoy
Facility physical address:	301 Morea Road, Frackville, Pennsylvania - 17932
Facility mailing address:	

Primary Contact	
Name:	Jeanne Kanjorski
Email Address:	jekanjorski@pa.gov
Telephone Number:	(570)773-8025

Warden/Jail Administrator/Sheriff/Director	
Name:	Bernadette Mason
Email Address:	bmason@pa.gov
Telephone Number:	(570)773-2158 x8100

Facility PREA Compliance Manager	
Name:	Jeanne Kanjorski
Email Address:	jekanjorski@pa.gov
Telephone Number:	O: (570) 773-8025
Name:	Ann Marie Whah
Email Address:	awhah@pa.gov
Telephone Number:	O: 5707732158 x8586

Facility Health Service Administrator On-site	
Name:	Christina Hauser
Email Address:	chauser@pa.gov
Telephone Number:	(570)773-2158 x8491

Facility Characteristics	
Designed facility capacity:	2306
Current population of facility:	2063

Average daily population for the past 12 months:	2036
Has the facility been over capacity at any point in the past 12 months?	No
Which population(s) does the facility hold?	Males
Age range of population:	18-81
Facility security levels/inmate custody levels:	medium (3)
Does the facility hold youthful inmates?	No
Number of staff currently employed at the facility who may have contact with inmates:	581
Number of individual contractors who have contact with inmates, currently authorized to enter the facility:	39
Number of volunteers who have contact with inmates, currently authorized to enter the facility:	1

AGENCY INFORMATION

Name of agency:	Pennsylvania Department of Corrections
Governing authority or parent agency (if applicable):	
Physical Address:	1921 Technology Parkway, Mechanicsburg, Pennsylvania - 17050
Mailing Address:	
Telephone number:	7177282573

Agency Chief Executive Officer Information:

Name:	Laurel Harry
Email Address:	██████@pa.gov

Telephone Number:	717-728-2573
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Agency-Wide PREA Coordinator Information	
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Name:	Stephen Petersheim	Email Address:	spetershei@pa.gov
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Facility AUDIT FINDINGS

Summary of Audit Findings

The OAS automatically populates the number and list of Standards exceeded, the number of Standards met, and the number and list of Standards not met.

Auditor Note: In general, no standards should be found to be "Not Applicable" or "NA." A compliance determination must be made for each standard. In rare instances where an auditor determines that a standard is not applicable, the auditor should select "Meets Standard" and include a comprehensive discussion as to why the standard is not applicable to the facility being audited.

Number of standards exceeded:	
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4	<ul style="list-style-type: none"> • 115.11 - Zero tolerance of sexual abuse and sexual harassment; PREA coordinator • 115.13 - Supervision and monitoring • 115.31 - Employee training • 115.33 - Inmate education
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Number of standards met:	
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41	
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Number of standards not met:	
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0	
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POST-AUDIT REPORTING INFORMATION

GENERAL AUDIT INFORMATION

On-site Audit Dates

1. Start date of the onsite portion of the audit:	2023-10-23
2. End date of the onsite portion of the audit:	2023-10-25

Outreach

10. Did you attempt to communicate with community-based organization(s) or victim advocates who provide services to this facility and/or who may have insight into relevant conditions in the facility?	<input checked="" type="radio"/> Yes <input type="radio"/> No
a. Identify the community-based organization(s) or victim advocates with whom you communicated:	Sexual Assault Resource and Counseling Center of Lebanon and Schuykill County.

AUDITED FACILITY INFORMATION

14. Designated facility capacity:	2306
15. Average daily population for the past 12 months:	2036
16. Number of inmate/resident/detainee housing units:	11
17. Does the facility ever hold youthful inmates or youthful/juvenile detainees?	<input type="radio"/> Yes <input checked="" type="radio"/> No <input type="radio"/> Not Applicable for the facility type audited (i.e., Community Confinement Facility or Juvenile Facility)

Audited Facility Population Characteristics on Day One of the Onsite Portion of the Audit

Inmates/Residents/Detainees Population Characteristics on Day One of the Onsite Portion of the Audit

36. Enter the total number of inmates/residents/detainees in the facility as of the first day of onsite portion of the audit:	2023
38. Enter the total number of inmates/residents/detainees with a physical disability in the facility as of the first day of the onsite portion of the audit:	75
39. Enter the total number of inmates/residents/detainees with a cognitive or functional disability (including intellectual disability, psychiatric disability, or speech disability) in the facility as of the first day of the onsite portion of the audit:	873
40. Enter the total number of inmates/residents/detainees who are Blind or have low vision (visually impaired) in the facility as of the first day of the onsite portion of the audit:	72
41. Enter the total number of inmates/residents/detainees who are Deaf or hard-of-hearing in the facility as of the first day of the onsite portion of the audit:	0
42. Enter the total number of inmates/residents/detainees who are Limited English Proficient (LEP) in the facility as of the first day of the onsite portion of the audit:	25
43. Enter the total number of inmates/residents/detainees who identify as lesbian, gay, or bisexual in the facility as of the first day of the onsite portion of the audit:	50

<p>44. Enter the total number of inmates/residents/detainees who identify as transgender or intersex in the facility as of the first day of the onsite portion of the audit:</p>	<p>21</p>
<p>45. Enter the total number of inmates/residents/detainees who reported sexual abuse in the facility as of the first day of the onsite portion of the audit:</p>	<p>36</p>
<p>46. Enter the total number of inmates/residents/detainees who disclosed prior sexual victimization during risk screening in the facility as of the first day of the onsite portion of the audit:</p>	<p>18</p>
<p>47. Enter the total number of inmates/residents/detainees who were ever placed in segregated housing/isolation for risk of sexual victimization in the facility as of the first day of the onsite portion of the audit:</p>	<p>0</p>
<p>48. Provide any additional comments regarding the population characteristics of inmates/residents/detainees in the facility as of the first day of the onsite portion of the audit (e.g., groups not tracked, issues with identifying certain populations):</p>	<p>None.</p>
<p>Staff, Volunteers, and Contractors Population Characteristics on Day One of the Onsite Portion of the Audit</p>	
<p>49. Enter the total number of STAFF, including both full- and part-time staff, employed by the facility as of the first day of the onsite portion of the audit:</p>	<p>581</p>
<p>50. Enter the total number of VOLUNTEERS assigned to the facility as of the first day of the onsite portion of the audit who have contact with inmates/residents/detainees:</p>	<p>1</p>

51. Enter the total number of CONTRACTORS assigned to the facility as of the first day of the onsite portion of the audit who have contact with inmates/residents/detainees:	39
52. Provide any additional comments regarding the population characteristics of staff, volunteers, and contractors who were in the facility as of the first day of the onsite portion of the audit:	The assigned volunteer was not present for interviewing during the on-site review.
INTERVIEWS	
Inmate/Resident/Detainee Interviews	
Random Inmate/Resident/Detainee Interviews	
53. Enter the total number of RANDOM INMATES/RESIDENTS/DETAINEES who were interviewed:	20
54. Select which characteristics you considered when you selected RANDOM INMATE/RESIDENT/DETAINEE interviewees: (select all that apply)	<input checked="" type="checkbox"/> Age <input checked="" type="checkbox"/> Race <input checked="" type="checkbox"/> Ethnicity (e.g., Hispanic, Non-Hispanic) <input checked="" type="checkbox"/> Length of time in the facility <input checked="" type="checkbox"/> Housing assignment <input type="checkbox"/> Gender <input type="checkbox"/> Other <input type="checkbox"/> None
55. How did you ensure your sample of RANDOM INMATE/RESIDENT/DETAINEE interviewees was geographically diverse?	Randomly selected inmates from the housing rosters.
56. Were you able to conduct the minimum number of random inmate/resident/detainee interviews?	<input checked="" type="radio"/> Yes <input type="radio"/> No

57. Provide any additional comments regarding selecting or interviewing random inmates/residents/detainees (e.g., any populations you oversampled, barriers to completing interviews, barriers to ensuring representation):	None.
Targeted Inmate/Resident/Detainee Interviews	
58. Enter the total number of TARGETED INMATES/RESIDENTS/DETAINEES who were interviewed:	24
<p>As stated in the PREA Auditor Handbook, the breakdown of targeted interviews is intended to guide auditors in interviewing the appropriate cross-section of inmates/residents/detainees who are the most vulnerable to sexual abuse and sexual harassment. When completing questions regarding targeted inmate/resident/detainee interviews below, remember that an interview with one inmate/resident/detainee may satisfy multiple targeted interview requirements. These questions are asking about the number of interviews conducted using the targeted inmate/resident/detainee protocols. For example, if an auditor interviews an inmate who has a physical disability, is being held in segregated housing due to risk of sexual victimization, and disclosed prior sexual victimization, that interview would be included in the totals for each of those questions. Therefore, in most cases, the sum of all the following responses to the targeted inmate/resident/detainee interview categories will exceed the total number of targeted inmates/residents/detainees who were interviewed. If a particular targeted population is not applicable in the audited facility, enter "0".</p>	
60. Enter the total number of interviews conducted with inmates/residents/detainees with a physical disability using the "Disabled and Limited English Proficient Inmates" protocol:	2
61. Enter the total number of interviews conducted with inmates/residents/detainees with a cognitive or functional disability (including intellectual disability, psychiatric disability, or speech disability) using the "Disabled and Limited English Proficient Inmates" protocol:	5
62. Enter the total number of interviews conducted with inmates/residents/detainees who are Blind or have low vision (i.e., visually impaired) using the "Disabled and Limited English Proficient Inmates" protocol:	1

<p>63. Enter the total number of interviews conducted with inmates/residents/detainees who are Deaf or hard-of-hearing using the "Disabled and Limited English Proficient Inmates" protocol:</p>	<p>0</p>
<p>a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:</p>	<p><input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees.</p> <p><input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed.</p>
<p>b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</p>	<p>Interviews and placement rosters did not reveal an inmate in this category available for interview.</p>
<p>64. Enter the total number of interviews conducted with inmates/residents/detainees who are Limited English Proficient (LEP) using the "Disabled and Limited English Proficient Inmates" protocol:</p>	<p>3</p>
<p>65. Enter the total number of interviews conducted with inmates/residents/detainees who identify as lesbian, gay, or bisexual using the "Transgender and Intersex Inmates; Gay, Lesbian, and Bisexual Inmates" protocol:</p>	<p>1</p>
<p>66. Enter the total number of interviews conducted with inmates/residents/detainees who identify as transgender or intersex using the "Transgender and Intersex Inmates; Gay, Lesbian, and Bisexual Inmates" protocol:</p>	<p>5</p>

<p>67. Enter the total number of interviews conducted with inmates/residents/detainees who reported sexual abuse in this facility using the "Inmates who Reported a Sexual Abuse" protocol:</p>	<p>4</p>
<p>68. Enter the total number of interviews conducted with inmates/residents/detainees who disclosed prior sexual victimization during risk screening using the "Inmates who Disclosed Sexual Victimization during Risk Screening" protocol:</p>	<p>3</p>
<p>69. Enter the total number of interviews conducted with inmates/residents/detainees who are or were ever placed in segregated housing/isolation for risk of sexual victimization using the "Inmates Placed in Segregated Housing (for Risk of Sexual Victimization/Who Allege to have Suffered Sexual Abuse)" protocol:</p>	<p>0</p>
<p>a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:</p>	<p><input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees.</p> <p><input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed.</p>
<p>b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</p>	<p>Interviews and placement rosters did not reveal an inmate in this category available for interview.</p>
<p>70. Provide any additional comments regarding selecting or interviewing targeted inmates/residents/detainees (e.g., any populations you oversampled, barriers to completing interviews):</p>	<p>None.</p>

Staff, Volunteer, and Contractor Interviews

Random Staff Interviews

71. Enter the total number of RANDOM STAFF who were interviewed:

12

72. Select which characteristics you considered when you selected RANDOM STAFF interviewees: (select all that apply)

- Length of tenure in the facility
- Shift assignment
- Work assignment
- Rank (or equivalent)
- Other (e.g., gender, race, ethnicity, languages spoken)
- None

73. Were you able to conduct the minimum number of RANDOM STAFF interviews?

- Yes
- No

74. Provide any additional comments regarding selecting or interviewing random staff (e.g., any populations you oversampled, barriers to completing interviews, barriers to ensuring representation):

None

Specialized Staff, Volunteers, and Contractor Interviews

Staff in some facilities may be responsible for more than one of the specialized staff duties. Therefore, more than one interview protocol may apply to an interview with a single staff member and that information would satisfy multiple specialized staff interview requirements.

75. Enter the total number of staff in a SPECIALIZED STAFF role who were interviewed (excluding volunteers and contractors):

16

76. Were you able to interview the Agency Head?

- Yes
- No

77. Were you able to interview the Warden/Facility Director/Superintendent or their designee?	<input checked="" type="radio"/> Yes <input type="radio"/> No
78. Were you able to interview the PREA Coordinator?	<input checked="" type="radio"/> Yes <input type="radio"/> No
79. Were you able to interview the PREA Compliance Manager?	<input checked="" type="radio"/> Yes <input type="radio"/> No <input type="radio"/> NA (NA if the agency is a single facility agency or is otherwise not required to have a PREA Compliance Manager per the Standards)

80. Select which SPECIALIZED STAFF roles were interviewed as part of this audit from the list below: (select all that apply)

- Agency contract administrator
- Intermediate or higher-level facility staff responsible for conducting and documenting unannounced rounds to identify and deter staff sexual abuse and sexual harassment
- Line staff who supervise youthful inmates (if applicable)
- Education and program staff who work with youthful inmates (if applicable)
- Medical staff
- Mental health staff
- Non-medical staff involved in cross-gender strip or visual searches
- Administrative (human resources) staff
- Sexual Assault Forensic Examiner (SAFE) or Sexual Assault Nurse Examiner (SANE) staff
- Investigative staff responsible for conducting administrative investigations
- Investigative staff responsible for conducting criminal investigations
- Staff who perform screening for risk of victimization and abusiveness
- Staff who supervise inmates in segregated housing/residents in isolation
- Staff on the sexual abuse incident review team
- Designated staff member charged with monitoring retaliation
- First responders, both security and non-security staff
- Intake staff

	<input type="checkbox"/> Other
81. Did you interview VOLUNTEERS who may have contact with inmates/residents/detainees in this facility?	<input type="radio"/> Yes <input checked="" type="radio"/> No
82. Did you interview CONTRACTORS who may have contact with inmates/residents/detainees in this facility?	<input checked="" type="radio"/> Yes <input type="radio"/> No
a. Enter the total number of CONTRACTORS who were interviewed:	2
b. Select which specialized CONTRACTOR role(s) were interviewed as part of this audit from the list below: (select all that apply)	<input type="checkbox"/> Security/detention <input type="checkbox"/> Education/programming <input checked="" type="checkbox"/> Medical/dental <input type="checkbox"/> Food service <input type="checkbox"/> Maintenance/construction <input type="checkbox"/> Other
83. Provide any additional comments regarding selecting or interviewing specialized staff.	The 2023 Agency Audit Report was used to complete the agency level interviews.

SITE REVIEW AND DOCUMENTATION SAMPLING

Site Review

PREA Standard 115.401 (h) states, "The auditor shall have access to, and shall observe, all areas of the audited facilities." In order to meet the requirements in this Standard, the site review portion of the onsite audit must include a thorough examination of the entire facility. The site review is not a casual tour of the facility. It is an active, inquiring process that includes talking with staff and inmates to determine whether, and the extent to which, the audited facility's practices demonstrate compliance with the Standards. Note: As you are conducting the site review, you must document your tests of critical functions, important information gathered through observations, and any issues identified with facility practices. The information you collect through the site review is a crucial part of the evidence you will analyze as part of your compliance determinations and will be needed to complete your audit report, including the Post-Audit Reporting Information.

84. Did you have access to all areas of the facility?

Yes

No

Was the site review an active, inquiring process that included the following:

85. Observations of all facility practices in accordance with the site review component of the audit instrument (e.g., signage, supervision practices, cross-gender viewing and searches)?

Yes

No

86. Tests of all critical functions in the facility in accordance with the site review component of the audit instrument (e.g., risk screening process, access to outside emotional support services, interpretation services)?

Yes

No

87. Informal conversations with inmates/residents/detainees during the site review (encouraged, not required)?

Yes

No

88. Informal conversations with staff during the site review (encouraged, not required)?

Yes

No

<p>89. Provide any additional comments regarding the site review (e.g., access to areas in the facility, observations, tests of critical functions, or informal conversations).</p>	<p>This facility has a multitude of work assignments and programming opportunities in a large area. Therefore, it is recommended this facility is considered for additional state or grant funds to implement additional cameras and an RFID inmate tracking system.</p>
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Documentation Sampling

Where there is a collection of records to review-such as staff, contractor, and volunteer training records; background check records; supervisory rounds logs; risk screening and intake processing records; inmate education records; medical files; and investigative files-auditors must self-select for review a representative sample of each type of record.

<p>90. In addition to the proof documentation selected by the agency or facility and provided to you, did you also conduct an auditor-selected sampling of documentation?</p>	<p><input checked="" type="radio"/> Yes <input type="radio"/> No</p>
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<p>91. Provide any additional comments regarding selecting additional documentation (e.g., any documentation you oversampled, barriers to selecting additional documentation, etc.).</p>	<p>None</p>
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SEXUAL ABUSE AND SEXUAL HARASSMENT ALLEGATIONS AND INVESTIGATIONS IN THIS FACILITY

Sexual Abuse and Sexual Harassment Allegations and Investigations Overview

Remember the number of allegations should be based on a review of all sources of allegations (e.g., hotline, third-party, grievances) and should not be based solely on the number of investigations conducted. Note: For question brevity, we use the term “inmate” in the following questions. Auditors should provide information on inmate, resident, or detainee sexual abuse allegations and investigations, as applicable to the facility type being audited.

92. Total number of SEXUAL ABUSE allegations and investigations overview during the 12 months preceding the audit, by incident type:

	# of sexual abuse allegations	# of criminal investigations	# of administrative investigations	# of allegations that had both criminal and administrative investigations
Inmate-on-inmate sexual abuse	32	32	32	32
Staff-on-inmate sexual abuse	5	5	5	5
Total	37	37	37	37

93. Total number of SEXUAL HARASSMENT allegations and investigations overview during the 12 months preceding the audit, by incident type:

	# of sexual harassment allegations	# of criminal investigations	# of administrative investigations	# of allegations that had both criminal and administrative investigations
Inmate-on-inmate sexual harassment	11	0	11	0
Staff-on-inmate sexual harassment	11	0	11	0
Total	22	0	22	0

Sexual Abuse and Sexual Harassment Investigation Outcomes

Sexual Abuse Investigation Outcomes

Note: these counts should reflect where the investigation is currently (i.e., if a criminal investigation was referred for prosecution and resulted in a conviction, that investigation outcome should only appear in the count for “convicted.”) Do not double count. Additionally, for question brevity, we use the term “inmate” in the following questions. Auditors should provide information on inmate, resident, and detainee sexual abuse investigation files, as applicable to the facility type being audited.

94. Criminal SEXUAL ABUSE investigation outcomes during the 12 months preceding the audit:

	Ongoing	Referred for Prosecution	Indicted/ Court Case Filed	Convicted/ Adjudicated	Acquitted
Inmate-on-inmate sexual abuse	9	0	0	0	0
Staff-on-inmate sexual abuse	2	0	0	0	0
Total	11	0	0	0	0

95. Administrative SEXUAL ABUSE investigation outcomes during the 12 months preceding the audit:

	Ongoing	Unfounded	Unsubstantiated	Substantiated
Inmate-on-inmate sexual abuse	0	6	16	0
Staff-on-inmate sexual abuse	0	2	2	0
Total	0	8	18	0

Sexual Harassment Investigation Outcomes

Note: these counts should reflect where the investigation is currently. Do not double count. Additionally, for question brevity, we use the term “inmate” in the following questions. Auditors should provide information on inmate, resident, and detainee sexual harassment investigation files, as applicable to the facility type being audited.

96. Criminal SEXUAL HARASSMENT investigation outcomes during the 12 months preceding the audit:

	Ongoing	Referred for Prosecution	Indicted/ Court Case Filed	Convicted/ Adjudicated	Acquitted
Inmate-on-inmate sexual harassment	0	0	0	0	0
Staff-on-inmate sexual harassment	0	0	0	0	0
Total	0	0	0	0	0

97. Administrative SEXUAL HARASSMENT investigation outcomes during the 12 months preceding the audit:

	Ongoing	Unfounded	Unsubstantiated	Substantiated
Inmate-on-inmate sexual harassment	2	0	9	0
Staff-on-inmate sexual harassment	0	5	5	1
Total	2	5	14	1

Sexual Abuse and Sexual Harassment Investigation Files Selected for Review

Sexual Abuse Investigation Files Selected for Review

98. Enter the total number of SEXUAL ABUSE investigation files reviewed/ sampled:	22
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<p>99. Did your selection of SEXUAL ABUSE investigation files include a cross-section of criminal and/or administrative investigations by findings/outcomes?</p>	<p><input checked="" type="radio"/> Yes</p> <p><input type="radio"/> No</p> <p><input type="radio"/> NA (NA if you were unable to review any sexual abuse investigation files)</p>
<p>Inmate-on-inmate sexual abuse investigation files</p>	
<p>100. Enter the total number of INMATE-ON-INMATE SEXUAL ABUSE investigation files reviewed/sampled:</p>	<p>17</p>
<p>101. Did your sample of INMATE-ON-INMATE SEXUAL ABUSE investigation files include criminal investigations?</p>	<p><input checked="" type="radio"/> Yes</p> <p><input type="radio"/> No</p> <p><input type="radio"/> NA (NA if you were unable to review any inmate-on-inmate sexual abuse investigation files)</p>
<p>102. Did your sample of INMATE-ON-INMATE SEXUAL ABUSE investigation files include administrative investigations?</p>	<p><input checked="" type="radio"/> Yes</p> <p><input type="radio"/> No</p> <p><input type="radio"/> NA (NA if you were unable to review any inmate-on-inmate sexual abuse investigation files)</p>
<p>Staff-on-inmate sexual abuse investigation files</p>	
<p>103. Enter the total number of STAFF-ON-INMATE SEXUAL ABUSE investigation files reviewed/sampled:</p>	<p>5</p>
<p>104. Did your sample of STAFF-ON-INMATE SEXUAL ABUSE investigation files include criminal investigations?</p>	<p><input checked="" type="radio"/> Yes</p> <p><input type="radio"/> No</p> <p><input type="radio"/> NA (NA if you were unable to review any staff-on-inmate sexual abuse investigation files)</p>

<p>105. Did your sample of STAFF-ON-INMATE SEXUAL ABUSE investigation files include administrative investigations?</p>	<p><input checked="" type="radio"/> Yes</p> <p><input type="radio"/> No</p> <p><input type="radio"/> NA (NA if you were unable to review any staff-on-inmate sexual abuse investigation files)</p>
<p>Sexual Harassment Investigation Files Selected for Review</p>	
<p>106. Enter the total number of SEXUAL HARASSMENT investigation files reviewed/sampled:</p>	<p>13</p>
<p>107. Did your selection of SEXUAL HARASSMENT investigation files include a cross-section of criminal and/or administrative investigations by findings/outcomes?</p>	<p><input checked="" type="radio"/> Yes</p> <p><input type="radio"/> No</p> <p><input type="radio"/> NA (NA if you were unable to review any sexual harassment investigation files)</p>
<p>Inmate-on-inmate sexual harassment investigation files</p>	
<p>108. Enter the total number of INMATE-ON-INMATE SEXUAL HARASSMENT investigation files reviewed/sampled:</p>	<p>2</p>
<p>109. Did your sample of INMATE-ON-INMATE SEXUAL HARASSMENT files include criminal investigations?</p>	<p><input type="radio"/> Yes</p> <p><input checked="" type="radio"/> No</p> <p><input type="radio"/> NA (NA if you were unable to review any inmate-on-inmate sexual harassment investigation files)</p>
<p>110. Did your sample of INMATE-ON-INMATE SEXUAL HARASSMENT investigation files include administrative investigations?</p>	<p><input checked="" type="radio"/> Yes</p> <p><input type="radio"/> No</p> <p><input type="radio"/> NA (NA if you were unable to review any inmate-on-inmate sexual harassment investigation files)</p>

Staff-on-inmate sexual harassment investigation files	
111. Enter the total number of STAFF-ON-INMATE SEXUAL HARASSMENT investigation files reviewed/sampled:	11
112. Did your sample of STAFF-ON-INMATE SEXUAL HARASSMENT investigation files include criminal investigations?	<input type="radio"/> Yes <input checked="" type="radio"/> No <input type="radio"/> NA (NA if you were unable to review any staff-on-inmate sexual harassment investigation files)
113. Did your sample of STAFF-ON-INMATE SEXUAL HARASSMENT investigation files include administrative investigations?	<input checked="" type="radio"/> Yes <input type="radio"/> No <input type="radio"/> NA (NA if you were unable to review any staff-on-inmate sexual harassment investigation files)
114. Provide any additional comments regarding selecting and reviewing sexual abuse and sexual harassment investigation files.	None.
SUPPORT STAFF INFORMATION	
DOJ-certified PREA Auditors Support Staff	
115. Did you receive assistance from any DOJ-CERTIFIED PREA AUDITORS at any point during this audit? REMEMBER: the audit includes all activities from the pre-onsite through the post-onsite phases to the submission of the final report. Make sure you respond accordingly.	<input type="radio"/> Yes <input checked="" type="radio"/> No

Non-certified Support Staff

<p>116. Did you receive assistance from any NON-CERTIFIED SUPPORT STAFF at any point during this audit? REMEMBER: the audit includes all activities from the pre-onsite through the post-onsite phases to the submission of the final report. Make sure you respond accordingly.</p>	<p><input type="radio"/> Yes</p> <p><input checked="" type="radio"/> No</p>
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AUDITING ARRANGEMENTS AND COMPENSATION

<p>121. Who paid you to conduct this audit?</p>	<p><input type="radio"/> The audited facility or its parent agency</p> <p><input type="radio"/> My state/territory or county government employer (if you audit as part of a consortium or circular auditing arrangement, select this option)</p> <p><input checked="" type="radio"/> A third-party auditing entity (e.g., accreditation body, consulting firm)</p> <p><input type="radio"/> Other</p>
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<p>Identify the name of the third-party auditing entity</p>	<p>Corrections Consulting Services</p>
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Standards
<p>Auditor Overall Determination Definitions</p> <ul style="list-style-type: none"> • Exceeds Standard (Substantially exceeds requirement of standard) • Meets Standard (substantial compliance; complies in all material ways with the stand for the relevant review period) • Does Not Meet Standard (requires corrective actions)
<p>Auditor Discussion Instructions</p> <p>Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor’s analysis and reasoning, and the auditor’s conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.</p>

115.11	Zero tolerance of sexual abuse and sexual harassment; PREA coordinator
	<p>Auditor Overall Determination: Exceeds Standard</p>
	<p>Auditor Discussion</p> <p>115.11(a)</p> <p>POLICY AND DOCUMENT REVIEW:</p> <p>Pre-Audit Questionnaire.</p> <p>DC-ADM 008 - PREA POLICY</p> <p>Agency Zero Tolerance statement.</p> <p>2023 Agency Audit.</p> <p>FINDINGS:</p> <p>Agency Policy, address the requirements of this provision. The agency mandates a zero-tolerance policy towards all forms of sexual abuse and sexual harassment and outlines the agency's strategies on preventing, detecting, and responding to such conduct. Agency polices addressed "Preventing" sexual abuse and sexual harassment</p>

through the designation of a PREA Coordinator and PREA Compliance Manager, Criminal History Background Checks (Staff, Contractors, and Volunteers, as applicable), Training (Staff, Volunteers, and Contractors), Staffing, Intake Screening, Classification, Inmate Education, Posting of Signage (PREA Posters, etc....), and Contract Monitoring. The policies addressed "Detecting" sexual abuse and sexual harassment through Training (Staff, Volunteers, and Contractors), and Intake Screening. The policy addressed "Responding" to allegations of sexual abuse and sexual harassment through Reporting, Investigations, Victim Services, Medical and Mental Health Services, Disciplinary Sanctions for Staff (including notification of licensing agencies), Incident Review Teams, and Data Collections and Analysis.

The Auditor noted the Inmate Handbook, PREA Posters, and PREA Brochure do address sexual abuse by another Inmate, and the Inmate Handbook does address sanctions for Inmates when involved in such conduct. Based on staff interviews and a review of practices, it was noted staff closely monitor for Inmate-on-Inmate sexual misconduct in accordance with PREA, allegations are reported and investigated, and inmates are held accountable.

FINDINGS:

2023 Agency Audit, address the requirements of this provision. Standard 115.11 Analysis

The following evidence was analyzed in making compliance determinations:

Documents:

1. Pennsylvania Department of Corrections Pre-Audit Questionnaire responses
2. DC-ADM 008 Prison Rape Elimination Act (PREA) Procedures Manual
3. Department of Corrections Secretary's Office: Areas of Responsibility Document, March 7, 2023
4. Agency PREA Coordinator Position Description, September 21, 2021

Interviews:

1. PREA Coordinator

Site Review Observations:

1. Reviewed the test version of the new agency risk screening process
2. Reviewed the agency data collection improvement process
3. Reviewed the agency contract monitoring process

Findings (By Provision):

115.11 (b) - Policy DC-ADM 008, Section 1A, pages 1-2, explains the agency employs an upper-level, agency wide PREA Coordinator and designates a PREA Compliance Manager for each facility. The PREA Coordinator position reports directly to the Chief of Standards, Audits, Assessments, and Compliance, and this position is documented in the agency organizational chart as an upper-level Chief position. The auditor reviewed a signed position description by the Statewide PREA Coordinator, and this document was certified on September 21, 2021. The position description emphasized the importance of regulated duties and requirements. The interview with the PREA Coordinator indicated sufficient time and authority to develop, implement, and oversee efforts to comply with the PREA Standards. The PREA Coordinator explained the duties and responsibilities associated with the position, direct communication with leadership staff, and confirmed the agency support toward improving the sexual safety of the facilities. There is a total of 34 agency wide PREA Compliance Managers that communicate with the agency wide PREA Coordinator for support and PREA audit compliance. There are three total staff that provide support for the Agency PREA Compliance Unit.

Conclusion: The interview conducted with the PREA Coordinator confirmed sufficient time and authority to develop, implement, and oversee the efforts toward PREA compliance. Communication between this auditor and the PREA Coordinator was professional, timely, and truly knowledgeable. The interview with the PREA Coordinator indicated knowledge regarding the agency zero tolerance policy toward all forms of sexual abuse and sexual harassment. The PREA Coordinator was always accessible throughout the auditing process, responded to emails and phone calls immediately, and provided adequate responses during the on-site review. The auditor confirmed an agency policy mandating zero tolerance of all forms of sexual abuse and sexual harassment. The agency has a documented implementation plan outlining the agency's approach to preventing, detecting, and responding to sexual abuse and sexual harassment. The agency PREA Coordinator continues to enhance efforts toward PREA compliance by attending collaborative meetings with other states, obtaining grant funding for additional data collection options, creating innovative options for the confined persons such as bookmarks and cards, and continuously developing new methods of documentation and electronic processing for data collection. Based on the evidence provided the auditor has determined the agency exceeds this standard and no corrective action is required.

115.11(c)

POLICY AND DOCUMENT REVIEW:

Agency Policy Definitions.

	<p>Agency's organizational chart.</p> <p>INTERVIEWS:</p> <p>PREA Compliance Manager.</p> <p>ONSITE REVIEW:</p> <p>No on-site observations were required for this provision.</p> <p>FINDINGS:</p> <p>Agency Policy addresses the position of the PREA Compliance Manager, which outlines the roles and responsibilities of the position and calls for the position being allowed enough time and authority to develop, implement, and oversee prison efforts to comply with the PREA standards in the facility. The PREA Compliance Manager was interviewed. She reported having enough time to focus on PREA related activities and that this is a priority. The PREA Compliance Manager reported she has 100% support from her supervisor. A review of the agency policy, agency's organization chart, and based on the interview, the designated facility's PREA Compliance Manager, the Auditor determined the agency demonstrates it meets the requirements of this provision of this standard.</p>
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115.12	Contracting with other entities for the confinement of inmates
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>115.12(a)</p> <p>POLICY AND DOCUMENT REVIEW:</p> <p>DC-ADM 008 - PREA POLICY</p> <p>2023 Agency Audit Report</p> <p>FINDINGS:</p> <p>Standard 115.12 Analysis</p> <p>The following evidence was analyzed in making compliance determinations:</p> <p>Documents:</p> <p>1. Pennsylvania Department of Corrections Pre-Audit Questionnaire responses</p>

2. DC-ADM 008 Prison Rape Elimination Act (PREA) Procedures Manual
3. Statement of Work-Residential Housing and Treatment Initiation to Qualify (ITQ) Contract enacted June 28, 2018
4. PREA Contract Compliance Monitoring Report, pages 1-3, April 22, 2019
5. PREA Contract Compliance Monitoring Worksheet, pages 1-3, PREA Policy, and PREA Audit Final Report
6. PDOC, PREA Contract Compliance Monitoring report, pages 1-3, Columbia County Prison, and Butler County Prison, October 21, 2021

Interviews:

1. Agency Contract Administrator
2. Agency PREA Coordinator

Findings by Provision:

115.12 (a-b) Agency policy DC-ADM 008, Section 2, page 1 describes, the Department shall include in any new contract or contract renewal for the housing of a reentrant with a private entity or other entities, including other government agencies, the entity's obligation to adopt and comply with the Prison Rape Elimination Act (PREA) Standards and the Department's policies related to PREA compliance. The agency PREA Coordinator serves as the agency contract administrator and the interview indicated all community confinement contracts renewed on April 1, 2019, will remain in effect until January 31, 2024. The auditor confirmed this statement during the Agency's Contract Administrator interview. The PADOE facilities do not have any responsibility, separate from that on the agency level, to enter into or maintain contracts for confinement of reentrants with other agencies or jurisdictions. This process is performed on the Pennsylvania Department of Corrections agency level.

The Pennsylvania Department of Corrections currently has 13 contracts for confinement of its reentrants with 24 community confinement facilities. These contracts include: Gaudenzia (multiple sites), GEO Group (multiple sites), Kintock Inc., Self Help Movement Inc., Transitional Living Centers (multiple sites), Firetree (multiple sites), Hogar Crea (multiple sites), Keystone Correctional Services, Lehigh County Work Release (has not been utilized since March of 2020), Luzerne County Work Release (has not be utilized since March of 2020), Gateway (also known as CTC) (multiple sites) Renewal Inc. (multiple sites), and Tomorrow's Hope Inc.

The Pennsylvania Department of Corrections entered into a contract with the Columbia County and Butler County Prison systems for temporary housing of parole violators. The auditor reviewed the PREA Contract Compliance Monitoring Report for both agencies and confirmed PREA compliance is required within the contract. The document indicated both facilities have been audited during the previous audit cycle. The Auditor reviewed the PREA Contract Compliance Monitoring Report and Monitoring Worksheet of each agency. The contract monitoring activity was

	<p>conducted within the dates required by agency policy. The agency performs status checks regarding the contract policy toward PREA and the current PREA Audit Report. The PREA Coordinator explained all contracts require agency monitoring and are currently completed twice per year. Once in the form of document reviews in the Fall of the year. Starting in the spring of 2023, the agency's PREA Compliance Division began conducting onsite inspections of contracted sites. The agency contract monitoring process is very impressive, and the requirements are documented in agency policy DC-ADM 008.</p> <p>Conclusion: Based upon the review and analysis of all evidence provided, the auditor has determined that the agency is fully compliant with this standard. The agency level does require all contract participants to comply with the PREA standards. The agency level of compliance monitoring meets the overall expectations of the standard as the agency has developed policy, procedure, and practice documentation and forms. The PAQ documentation provided an extensive amount of contract monitoring as the agency includes the PREA Audit Report, contract policies, and a biannual monitoring practice toward compliance. No corrective action is required for this standard.</p>
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115.13	Supervision and monitoring
	Auditor Overall Determination: Exceeds Standard
	<p>Auditor Discussion</p> <p>115.13(a)</p> <p>POLICY AND DOCUMENT REVIEW:</p> <p>DC-ADM 008 - PREA POLICY</p> <p>INTERVIEWS:</p> <p>Facility Superintendent and PREA Compliance Manager.</p> <p>FINDINGS:</p> <p>Agency Policy addresses this provision. The facility submits a weekly and monthly staffing plan/report to the agency. The facility has developed a staffing plan to safely meet the PREA and security needs, the facility fills the mandatory positions to meet the necessary post staffing requirements when vacancies occur. The facility uses overtime/comp time or collapsing non-custody positions to meet a safe staffing mandate required by PA Department of Corrections. The facility reported no deviations from the custody staffing plan for the past 12 months. The average daily population since and to which the staffing plan is based is 2160 inmates.</p> <p>Unannounced rounds are conducted for all shifts and are recorded by senior management staff. Post electronic log entries were reviewed by the Auditor for</p>

verification. Staff reported the inmate to staff ratios are followed and sometimes mandatory overtime is implemented.

Staff reported they follow the agency policies and PREA standards, take into consideration the composition of the inmate population and their needs, scheduled programming, and staff placement. Additionally, staff reported other relevant factors considered include the needs of the LGBTI inmates and incidents of substantiated and unsubstantiated sexual abuse. Staff reported, to ensure compliance with the staffing plan, they monitor during shifts, review folders, check-in sheets, documentation, inmate files, thoroughly review serious incident reports, and audit sheets. During the onsite audit, a review of the agency policy, staff interviews, and the agency's staffing plan indicated all the elements are addressed.

115.13(b)

POLICY AND DOCUMENT REVIEW:

The agency reported no deviations with the staffing plan in place, therefore there was no documentation provided to review.

INTERVIEWS:

Facility Superintendent

FINDINGS:

The auditor interviewed the facility Superintendent, who reported an ongoing challenge is keeping all positions filled and that priority is given to the critical posts as listed in the staffing plan. Based on the staff interview, there was no indication there had been any deviation from the staffing plan.

115.13(c)

POLICY AND DOCUMENT REVIEW:

Facility staffing ratios.

INTERVIEWS:

Facility Superintendent

FINDINGS:

Currently, the audited facility complies with the mandated supervision ratios throughout the facility.

115.13(d)

POLICY AND DOCUMENT REVIEW:

DC-ADM 008 - PREA POLICY

Staffing Plan.

INTERVIEWS:

PREA Compliance Manager.

FINDINGS:

Agency Policy addresses this provision. The agency reported no deviations with the staffing plan in place, therefore there was no documentation to review. The report was generated by the agency in response to its commitment in instituting the intent and requirements of the Prison Rape Elimination Act. The auditor interviewed the PREA Compliance Manager, who reported she is consulted regarding any assessments of, or adjustments to, the staffing plan, which occur annually. When needed, the agency authorizes overtime.

During the onsite audit, a review of the agency policy, staff interview, and the agency's current staffing plan indicate all the elements are in place. Supplemental supporting documentation specific to the video surveillance system demonstrated the department's ongoing efforts towards monitoring and supervision. It is recommended the Pennsylvania Department of Corrections consider funding SCI Mahanoy for an RFID inmate tracking system, this system will be very beneficial to the safety and security of the inmates and staff alike.

115.13(e)

POLICY AND DOCUMENT REVIEW:

DC-ADM 008 - PREA POLICY

INTERVIEWS:

Intermediate and Higher-Level Facility Staff

ONSITE REVIEW:

A review of a log entries indicated the upper management unannounced rounds, which are documented.

FINDINGS:

Agency Policy addresses this provision. Intermediate and Higher-Level Facility Staff were interviewed by the auditor. A review of the agency policy and staff interviews indicate multiple levels of management conducting unannounced rounds on all shifts. The senior management rounds are also documented in the logbook entries, which the auditor determined the facility demonstrates meets the requirements of this provision. In addition, this facility has implemented an overlapping layer of direct line of sight/sound supervision from the hallways, direct line of sight from the main control center, and monitoring by having camera surveillance throughout the facility. This exceeds the expectation of this standard.

115.14	Youthful inmates
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>115.14(a) - (c)</p> <p>POLICY AND DOCUMENT REVIEW:</p> <p>DC-ADM 008 - PREA POLICY</p> <p>INTERVIEWS:</p> <p>PREA Coordinator and PREA Compliance Manager.</p> <p>FINDINGS:</p> <p>Agency Policy addresses this provision. This facility does not house youthful inmates.</p>

115.15	Limits to cross-gender viewing and searches
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>115.15(a)</p> <p>POLICY AND DOCUMENT REVIEW:</p> <p>DC-ADM 008 - PREA POLICY</p> <p>INTERVIEWS:</p> <p>PREA Compliance Manager.</p> <p>FINDINGS:</p> <p>Agency Policy addresses this provision. Agency policy requires strip searches are conducted by staff of the same gender as the inmate. The agency reported there have been no incidents of cross-gender strip or cross-gender visual body cavity searches of inmates. An informal interview with the PREA Compliance Manager confirmed this practice. A review of the agency policy and staff interviews indicate no cross-gender strip searches or cross-gender visual body cavity searches are conducted. T</p> <p>115.15(b)</p> <p>POLICY AND DOCUMENT REVIEW:</p>

DC-ADM 008 - PREA POLICY

INTERVIEWS:

Random Selection of Staff, and Random Selection of Inmates.

FINDINGS:

Agency Policy addresses this provision. Agency policy requires strip searches are conducted by staff of the same gender as the inmate. The agency reported there have been no incidents of cross-gender strip or cross-gender visual body cavity searches of inmates. The Auditor interviewed a random selection of staff and random selection of inmates. Staff reported they are prohibited from conducting cross-gender searches but are trained to conduct cross-gender pat-down searches in the event of an emergency or exigent circumstance. Staff reported there is always adequate levels of staffing to ensure cross-gender searches do not occur, except when requested by a transgender inmate, for pat searches only. All staff reported they had not conducted a cross-gender strip search or heard of one taking place since their employment with the agency.

All inmates interviewed reported they have been strip searched only by same-gender staff. Staff interviews reflected staff are not allowed to conduct cross-gender pat-down searches, unless requested by a transgender. Inmate interviews confirmed no cross-gender strip searches are conducted.

115.15(c)

POLICY AND DOCUMENT REVIEW:

DC-ADM 008 - PREA POLICY

FINDINGS:

Agency Policy addresses this provision. Agency policy requires strip searches are conducted by staff of the same gender as the inmate. The agency reported there have been no incidents of cross-gender strip cross-gender visual body cavity searches of inmates, therefore, there was no documentation to review.

115.15(d)

POLICY AND DOCUMENT REVIEW:

DC-ADM 008 - PREA POLICY

INTERVIEWS:

Random Selection of Staff, and Random Selection of Inmates.

ONSITE REVIEW:

During the onsite review of the facility, the auditor noted every time staff of the opposite gender entered a housing unit, the staff would announce themselves by ringing a loud electric doorbell, that can be heard throughout the housing area.

FINDINGS:

Agency Policy addresses this provision. During the onsite audit, the Auditor observed there is no opportunity for staff of the opposite gender to view inmates while performing bodily functions. Staff interviews reflected staff are aware of this standard and are required to announce themselves when entering a housing unit with inmates of the opposite gender and inmate interviews reflected staff of the opposite gender consistently announce themselves upon entering their housing units.

Inmates interviewed reported staff of the opposite gender do announce themselves when entering their housing area and that they would never be in a state of undress in front of opposite gender staff.

A review of the agency policy, staff and inmate interviews, and observations of staff announcing themselves via the electric doorbell system when entering a housing unit with inmates of the opposite gender has demonstrated every precaution is made to ensure inmates are afforded privacy when using the toilet, showering, and changing clothes.

115.15(e)

POLICY AND DOCUMENT REVIEW:

DC-ADM 008 - PREA POLICY

INTERVIEWS:

Random Sample of Staff.

Inmate interviews.

FINDINGS:

Agency Policy address this provision. Staff interviews reflected staff are prohibited from searching or physically examining a transgender or intersex inmate for the sole purpose of determining the inmate's genital status. Staff also reported the determination of the inmate's genital status would be made by medical staff. The inmates interviewed revealed the same.

115.15(f)

POLICY AND DOCUMENT REVIEW:

Training Curricula and DC-ADM 008 - PREA POLICY.

INTERVIEWS:

Random Sample of Staff.

	<p>FINDINGS:</p> <p>Staff interviewed reported they are permitted to conduct pat-down searches on same gender inmates, or female staff can pat-search transgender inmates (transitioning from male to female) if requested by the inmate. Training documentation reflected staff attended and participated in "Cross-Gender and Transgender Pat Searches" training during pre-service and refresher training is available. A review of the agency policy, training documentation, and staff interviews indicate staff are prohibited from conducting cross-gender strip searches, however, they are trained on how to conduct cross-gender pat-down searches if exigent circumstances, exists, which meets the requirements of this provision.</p>
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115.16	Inmates with disabilities and inmates who are limited English proficient
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>115.16(a)</p> <p>POLICY AND DOCUMENT REVIEW:</p> <p>DC-ADM 008 - PREA POLICY</p> <p>Posters, inmate handbooks, training certificates.</p> <p>INTERVIEWS:</p> <p>Random Staff</p> <p>LEP Inmates</p> <p>FINDINGS:</p> <p>DC-ADM 008 - PREA POLICY and review of posters, inmate handbooks, training certificates, address this provision. The PREA Brochure, PREA Posters, and Inmate Handbook are also available in Spanish. The facility has a language line for verbal translation services in all know languages. The inmates are also able to contact their country’s consulate if they are under immigration jurisdiction. At the time of the audit, three LEP inmates were interviewed. Materials are available in Spanish and additional interpreter services can be secured as needed.</p> <p>115.16(b)</p> <p>POLICY AND DOCUMENT REVIEW:</p> <p>DC-ADM 008 - PREA POLICY and review of posters, inmate handbooks, training certificates.</p>

	<p>INTERVIEWS:</p> <p>Intake Staff.</p> <p>FINDINGS:</p> <p>DC-ADM 008 - PREA POLICY and review of posters, inmate handbooks, training certificates, addresses this provision. The inmates get the PREA related information in Spanish and the posters are translated correctly.</p> <p>115.16(c)</p> <p>POLICY AND DOCUMENT REVIEW:</p> <p>DC-ADM 008 - PREA POLICY and review of posters, inmate handbooks, training certificates.</p> <p>INTERVIEWS:</p> <p>Random Sample of Staff.</p> <p>FINDINGS:</p> <p>DC-ADM 008 - PREA POLICY and review of posters, inmate handbooks, training certificates, address this provision. Staff interviewed reported they would never use inmates to interpret for another inmate. The inmates interviewed reported being provided PREA related information from staff and understanding their rights as it pertained to PREA and had an understanding on how to report an allegation.</p>
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115.17	Hiring and promotion decisions
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>115.17(a)</p> <p>POLICY AND DOCUMENT REVIEW:</p> <p>DC-ADM 008 - PREA POLICY</p> <p>List of background checks on current employees.</p> <p>2023 PREA Agency Audit.</p> <p>FINDINGS:</p> <p>Standard 115.17 Analysis</p>

The following evidence was analyzed in making compliance determinations:

Documents:

1. Pennsylvania Department of Corrections Pre-Audit Questionnaire responses
2. Policy 1.1.4 Centralized Clearances Procedure Manual, Section 4, Centralized Clearance Check Procedures
3. 31 Random Staff Personnel Files
4. 4.1.1 Human Resources and Labor Relations Procedure Manual, Section 41, Employment of Job Applicants having Prior Adverse Contacts with Criminal Justice Agencies (CJA)
5. DC-ADM 008, Section 20, page 4, PREA Procedures Manual, Data Collection and Retention
6. 4.1.1 Human Resources and Labor Relations Procedure Manual, Section 40, pages 1-3, Conducting Pre-Employment Background Investigations
7. 4.1.1 Employee Arrests - Felony, Misdemeanor, and Summary Offenses, Section 3, page 1

Interviews:

1. Agency Human Resource Staff
2. PREA Coordinator
3. Background Investigation Staff

Site Review Observations:

1. 31 Random Staff Personnel Files - One File from Each Facility - Two Community Corrections Centers did not have new hires for the past 12-months.
2. Biometric Screening Equipment and Identification
3. 33 Contractor Background Screenings Confirmed

Findings by Provision:

115.17 (a) Policy 1.1.4, Section 4, page 3 prohibits hiring or promoting anyone who may have contact with confined persons and prohibits enlisting the services of any contractor who may have contact with confined persons who has engaged in, been convicted of, or civilly/administratively adjudicated in sexual abuse in a prison, jail, lockup, community confinement facility, or other institution. This was confirmed during the interview with one agency Human Resource staff member. Each staff member must provide responses to specific questions relative to PREA during the submission of the application. A background questionnaire form is completed authorizing the facility to conduct a background screening. The auditor reviewed 31

agency staff personnel files that indicated a response to these PREA related questions. This included one facility staff person file from each facility - Two Community Corrections Centers did not have new hires for the past 12-months.

115.17 (b) Policy 1.1.4, Section 4, page 4 requires the agency to consider any incidents of sexual harassment in determining whether to hire or promote anyone, or to enlist the services of any contractor, who may have contact with confined persons. This was confirmed during the interview with one Human Resource staff member. The auditor reviewed 31 staff personnel files indicating their signatures on the background release forms. All background checks were completed prior to offering employment.

115.17 (c) The Pennsylvania Department of Corrections agency policy 4.1.1, Section 40, pages 1-3 indicate a screening is required by law prior to hiring. This includes the following: employment history checks, criminal history checks, and the National Sex Offender Registry screenings. These checks are completed prior to hiring new employees who may have contact with confined persons, criminal background records checks and efforts to contact all prior institutional employers for information on substantiated allegations of sexual abuse, or any resignation during a pending investigation of an allegation of sexual abuse is completed. The auditor spoke with the PREA Coordinator and determined 3015 agency criminal background checks were completed in the past 12 months. These record checks were through the National Crime Information Center, and all current staff background checks are performed prior to employment. The background checks include the following: Biometric information, driving records, investigation files, licensure, military records, and drug related convictions. The Preaudit questionnaire explained the agency performed 3015 background checks in the past 12 months. The agency approved 1856 background checks for hiring purposes and this determined the agency hired 62% of the background checks completed in the past 12 months.

115.17 (d) The Pre-Audit questionnaire indicated 2194 background checks were completed for staff covered under contracts for services that may have contact with confined persons. This number was confirmed during the PREA Coordinator interview. The auditor reviewed 33 background checks that were conducted for contracted services.

115.17 (e) Policy 1.1.4, Section 4, pages 1-8 indicate criminal background checks conducted on all current employees, volunteers, and contractors, at least every 2 years. This was confirmed during the human resource staff interview. This is captured within the agency reporting mechanism and discussed during the human resource interview. The system that captures this information is the Pennsylvania Justice Network (JNET). A centralized clearance check form is submitted, and the system consistently captures clearance information that includes driver license information, Pennsylvania rap sheets, Interstate Identification Rap Sheets, visitor tracking information, confined persons telephone calls, email and money transactions, and prior employment information.

115.17 (f) All applicants and employees, who may have contact with confined

persons, will be asked about previous misconduct in all written applications, interviews for hiring or promotion, or during written evaluations. This was confirmed during the review and interview with the agency human resource staff member. The auditor reviewed 31 staff files and confirmed the signed document was present asking the specific questions relative to sexual abuse, sexual assault, and sexual misconduct.

115.17 (g) Employees must disclose all misconduct allegations and any material omission or false information regarding misconduct will be grounds for termination. The policy DC-ADM 008, Section 20, page 4 explains failure to report criminal charges and convictions may result in disciplinary action, demotion, and termination. In addition, 31 staff personnel files were reviewed, and no issues were determined regarding this practice.

115.17 (h) The agency tracking mechanism is documented on the applicant summary form and includes a criminal records check, valid driver’s license, personal interview, proper documentation provided, social security number compliance, certification verification, correctional reference checks to include prior employers, resignations, and substantiated allegations. The PREA Coordinator provided documentation demonstrating a criminal history and a driver history inspection was previously conducted for staff. The PREA Coordinator confirmed a criminal background check is conducted prior to offering promotional opportunities and the auditor verified this process during the employee file reviews.

Conclusion: Based on the evidence reviewed by the auditor to include 31 staff personnel files, interviews with one agency human resource staff, agency policy, and 33 contractor reviews, the auditor finds no discrepancies within this standard required for corrective action. The steps considered by the agency to ensure the safety of the confined persons with qualified staff are impressive.

115.18	Upgrades to facilities and technologies
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>115.18(a)</p> <p>POLICY AND DOCUMENT REVIEW:</p> <p>Video Surveillance Schematic.</p> <p>INTERVIEWS:</p> <p>Interview with the facility Superintendent confirm that the standard is being met.</p> <p>FINDINGS:</p>

	<p>The audited facility has installed new cameras for better coverage and clarity. This facility has cameras to cover the interior and exterior of this facility's area of responsibility. Interviews revealed the Facility Superintendent did consider how such technology may enhance the agency's ability to protect inmates from sexual abuse prior to implementing the video enhancements/upgrades.</p> <p>115.18(b)</p> <p>POLICY AND DOCUMENT REVIEW:</p> <p>Video Surveillance Schematic.</p> <p>INTERVIEWS:</p> <p>Interviews of the Agency Head and Facility Superintendent confirm that the standard is being met.</p> <p>FINDINGS:</p> <p>Interviews revealed the agency and facility Superintendent did consider how such technology may enhance the agency's ability to protect inmates from sexual abuse prior to implementing the video enhancements/upgrades. It is recommended that the PA DOC considers devoting additional funding for additional cameras for the spaces identified by this Auditor to enhance the safety and security of the staff and inmates at this facility.</p>
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115.21 Evidence protocol and forensic medical examinations	
	<p>Auditor Overall Determination: Meets Standard</p> <p>Auditor Discussion</p> <p>115.21(a)</p> <p>POLICY AND DOCUMENT REVIEW:</p> <p>DC-ADM 008 - PREA POLICY</p> <p>Memos, employee certificate.</p> <p>INTERVIEWS:</p> <p>Random Sample of Staff.</p> <p>Interviews with the facility Superintendent confirm that the standard is being met.</p> <p>FINDINGS:</p> <p>Agency Policy address this provision. Staff interviewed indicated a clear knowledge of their responsibilities as potential first responders and knowledge of agency policy and</p>

staff roles and responsibilities pertaining to investigations of allegations of sexual abuse. Each named of at least one (1) investigator they would report the incident to.

115.21(b)

POLICY AND DOCUMENT REVIEW:

DC-ADM 008 - PREA POLICY

Pre-audit questionnaire.

Memos, employee certificate.

FINDINGS:

Agency Policy addresses this provision. The audited facility offers all inmates a forensic examination if sexually abused. The facility uses the Reading Hospital in Reading, PA. The Reading Hospital Emergency Department has 15 nurses that have received special training in administering forensic sexual assault kits to sexual assault victims, as well as how to care for the victims medically and psychologically. All Sexual Assault Nurse Examiners have completed extensive training in forensic examination, STI screening and treatment, pregnancy prophylaxis, and how to provide testimony in court. SANE nurses are available in the Emergency Department at Reading Hospital 24/7. The facility has arranged one SAFE/SANE examination to be conducted during the last 12 months. These exams are at no cost to the inmate and are available at any time.

A review of the agency policy and supporting documentation indicated the agency coordinates and ensures the protocol implemented is appropriate and in compliance with this provision.

115.21(c)

POLICY AND DOCUMENT REVIEW:

DC-ADM 008 - PREA POLICY

The agency reported there has been one forensic examination conducted within the past 12 months.

INTERVIEWS:

SAFE/SANE Staff

FINDINGS:

Agency Policy addresses this provision. A licensed Department counselor (LPC, LMSW, etc.) would be made available to accompany the inmate through the forensic exam and investigative interviews only upon request from the inmate. The SANE/SAFE nurse interviewed, reported forensic exam nurses are available 24/7 and would triage a case and respond accordingly. A review of the agency policy and an interview with

SANE/SAFE staff indicate the agency has secured local confidential victim advocacy resources needed in response to this provision.

115.21(d)

POLICY AND DOCUMENT REVIEW:

DC-ADM 008 - PREA POLICY

INTERVIEWS:

PREA Compliance Manager.

FINDINGS:

Agency Policy addresses this provision. A licensed Department counselor (LPC, LMSW, etc.) would be made available to accompany the inmate through the forensic exam and investigative interviews only upon request from the inmate. The Auditor interviewed the PREA Compliance Manager who reported they would make every effort possible to help an inmate through the process.

A review of the agency policy and staff interviews indicated an established collaborative effort to ensure victim advocacy services would be available for the inmates if needed.

115.21(e)

POLICY AND DOCUMENT REVIEW:

DC-ADM 008 - PREA POLICY

INTERVIEWS:

PREA Compliance Manager.

FINDINGS:

Agency Policy addresses this provision. A licensed Department counselor (LPC, LMSW, etc.) would be made available to accompany the inmate through the forensic exam and investigative interviews only upon request from the inmate. A review of the agency policy and staff interviews indicated an established collaborative effort to ensure victim advocacy services are available for the inmates if needed.

115.21(f)

POLICY AND DOCUMENT REVIEW:

Case File Procedures.

FINDINGS:

Per Agency Policy, the facility will contact the Pennsylvania State Police Investigator to conduct all PREA related allegations. In accordance with agency policy, any

	<p>allegation involving sexual abuse or criminal activity requires that the Pennsylvania State Police Investigator is to be notified immediately to assume control of the investigation. The investigator interviewed and the agency policy indicated they follow uniform evidence protocol.</p>
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115.22	Policies to ensure referrals of allegations for investigations
	<p>Auditor Overall Determination: Meets Standard</p>
	<p>Auditor Discussion</p> <p>115.22(a)</p> <p>POLICY AND DOCUMENT REVIEW:</p> <p>DC-ADM 008 - PREA POLICY</p> <p>INTERVIEWS:</p> <p>PREA Compliance Manager.</p> <p>Investigator.</p> <p>FINDINGS:</p> <p>Agency Policy address this provision. Per agency policy, the SCI Mahanoy investigator will conduct all administrative investigations. In accordance with agency policy, any allegation involving sexual abuse or criminal activity requires that SCI Mahanoy contact the Pennsylvania State Police immediately to assume control of the investigation. The investigator interviewed and the agency policy indicated they follow a uniform evidence protocol. A review of the agency policies, investigative files, and staff interviews indicated investigations are completed for all allegations of sexual abuse and sexual harassment.</p> <p>115.22(b)</p> <p>POLICY AND DOCUMENT REVIEW:</p> <p>DC-ADM 008 - PREA POLICY</p> <p>Agency's policy on the agency's website.</p> <p>INTERVIEWS:</p> <p>Investigative staff.</p> <p>Random staff.</p> <p>FINDINGS:</p>

Agency Policy addresses this provision. A review of the agency policies, investigative files, and staff interviews indicated criminal investigations were conducted by the Pennsylvania State Police investigator. The agency's policy in response to this provision are posted on the agency's website. A database for tracking investigations is maintained. Any allegations reported activates an alert for the PREA Compliance Manager and senior staff.

During the 12-month period, fifty-nine allegations of sexual abuse and/or sexual harassment were received and thirty-seven were referred for criminal investigation. Twenty-six investigations were completed, and eleven cases are still in progress. All reports prior to this audit period were documented and available on the agency website.

115.22(c)

POLICY AND DOCUMENT REVIEW:

Agency's policy posted on the agency's website.

FINDINGS:

The agency's policy is posted on the agency's website in accordance with this provision.

115.22(d)

POLICY AND DOCUMENT REVIEW:

The agency is not required to respond to this provision.

FINDINGS:

This provision is not applicable as the agency is not required to respond to this provision.

115.22(e)

POLICY AND DOCUMENT REVIEW:

The agency is not required to respond to this provision.

FINDINGS:

This provision is not applicable as the agency is not required to respond to this provision.

115.31	Employee training
	Auditor Overall Determination: Exceeds Standard

Auditor Discussion

115.31(a)

POLICY AND DOCUMENT REVIEW:

DC-ADM 008 - PREA POLICY

Agency curriculum.

Randomly selected staff training documents.

INTERVIEWS:

Random Sample of Staff

FINDINGS:

Agency Policy address this provision. A review of the agency policy, training curriculum, various training documents, and staff interviews demonstrate PREA related training is conducted, and staff attend, participate, and complete the training. The agency policy and curriculum address all the required topics. The auditor interviewed randomly selected staff.

Staff interviewed acknowledged attending and participating in the PREA training and confirmed the required topics were covered during the training. The staff interviewed reported receiving training in all the required topics within the past year. The staff receive continuous training at shift change and all were confident and highly knowledgeable in the PREA program, this facility exceeds this standard's requirements.

115.31(b)

POLICY AND DOCUMENT REVIEW:

DC-ADM 008 - PREA POLICY

Pre-service and In-service curriculum.

Pre-audit questionnaire.

First responder cards.

FINDINGS:

Agency Policy addresses this provision. All agency employees, contractors, and volunteers are trained to meet the PREA standards. In the past 12 months, (100%) staff were trained. The agency has a comprehensive training program which includes pre-service and annual in-service training and is tailored to meet the gender needs of the facility. The training documentation includes a signature roster that indicates the

trainees understand the training presented. The interview process also documented those employees understood the materials presented. Refresher information is available in the employee handbook and in shift briefings. Staff reported everyone gets the exact same training regardless of working with males or females in the agency.

115.31(c)

POLICY AND DOCUMENT REVIEW:

DC-ADM 008 - PREA POLICY

Pre-service and In-service curriculum.

Pre-audit questionnaire.

FINDINGS:

Agency Policy addresses this provision. Agency policy requires staff receive PREA related training during orientation and on an annual basis. The auditor reviewed randomly selected employee/contractor/volunteer training documents. A review of the randomly selected training documents reflected all had participated and completed the required PREA training. Training documentation reviewed supported the participation of security staff, as well as participation by management and administrative support staff, in the PREA training.

115.31(d)

POLICY AND DOCUMENT REVIEW:

DC-ADM 008 - PREA POLICY

Pre-service and In-service curriculum.

Pre-audit questionnaire.

Training Acknowledgement Form.

FINDINGS:

Agency Policy addresses this provision. The agency maintains the signed acknowledgement forms which affirm the trainees understand the training they have received. Through staff interviews, it was made clear to the auditor that the staff understood the PREA training.

115.32	Volunteer and contractor training
	Auditor Overall Determination: Meets Standard
	Auditor Discussion

115.32(a)

POLICY AND DOCUMENT REVIEW:

DC-ADM 008 - PREA POLICY

Volunteer/Contractor Training Plan.

Volunteer sign-in roster & application forms.

Pre-audit questionnaire.

Volunteer and contract staff training documentation.

Randomly selected training files, sign-in sheets, signed acknowledgement forms, and Certificates of Completion.

INTERVIEWS:

Facility Superintendent.

FINDINGS:

Agency Policy addresses volunteer and contractor training. All volunteers and contractors who have contact with inmates at this facility have been trained to understand the requirements of PREA and the zero-tolerance policy. 100% of the volunteers and contractors were trained about PREA and correctional requirements during the last 12 months. The training is based on the service level and inmate contact they provide. This was verified by examination of training documentation and the signatures that documented that they understood the training presented.

115.32(b)

POLICY AND DOCUMENT REVIEW:

DC-ADM 008 - PREA POLICY

Volunteer/Contractor Training Plan.

Volunteer sign-in roster & application forms.

Pre-audit questionnaire.

Volunteer and contract staff training documentation.

Randomly selected training files, sign-in sheets, signed acknowledgement forms, and Certificates of Completion.

INTERVIEWS:

Facility Superintendent.

FINDINGS:

	<p>Agency Policy addresses volunteer and contractor training. The agency's PREA training addresses the zero-tolerance policy. Training documentation reflected training events held specifically for contract staff and volunteers.</p> <p>115.32(c)</p> <p>POLICY AND DOCUMENT REVIEW:</p> <p>DC-ADM 008 - PREA POLICY</p> <p>Signed Volunteer and Contractor Acknowledgement Forms.</p> <p>FINDINGS:</p> <p>Agency Policy addresses volunteer, contractor, and intern training. The acknowledgment forms contained the proper affirmation statement.</p>
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115.33 Inmate education	
	Auditor Overall Determination: Exceeds Standard
	<p>Auditor Discussion</p> <p>115.33(a)</p> <p>POLICY AND DOCUMENT REVIEW:</p> <p>DC-ADM 008 - PREA POLICY</p> <p>Inmate assessment forms.</p> <p>Orientation schedule.</p> <p>Training rosters.</p> <p>Pre-audit questionnaire.</p> <p>Bilingual Posters.</p> <p>Inmate Handbook (English and Spanish).</p> <p>Brochures (English and Spanish).</p> <p>INTERVIEWS:</p> <p>The auditor interviewed one randomly selected staff assigned to intake duties and all inmates who were in confinement on the first day of the on-site review.</p> <p>FINDINGS:</p> <p>Agency Policy address this provision. A review of case files reflected all inmates were</p>

provided the initial education required on the same day during intake. The intake staff reported the orientation packet contains all the PREA related information which is provided to all the inmates during the intake process. Staff reported the information may be provided to the inmate in Spanish to ensure LEP inmates understand it and that inmates are asked if they have any questions before they are assigned to a housing unit. Staff reported information on the zero-tolerance policy and how to report allegations are also contained on posters, which are posted throughout the facility, and that the PREA information is presented in the housing units, along with the facility's inmate rules and regulations. All of the inmates interviewed reported being provided the PREA information during intake. This exceeds this standard.

115.33(b)

POLICY AND DOCUMENT REVIEW:

DC-ADM 008 - PREA POLICY

Inmate assessment forms.

Orientation schedule.

Training rosters.

Pre-audit questionnaire.

Inmate Handbook (English and Spanish).

Brochures (English and Spanish).

INTERVIEWS:

The auditor interviewed (1) randomly selected staff assigned to intake duties and all inmates who were in confinement on the first day of the on-site review.

FINDINGS:

Agency Policy addresses this provision. In the past 12 months, (100%) inmates admitted to the audited facility were trained on the principles of PREA. Provisions are made to assist those inmates with disabilities or those not proficient in English to ensure their understanding of PREA. Orientation videos, posters, inmate handbooks, etc. are readily available to the population and are available in English and Spanish. Group sign-in sheets reflecting the names of all participants are maintained for documentation purposes, and a staff person was assigned to oversee this specific task to ensure compliance is always maintained.

115.33(c)

POLICY AND DOCUMENT REVIEW:

DC-ADM 008 - PREA POLICY

Case files.

INTERVIEWS:

The auditor interviewed randomly selected staff assigned to intake duties.

FINDINGS:

Agency Policy addresses this provision.

A review of random case files reflected all inmates had been provided the required PREA related information and education.

115.33(d)

POLICY AND DOCUMENT REVIEW:

DC-ADM 008 - PREA POLICY Inmate Handbook, PREA brochures, and PREA posters.

FINDINGS:

Agency Policy addresses this provision. PREA related information and education materials provided in English and Spanish include the Inmate Handbook, PREA brochures, and PREA posters. The Inmate Handbook is available to the inmates in each housing unit. PREA posters, English and Spanish, are posted throughout the facility and in each housing unit. Staff are equipped with information on how to secure interpretation services for deaf and hard of hearing inmates.

115.33(e)

POLICY AND DOCUMENT REVIEW:

DC-ADM 008 - PREA POLICY

Case files.

Acknowledgement Statement

FINDINGS:

Agency Policy addresses this provision. A review of case files reflected all inmates had been provided the required PREA related information and education. The completed Acknowledgement Statement is used to document when inmates are provided the PREA information at intake. Inmates that participate in the subsequent PREA education has their participation entered into the inmate's record.

115.33(f)

POLICY AND DOCUMENT REVIEW:

DC-ADM 008 - PREA POLICY

ONSITE REVIEW:

PREA educational and informational materials, including the Inmate Handbook and

	<p>PREA posters are available in each respective housing unit.</p> <p>FINDINGS:</p> <p>Agency Policy addresses this provision. PREA educational and informational materials, including the Inmate Handbook and PREA posters are continuously available in each respective housing unit.</p>
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115.34 Specialized training: Investigations	
	Auditor Overall Determination: Meets Standard
	<p>Auditor Discussion</p> <p>115.34(a)</p> <p>POLICY AND DOCUMENT REVIEW:</p> <p>DC-ADM 008 - PREA POLICY</p> <p>Pre-audit questionnaire.</p> <p>Investigative staff training certificates.</p> <p>INTERVIEWS:</p> <p>Investigative Staff.</p> <p>FINDINGS:</p> <p>Agency Policy addresses this provision. Staff interviewed reported receiving the required investigative training. Training documentation reflected the investigators had completed the general PREA training and the specialized investigator training.</p> <p>115.34(b)</p> <p>POLICY AND DOCUMENT REVIEW:</p> <p>DC-ADM 008 - PREA POLICY</p> <p>Training Modules</p> <p>INTERVIEWS:</p> <p>Investigative Staff.</p> <p>FINDINGS:</p> <p>Agency Policy addresses this provision. The training module included all the required topics. Staff interviewed reported receiving training on each of the required topics.</p>

	<p>115.34(c)</p> <p>POLICY AND DOCUMENT REVIEW:</p> <p>DC-ADM 008 - PREA POLICY</p> <p>Training records.</p> <p>FINDINGS:</p> <p>Agency Policy addresses this provision. A review of the specialized training documents reflects all investigators had completed the required training. Training documentation reflected the investigators were trained on the specialized investigator training.</p> <p>115.34(d)</p> <p>POLICY AND DOCUMENT REVIEW:</p> <p>The agency is not required to respond to this provision.</p> <p>FINDINGS:</p> <p>This provision is not applicable as the agency is not required to respond to this provision.</p>
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115.35	Specialized training: Medical and mental health care
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>115.35(a)</p> <p>POLICY AND DOCUMENT REVIEW:</p> <p>DC-ADM 008 - PREA POLICY</p> <p>Training records: Randomly selected training files.</p> <p>INTERVIEWS:</p> <p>Facility Superintendent</p> <p>PREA Coordinator</p> <p>FINDINGS:</p> <p>Agency Policy addresses this provision. Training documentation reviewed indicated all medical staff participated in the specialized medical and mental health PREA training.</p>

	<p>115.35(b)</p> <p>POLICY AND DOCUMENT REVIEW:</p> <p>The agency reported the facility staff do not conduct forensic exams; therefore, this provision is not applicable.</p> <p>INTERVIEWS:</p> <p>Facility Superintendent</p> <p>PREA Coordinator</p> <p>FINDINGS:</p> <p>The agency reported the facility's staff do not conduct forensic exams; therefore, this provision is not applicable. Staff interviewed confirmed they do not conduct forensic exams onsite; if needed, the inmates would be transported to the Reading Hospital in Reading, PA.</p> <p>115.35(c)</p> <p>POLICY AND DOCUMENT REVIEW:</p> <p>DC-ADM 008 - PREA POLICY</p> <p>Training records.</p> <p>Certificates of Completion.</p> <p>FINDINGS:</p> <p>Agency Policy addresses this provision. Training documentation reviewed indicated medical staff, including contract staff, participated in the general and specialized PREA training. Training documentation reflected some of the training was secured in-house as well via online courses.</p> <p>115.35(d)</p> <p>POLICY AND DOCUMENT REVIEW:</p> <p>Training records.</p> <p>FINDINGS:</p> <p>Training documentation reviewed reflected medical staff, including contract staff, participated in the general PREA training.</p>
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115.41	Screening for risk of victimization and abusiveness
	Auditor Overall Determination: Meets Standard

Auditor Discussion

115.41(a)

POLICY AND DOCUMENT REVIEW:

DC-ADM 008 - PREA POLICY

Randomly selected inmate files.

INTERVIEWS:

Staff responsible for risk screening: Intake staff, and randomly selected inmates.

FINDINGS:

Agency Policy address this provision. Staff interviewed reported inmates are screened normally within two hours and that they would continue to do follow-up with an inmate periodically.

Staff reported if any risk factors were to be detected, the inmate would be referred to the appropriate staff for proper follow-up and reclassification if needed. Inmates interviewed verified staff do conduct periodic follow-up questions after the intake process is completed. Based on staff interviews and the review of inmate case files, it was determined the initial risk screening process is completed well within the 72-hour requirement.

115.41(b)

POLICY AND DOCUMENT REVIEW:

PREA Screening Tool

FINDINGS:

The objective screening instrument is accomplished within the first 2 hours of arrival. The screening document does ask questions to determine if any inmate might have any prior history as a sexual abuser and the responses are scored. Based on the score and responses, a decision is made to properly house the inmate. Intake staff conduct the screening, and the information is secured.

115.41(c)

POLICY AND DOCUMENT REVIEW:

PREA Screening Tool

INTERVIEWS:

Staff responsible for risk screening: Intake and PREA Compliance Manager.

FINDINGS:

	<p>The agency's PREA Screening Tool reflect all the required elements in this provision. Staff interviewed confirmed they use the agency's screening tool during intake. Staff interviewed properly referenced the required elements inmates are screened for during the risk screening process.</p> <p>115.41(d)</p> <p>INTERVIEWS:</p> <p>Staff responsible for risk screening: Intake and PREA Compliance Manager.</p> <p>FINDINGS:</p> <p>Staff reported the information is ascertained through inmate interviews, and from information collected through the PREA Screening tool, medical screening, and case file records.</p> <p>115.41(e)</p> <p>INTERVIEWS:</p> <p>PREA Compliance Manager, and intake staff.</p> <p>FINDINGS:</p> <p>The inmate's medical information is retained in the inmates record. Staff reported the treatment modality drives which staff need the information.</p>
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115.42 Use of screening information	
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>115.42(a)</p> <p>POLICY AND DOCUMENT REVIEW:</p> <p>Agency Policy and the PREA Screen Tool.</p> <p>INTERVIEWS:</p> <p>PREA Compliance Manager, all Inmates, and staff responsible for risk screening.</p> <p>FINDINGS:</p> <p>Agency Policy address this provision. The information obtained in the inmate screening process is used to make individualized determinations to ensure the inmates safety. This information is used to make decisions to place each inmate in appropriate housing, work, and program assignments. The placement decisions are</p>

made by a classification committee. Staff interviewed reported information secured through the screening process is used to determine the need for additional medical or mental health follow-up, and to make classification decisions based on risk factors.

115.42(b)

POLICY AND DOCUMENT REVIEW:

DC-ADM 008 - PREA POLICY

INTERVIEWS:

Random Staff.

Inmates who reported sexual abuse during in processing.

ONSITE REVIEW:

During the tour, there was no indication this facility has a restrictive housing unit

FINDINGS:

Agency Policy addresses this provision. Staff interviewed reported they do not have restrictive housing but could separate inmates for their protection for a short period of time. This is used as a last resort and staff look for other options, such as housing unit changes. Staff reported the welfare of the inmate is always a high consideration. Staff reported they would conduct daily visits for any inmates placed in separate housing for PREA risk factors.

115.42(c)

2023 Agency Audit

Standard 115.42 Analysis

The following evidence was analyzed in making compliance determinations:

Documents:

1. Pennsylvania Department of Corrections Pre-Audit Questionnaire responses
2. Agency Policy DC-ADM 008 Sexual Abuse/Sexual Assault Risk Screening
3. PREA Accommodation Committee Checklist (PAC)
4. PA DOC PREA Risk Assessment Tool (PRAT)
5. PREA Accommodation Committee Reassessment Checklist (PACR)
6. Agency Policy 13.8.1, Access to Mental Health Care Procedures Manual, Section 1, Psychological Services, pages 1-13
7. SCI Coal Township PREA Accommodation Committee Referral Packet

8. SCI Somerset PREA Accommodation Committee Referral Packet

9. SCI Greene PREA Accommodation Committee Referral Packet

Interviews:

1. PREA Coordinator

2. Transgender Review Committee Member

Site Review Observations:

1. Reviewed the PREA Risk Screening Process

2. Reviewed the PREA Risk Screening Reassessment Process

3. Reviewed Confined Person Files

4. Reviewed the PREA Accommodation Committee Checklist (PAC)

Findings (By Provision):

115.42 (c) Agency policy DC-ADM 008, Section 9, page 4-5 indicates the agency utilizes the information collected from the risk screenings to inform housing, bed, work, education, and program assignments with the goal of keeping separate those residents at high risk of being sexually victimized from those at high risk of being sexually abusive. This information was confirmed during the interview with the agency PREA Coordinator as the PREA Coordinator advised all agency risk screenings are objective, case by case evaluations of the confined person with their own perceptions and views being considered. The views of the confined person are recognized along with the tally provided by the staff on the risk assessment document. The PREA Coordinator interview indicated utilizing the risk screening instruments to ensure all bed assignments, work assignments, education, and program assignments are carefully reviewed to ensure potential abusers are not interacting with potential victims.

Agency policy DC-ADM 008, Section 9, page 5 indicates the agency will make individualized determinations on a case-by-case basis to ensure the residents health and safety and personal views are considered. Reassessments shall be conducted by the assigned counselor between calendar day 20 and 30 of every confined persons arrival in the system. Considerations for single cell housing or double cell housing will be determined using the risk assessment tool. The PREA Coordinator confirmed the Unit Management staff will review and recognize an imbalance of power within the cell assignment. This is performed during individual meetings, conversations, group activities, review of the disciplinary actions, and considerations based on the confined persons personal views.

The agency policy DC-ADM 008, Section 19, pages 1-9 explain the departments approach to working with transgender and intersex confined persons. Importantly, this policy explains the classification process and ensures the staff effectively interact professionally and respectfully with this specialized population. Each facility is

required to develop a Plan of Action to prepare for reception and housing of transgender and intersex populations. The PREA Accommodation Committee (PAC) is utilized to measure the proper placement toward housing, security, programming, and other needs. The following methods of interaction are described within this policy: Reception and Classification, Prison Rape Elimination Act (PREA) Risk Assessment Tool (PRAT), Mental Health Referral Form, Access to Health Care Procedures Manual, PREA Accommodation Committee Checklist (PAC), PREA Accommodation Committee Review, Administrative PREA Accommodation Committee (APAC), Transfers, Case Management, Searches, Commissary, and Special Accommodations. Each confined person is considered on a case-by-case basis and the final determination is mandated by the Executive Staff as recommended by the Administrative PREA Accommodation Committee (APAC). The A-PAC consists of a representative from each of the following specialties: Psychology office, Bureau of Health Services, Security Division, and a representative from the Lesbian, Gay, Bisexual, Transgender, and Intersex (LGBTI) Subject Matter Expert community. The auditor reviewed five PREA Accommodation Committee Checklist (PAC) decisions submitted by the PREA Coordinator for three separate facilities. PAC meetings are conducted every six months, and the confined person will be reevaluated at that time.

Conclusion: Based on the review and analysis of the following available evidence: agency policy governing the use of screening information, documentation of the use of screening, documentation of housing decisions, reassessments, and agency housing considerations for the special populations, the auditor has determined that the agency is fully compliant with this standard regarding the risk of victimization and abusiveness.

115.43	Protective Custody
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>115.43(a)-1</p> <p>POLICY AND DOCUMENT REVIEW:</p> <p>DC-ADM 008 - PREA POLICY</p> <p>INTERVIEWS:</p> <p>PREA Compliance Manager.</p> <p>Inmates.</p> <p>FINDINGS:</p> <p>Agency Policy addresses this provision. Agency Policies prohibit the placement of</p>

inmates at high risk of sexual victimization in involuntary segregated housing unless an assessment of alternatives has been made. If any inmate would be placed in segregation for protection, they would be offered privileges to the extent possible. Inmates are advised of these limitations and the duration.

115.43 (a)-2

POLICY AND DOCUMENT REVIEW:

DC-ADM 008 - PREA POLICY

INTERVIEWS:

PREA Compliance Manager.

Inmates.

FINDINGS:

Agency Policy addresses this provision. Staff interviewed reported the inmate's health and safety are taken into consideration during placement and programming assignments. The audited facility reported zero inmates were held in restrictive/separated housing in the past 12 months for the purpose of protecting a possible sexual abuse victim.

115.43(c)

POLICY AND DOCUMENT REVIEW:

DC-ADM 008 - PREA POLICY

INTERVIEWS:

PREA Compliance Manager.

FINDINGS:

Agency Policy addresses this provision. The audited facility reported zero inmates were held in restrictive housing in the past 12 months for longer than 30 days awaiting alternative placement.

115.43 (d)

POLICY AND DOCUMENT REVIEW:

DC-ADM 008 - PREA POLICY

INTERVIEWS:

PREA Compliance Manager.

Facility Superintendent

	<p>FINDINGS:</p> <p>Agency Policy addresses this provision. The audited facility reported zero inmates were involuntarily held in restrictive housing in the past 12 months awaiting alternative placement.</p> <p>115.43(e)</p> <p>POLICY AND DOCUMENT REVIEW:</p> <p>The agency reported there have been no PREA related incidents involving the involuntary assignment of any inmate in the past 12 months to restrictive housing.</p> <p>FINDINGS:</p> <p>The agency reported there have been no PREA related incidents involving the isolation of any inmate in the past 12 months, therefore there were no case files to review specific to this provision.</p>
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115.51	Inmate reporting
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>115.51(a)</p> <p>POLICY AND DOCUMENT REVIEW:</p> <p>DC-ADM 008 - PREA POLICY</p> <p>Inmate Handbook.</p> <p>Grievance Form.</p> <p>INTERVIEWS:</p> <p>Random Sample of Staff and all Inmates.</p> <p>ONSITE REVIEW:</p> <p>During the tour, the auditor noted PREA Information in the inmate handbook, and on posters in the housing unit.</p> <p>FINDINGS:</p> <p>Agency Policy addresses this provision. Staff interviewed reported inmates have several options available to report an allegation: grievance form; a letter; tell staff, and a third party, such as a family member. Inmates interviewed reported they could make a report to staff; family or submit a grievance form. Most of the inmates</p>

indicated they would go directly to staff.

115.51(b)

POLICY AND DOCUMENT REVIEW:

DC-ADM 008 - PREA POLICY

PREA Posters/information in the housing units.

INTERVIEWS:

PREA Compliance Manager and Random Sample of Staff.

ONSITE REVIEW:

During the onsite review, the auditor noted PREA Posters and phones are accessible to the inmates in each housing unit.

FINDINGS:

Agency Policy address this provision. Staff interviewed reported inmates could make anonymous reports to anyone. Inmates interviewed reported they could call a family member or a Rape Crisis Center if they needed to contact someone outside of the facility. The inmates reported they were aware they could make reports anonymously.

115.51(c)

POLICY AND DOCUMENT REVIEW:

DC-ADM 008 - PREA POLICY

INTERVIEWS:

Random Sample of Staff and All Inmates.

FINDINGS:

Agency Policy address this provision. Staff interviewed reported they would accept reports in writing, anonymously, verbally and through third parties, and that any reports received verbally would be documented immediately. Inmates interviewed reported they could make reports anonymously, in writing, grievance, verbally, through a family member, or staff member.

115.51(d)

POLICY AND DOCUMENT REVIEW:

DC-ADM 008 - PREA POLICY

INTERVIEWS:

	<p>PREA Compliance Manager.</p> <p>FINDINGS:</p> <p>Agency Policy address this provision. Staff interviewed reported inmates can make reports by submitting them in writing, by calling the hotline or through a call to their family. Staff are informed of how to report privately any sexual abuse or harassment. They can verbally discuss sexual abuse/harassment with chain of command/ supervisors in a private setting. They can also report in writing, via grievance form, memo, etc. Staff are informed of these requirements with required PREA training and employee handbooks. The staff understanding of this process was verified in the interviews.</p>
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115.52	Exhaustion of administrative remedies
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>115.52(a)</p> <p>POLICY AND DOCUMENT REVIEW:</p> <p>DC-ADM 008 - PREA POLICY</p> <p>Inmate Handbook.</p> <p>Grievance Form.</p> <p>INTERVIEWS:</p> <p>Staff and Inmates.</p> <p>FINDINGS:</p> <p>Agency Policy addresses this provision. In accordance with agency policy, the inmate grievance process meets the requirements of PREA. The process allows the inmate to file an oral or written complaint/grievance at any time about sexual abuse or on any correctional issue. The complaint can be filed with any staff and will be directed to the facility Superintendent or designee for response if necessary. Inmates interviewed reported they would go directly to a staff member.</p> <p>115.52(b)</p> <p>POLICY AND DOCUMENT REVIEW:</p> <p>PREA POLICY.</p> <p>INTERVIEWS:</p>

PREA Compliance Manager and Staff.

FINDINGS:

Agency Policy addresses this provision. By policy, the inmate is not required to use an informal grievance process nor refer any grievance to the staff member who is the subject of the complaint. The audited facility will not refer the grievance to the staff member who is the subject of the complaint. There is no time limit of the filing of a sexual abuse or sexual harassment grievance. The Inmate Handbook clearly outlines the process required.

115.52(c)

POLICY AND DOCUMENT REVIEW:

DC-ADM 008 - PREA POLICY

INTERVIEWS:

Inmates.

FINDINGS:

Agency Policy addresses this provision. Staff interviewed reported they would accept reports in writing, anonymously, verbally and through third parties, and that any reports received verbally would be documented immediately. By policy, the inmate is not required to use an informal grievance process nor refer any grievance to the staff member who is the subject of the complaint.

115.52(d)

POLICY AND DOCUMENT REVIEW:

DC-ADM 008 - PREA POLICY

INTERVIEWS:

PREA Compliance Manager.

FINDINGS:

Agency Policy address this provision. In the past 12 months, there were ten (10) grievances filed concerning sexual abuse or harassment. PREA related grievances are immediately forwarded from the grievance process to the investigators. All investigations were completed within 90 days and the inmates were notified of the decision. Agency policy allows third party assistance to inmates in the grievance process. If the inmate declines assistance of a third party, that decision to decline assistance would be documented. No assistance has been requested.

This facility does not have emergency grievances, all grievances are considered a

priority and are processed immediately, no matter what the topic of the grievance is. When received, the grievance is immediately addressed. Agency policy requires that a response to a PREA related grievance must be acted upon immediately. Policy does limit any sanctions to an inmate who filed the grievance in bad faith. In the past 12 months, there were ten (10) grievances filed concerning sexual abuse or harassment. The process is well defined in the inmate handbook and would be used by the inmate if necessary.

115.52(e)

POLICY AND DOCUMENT REVIEW:

DC-ADM 008 - PREA POLICY

INTERVIEWS:

PREA Compliance Manager.

FINDINGS:

Agency Policy address this provision. In the past 12 months, there were ten (10) grievances were filed concerning sexual abuse or harassment. Grievances were completed within 90 days and the inmates were notified of the decision. Agency policy allows third party assistance to inmates in the grievance process. If the inmate declines assistance of a third party, that decision to decline assistance would be documented. No assistance has been requested.

115.52(f)

POLICY AND DOCUMENT REVIEW:

PREA POLICY.

INTERVIEWS:

PREA Compliance Manager.

FINDINGS:

Emergency grievances are permitted in reporting a grievance concerning sexual abuse/harassment. If received, the grievance is immediately addressed. Agency policy requires that a response to an emergency grievance must be completed within 48 hours and a final decision must be made within 5 calendar days. Policy does limit any sanctions to an inmate who filed the grievance in bad faith.

115.52(g)

POLICY AND DOCUMENT REVIEW:

DC-ADM 008 - PREA POLICY

INTERVIEWS:

	<p>PREA Compliance Manager.</p> <p>FINDINGS:</p> <p>Agency Policy does limit any sanctions to an inmate who filed the grievance in bad faith. In the past 12 months, there were ten (10) grievances filed concerning sexual abuse or harassment. The process is well defined in the inmate handbook and would be used by the inmate if necessary.</p>
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115.53	Inmate access to outside confidential support services
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>115.53(a)</p> <p>POLICY AND DOCUMENT REVIEW:</p> <p>DC-ADM 008 - PREA POLICY.</p> <p>Inmate handbook.</p> <p>INTERVIEWS:</p> <p>All Inmates.</p> <p>FINDINGS:</p> <p>Agency Policy addresses this provision. The audited facility provides to the inmates, confidential access to outside victim advocates by providing the name of the organization, toll free telephone number, posters, and the information is in the inmate handbook. The victim advocate service includes in-person support services to the victim through the forensic medical exam process as well as the investigatory interview process and at no charge to the inmate.</p>
	<p>115.53(b)</p> <p>POLICY AND DOCUMENT REVIEW:</p> <p>DC-ADM 008 - PREA POLICY.</p> <p>INTERVIEWS:</p> <p>All Inmates.</p> <p>FINDINGS:</p> <p>Agency Policy addresses this provision. Inmates interviewed reported they had never requested support services from outside agencies. Advocate services informs the</p>

	<p>inmates of limits to confidentiality prior to receiving services.</p> <p>115.53(c)</p> <p>POLICY AND DOCUMENT REVIEW:</p> <p>Memorandum of Understandings</p> <p>FINDINGS:</p> <p>The audited facility maintains the agreement that provides advocate services and informs the inmates of limits to confidentiality. These agreements were provided to the Auditor in the Pre-Audit document request.</p>
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115.54	Third-party reporting
	<p>Auditor Overall Determination: Meets Standard</p> <p>Auditor Discussion</p> <p>115.54(a)</p> <p>POLICY AND DOCUMENT REVIEW:</p> <p>DC-ADM 008 - PREA POLICY</p> <p>Inmate handbook.</p> <p>INTERVIEWS:</p> <p>PREA Coordinator</p> <p>FINDINGS:</p> <p>Agency Policy addresses this provision. The public can report online using the DOJ PREA Reporting, National Sexual Assault Hotline, or the Pennsylvania Department of Corrections on behalf of the inmate for third party reporting of inmate sexual abuse and harassment.</p>

115.61	Staff and agency reporting duties
	<p>Auditor Overall Determination: Meets Standard</p> <p>Auditor Discussion</p> <p>115.61(a)</p> <p>POLICY AND DOCUMENT REVIEW:</p>

	<p>DC-ADM 008 - PREA POLICY</p> <p>Pre-audit questionnaire.</p> <p>INTERVIEWS:</p> <p>Interviews with Facility Superintendent, random staff, & PREA Coordinator.</p> <p>FINDINGS:</p> <p>Agency Policy address this provision. All staff interviewed reported they would immediately report any knowledge, suspicion, or information regarding any allegation of sexual abuse or sexual harassment. Staff also reported they would report any retaliation against staff or inmates who reported an incident, or any staff neglect or violation of responsibilities that may have contributed to an incident or retaliation.</p> <p>115.61(b)</p> <p>POLICY AND DOCUMENT REVIEW:</p> <p>DC-ADM 008 - PREA POLICY</p> <p>Pre-audit questionnaire.</p> <p>INTERVIEWS:</p> <p>Interviews with Facility Superintendent, staff, & PREA Compliance Manager.</p> <p>FINDINGS:</p> <p>Agency Policy addresses this provision. All staff interviewed reported all staff are required to report all sexual abuse allegations. The facility reports all administrative allegations to the SCI Mahanoy Investigator and all criminal investigations are additionally reported to the Pennsylvania State Police investigator. All staff are informed of the importance of confidentially being maintained in the reporting process. No inmate is under the age of 18 at the audited facility.</p>
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115.62	Agency protection duties
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>115.62(a)</p> <p>POLICY AND DOCUMENT REVIEW:</p> <p>DC-ADM 008 - PREA POLICY</p> <p>Pre-audit questionnaire.</p>

	<p>INTERVIEWS:</p> <p>Interviews with Facility Superintendent , staff, & PREA Compliance Manager.</p> <p>FINDINGS:</p> <p>Agency Policy addresses this provision. Staff interviewed reported immediate action would be taken if staff were to become aware of any inmate being at substantial risk of imminent sexual abuse. Staff reported any allegation would be taken seriously and due diligence would be followed to ensure staff respond to inmates immediately. Management staff reported the key is creating a safe culture. Randomly selected staff reported in detail the immediate steps they would take to respond to any allegation of an inmate reporting they are at a substantial risk of imminent sexual abuse.</p>
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115.63	Reporting to other confinement facilities
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>115.63(a)</p> <p>POLICY AND DOCUMENT REVIEW:</p> <p>DC-ADM 008 - PREA POLICY</p> <p>Pre-audit questionnaire.</p> <p>INTERVIEWS:</p> <p>Facility Superintendent .</p> <p>FINDINGS:</p> <p>Agency Policy addresses this provision. The agency has a policy that requires notification of another facility when they learn of an inmate that had been sexually abused at that other facility. In the past 12 months, the facility reported six allegations of sexual abuse that an inmate received at another facility.</p> <p>115.63(b)</p> <p>POLICY AND DOCUMENT REVIEW:</p> <p>DC-ADM 008 - PREA POLICY</p> <p>FINDINGS:</p> <p>Agency Policy addresses this provision. Notification of sexual abuse at another confinement facility would be completed within the 72-hour time frame. Documentation required that the report be investigated and properly acted upon was</p>

	<p>completed.</p> <p>115.63(c)</p> <p>POLICY AND DOCUMENT REVIEW:</p> <p>DC-ADM 008 - PREA POLICY</p> <p>FINDINGS:</p> <p>All notifications and documentation of the notifications were recorded in accordance with agency policy.</p> <p>115.63(d)</p> <p>POLICY AND DOCUMENT REVIEW:</p> <p>DC-ADM 008 - PREA POLICY</p> <p>INTERVIEWS:</p> <p>Facility Superintendent .</p> <p>FINDINGS:</p> <p>Agency Policy addresses this provision. Staff interviewed reported they would initiate an investigation just like any other.</p>
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115.64	Staff first responder duties
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>115.64(a)</p> <p>POLICY AND DOCUMENT REVIEW:</p> <p>DC-ADM 008 - PREA POLICY</p> <p>Pre-audit questionnaire.</p> <p>INTERVIEWS:</p> <p>Random Staff.</p> <p>FINDINGS:</p> <p>Agency Policy address this provision. The practices to this policy were verified by the responses from the staff being questioned in the interview process. All staff are provided training on the staff responder actions required in the event of a sexual</p>

	<p>abuse. This would include all security and non-security staff that might be a first responder.</p> <p>Agency policy also address the actions required if the responder is not a security staff member. The non-security staff person would ensure that the alleged victim not take any action that might destroy physical evidence and then notify security staff. Staff interviewed outlined the response taken in response to an allegation. The agency protocol, which meets the standard requirements, was followed.</p> <p>115.64(b)</p> <p>POLICY AND DOCUMENT REVIEW:</p> <p>DC-ADM 008 - PREA POLICY</p> <p>Pre-audit questionnaire.</p> <p>INTERVIEWS:</p> <p>Random Staff.</p> <p>FINDINGS:</p> <p>Agency Policy address this provision. In the past 12 months, thirty-seven allegations of sexual abuse from inmates were recorded. Procedures were reviewed by the auditor which indicated that the proper response procedures are in place.</p>
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115.65	Coordinated response
	<p>Auditor Overall Determination: Meets Standard</p>
	<p>Auditor Discussion</p>
	<p>115.65(a)</p> <p>POLICY AND DOCUMENT REVIEW:</p> <p>DC-ADM 008 - PREA POLICY</p> <p>INTERVIEWS:</p> <p>Facility Superintendent</p> <p>FINDINGS:</p> <p>Agency Policy address this provision. Agency policy required a coordinated response by security/supervisory/management staff, medical, law enforcement, victim advocacy services, and SANE/SAFE services. The document clearly outlines the institutional plan to coordinate actions taken in response to an incident. Staff interviewed reiterated the protocols outlined in the agency's institutional plan.</p>

115.66	Preservation of ability to protect inmates from contact with abusers
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>115.66(a)</p> <p>POLICY AND DOCUMENT REVIEW:</p> <p>2023 Agency Audit Report</p> <p>Standard 115.66 Analysis</p> <p>The following evidence was analyzed in making compliance determinations:</p> <p>Documents:</p> <ol style="list-style-type: none"> 1. Pennsylvania Department of Corrections Pre-Audit Questionnaire Responses 2. Policy - 4.1.1 Human Resources and Labor Relations, Section 5 pg. 1-3 3. Memorandum from the Secretary of Corrections 4. Pennsylvania Doctors Alliance Agreement 5. American Federation of State, County and Municipal Employees Agreement 6. Correctional Institution Vocational Education Association, Pennsylvania State Education 7. Association, National Education Association Agreement 8. Federation of State Cultural and Educational Professionals Agreement 9. Pennsylvania State Corrections Officers Association Agreement 10. OPEIU Healthcare Pennsylvania Memorandum of Understanding 11. SEIU Agreement 12. Service Employees International Union Healthcare Pennsylvania, CTW, CLC Agreement <p>Interviews:</p> <ol style="list-style-type: none"> 1. Agency Head 2. Agency PREA Coordinator <p>Site Review Observations:</p>

	<p>1. Reviewed a memo provided by the PREA Coordinator discussing evidence supported by a previous audit.</p> <p>Findings (By Provision):</p> <p>115.66 (a-b) The Pennsylvania Department of Corrections has not entered into any agreement that limits the agency’s ability to remove alleged staff sexual abusers from contact with confined persons pending the outcome of an investigation or of a determination of whether and to what extent discipline is warranted. In deciding to suspend an employee pending investigation, the Department must be able to demonstrate that the “nature of the allegations” is such that there is cause to remove the employee from the institution pending investigation and not that the employee committed the offenses. The FLSA regulations, for exempt employees, permit suspensions of less than a full work week for violations of written workplace policies applicable to all employees. This provision applies to applicable written work rules which prohibit serious workplace misconduct, which includes, but is not limited to, workplace violence, sexual abuse, sexual harassment, substance abuse, internet access policies, Code of Ethics violations, or violations of state or federal law. Discipline for these infractions should be consistent with Section 6 of this procedure’s manual. The Agency Head and the PREA Coordinator interviews explained all labor contracts expired on June 30, 2023, and remain in negotiations at this time. The current agreements will remain in effect until the new agreements are reached.</p> <p>Conclusion: The auditor reviewed the evidence provided by the agency and found no evidence to deny satisfactory compliance toward this standard. These documents do not limit the agency's ability to remove alleged staff sexual abusers from contact with any confined persons pending the outcome of an investigation or of a determination of whether and to what extent discipline is warranted. The agency PREA Coordinator interview confirmed this process, and the Agency Head interview indicated disciplinary action will be followed by notification to the Pennsylvania State Police for criminal acts and certifying bodies for certification review.</p>
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115.67	Agency protection against retaliation
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>115.67(a)</p> <p>POLICY AND DOCUMENT REVIEW:</p> <p>DC-ADM 008 - PREA POLICY</p> <p>FINDINGS:</p> <p>Agency Policy address this provision. Policy requires the protection of inmates and</p>

staff who report sexual abuse/harassment from retaliation. Senior management is assigned to supervise the monitoring and prevention of retaliation.

115.67(b)

POLICY AND DOCUMENT REVIEW:

DC-ADM 008 - PREA POLICY

INTERVIEWS:

Designated Staff Member Charged with Monitoring Retaliation.

PREA Compliance Manager.

FINDINGS:

Agency Policy address this provision. Staff reported when an investigation is initiated, the individual making the report is told what the expected process will be and if anyone threatens or otherwise makes them feel uncomfortable, they are provided with the name of the person to notify. Staff and inmates are informed that any retaliation will be taken seriously and acted upon. Staff reported the process followed and strategies used when monitoring for potential retaliation against both inmates and staff. Staff is offered emotional support services.

115.67(c)

POLICY AND DOCUMENT REVIEW:

DC-ADM 008 - PREA POLICY

INTERVIEWS:

Designated Staff Member Charged with Monitoring Retaliation.

PREA Compliance Manager.

FINDINGS:

Agency Policy address this provision. Staff reported in detail what they look for when monitoring for retaliation for both inmates and staff, and the duration of the monitoring, which meet the standard requirements.

115.67(d)

POLICY AND DOCUMENT REVIEW:

DC-ADM 008 - PREA POLICY

INTERVIEWS:

Designated Staff Member Charged with Monitoring Retaliation.

	<p>PREA Compliance Manager.</p> <p>FINDINGS:</p> <p>Agency Policy addresses this provision. All required monitoring will be promptly conducted for a minimum of 90 days or longer if needed. The facility would employ a variety of methods such as housing change, removal of abuser, or other means to protect the inmate victim. This policy would also protect anyone who assisted in the investigation. The policies also require periodic status checks designed to protect an individual from retaliation.</p> <p>115.67(e)</p> <p>POLICY AND DOCUMENT REVIEW:</p> <p>DC-ADM 008 - PREA POLICY</p> <p>INTERVIEWS:</p> <p>Facility Superintendent.</p> <p>FINDINGS:</p> <p>Agency Policy addresses this provision. Staff interviewed reported any type of retaliation is treated seriously and any allegations made would be reviewed and investigated. If an allegation were to be found true, the appropriate necessary actions would be taken.</p> <p>115.67(f)</p> <p>POLICY AND DOCUMENT REVIEW:</p> <p>The agency is not required to respond to this provision.</p> <p>FINDINGS:</p> <p>This provision is not applicable as the agency is not required to respond to this provision.</p>
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115.68	Post-allegation protective custody
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>115.68(a)</p> <p>POLICY AND DOCUMENT REVIEW:</p> <p>DC-ADM 008 - PREA POLICY</p>

	<p>INTERVIEWS:</p> <p>Facility Superintendent</p> <p>At the time of the onsite audit, there were no inmates in isolation for risk of sexual victimization/who alleged to have suffered sexual abuse, therefore no inmate was interviewed specific to this provision.</p> <p>ONSITE REVIEW:</p> <p>During the onsite review, there was no indication that this facility has restrictive housing.</p> <p>FINDINGS:</p> <p>Agency Policy addresses this provision. Staff interviewed reported protective custody/ restrictive housing would be used only as a true last resort and efforts would continue to find alternatives during restrictive housing assignment. No inmates are placed in restrictive housing involuntary without an assessment of all available alternatives. These policies meet the PREA requirements. The facility reported zero inmates who reported sexual abuse were held in involuntary restrictive housing in the past 12 months. Policies also dictate if an involuntary restrictive housing assignment is made, the facility affords each inmate a review every 30 days and the inmate programs would continue to the best extent possible. This facility does not have a dedicated restrictive housing unit.</p>
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115.71	Criminal and administrative agency investigations
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>115.71(a)</p> <p>POLICY AND DOCUMENT REVIEW:</p> <p>DC-ADM 008 - PREA POLICY</p> <p>Training Documentation.</p> <p>INTERVIEWS:</p> <p>Investigative Staff</p> <p>FINDINGS:</p> <p>Agency Policy addresses this provision. There were three investigative files to review. Staff interviewed reported investigations are initiated immediately and that third-party and anonymous reports are also considered, documented and the information</p>

included in the final report.

115.71(b)

POLICY AND DOCUMENT REVIEW:

DC-ADM 008 - PREA POLICY

Investigative staff training records.

INTERVIEWS:

Investigative Staff

FINDINGS:

Agency Policy addresses this provision. A review of the investigative staff training documents indicated all investigative staff are trained in the required specialized investigative staff training. Staff interviewed reported receiving the required training.

115.71(c)

POLICY AND DOCUMENT REVIEW:

DC-ADM 008 - PREA POLICY

Investigative staff training records.

INTERVIEWS:

Investigative Staff

FINDINGS:

Agency Policy addresses this provision. There were three investigative files to review. Staff interviewed reported in detail the steps followed and information collected and documented during an investigation and retention requirements for the files in accordance with the standard.

115.71(d)

POLICY AND DOCUMENT REVIEW:

DC-ADM 008 - PREA POLICY

INTERVIEWS:

Investigative Staff

FINDINGS:

Agency Policy addresses this provision. Staff interviewed reported investigations are not terminated solely because the victim recants the allegation and would move

forward with the investigation.

115.71(e)

POLICY AND DOCUMENT REVIEW:

DC-ADM 008 - PREA POLICY

INTERVIEWS:

Investigative Staff

FINDINGS:

Agency Policy addresses this provision. The criminal investigators are sworn law enforcement and are always involved in all investigations. Investigative staff reported the investigators will refer the case for prosecutorial review if evidence reveals a criminal act may have been committed.

115.71(f)

POLICY AND DOCUMENT REVIEW:

DC-ADM 008 - PREA POLICY

INTERVIEWS:

Investigative Staff.

FINDINGS:

Staff interviewed reported all information would be considered, documented, and assessed as part of the investigation. Staff also reported that a polygraph is not a part of the investigative process for PREA related allegations.

115.71(g)

POLICY AND DOCUMENT REVIEW:

DC-ADM 008 - PREA POLICY

INTERVIEWS:

Investigative Staff.

FINDINGS:

Agency Policy addresses this provision. Staff interviewed reported everything is considered as part of the investigation including whether staff actions or failures to act contributed to the abuse. The incident review process, which addresses this provision, would be completed within 30 days of the completion of the investigation.

115.71(h)

POLICY AND DOCUMENT REVIEW:

DC-ADM 008 - PREA POLICY

INTERVIEWS:

Investigative Staff

FINDINGS:

Agency Policy addresses this provision. SCI Mahanoy Investigator will conduct all administrative investigations. In accordance with agency policy, any allegation involving sexual abuse or criminal activity requires that the Pennsylvania State Police Investigator is notified immediately to assume control of the investigation. The SCI Mahanoy Investigator have been trained to meet PREA standards. The criminal investigator is a State approved Law Enforcement Official and will promptly and thoroughly investigate each allegation. Should an allegation be substantiated, the case will be referred for prosecution. The SCI Mahanoy Investigator will initiate the investigative process by collecting all evidence, interviewing witnesses, perpetrators, victims, etc. Reports are documented and cases are referred for prosecution if necessary. Polygraph tests for PREA cases are not authorized.

115.71(i)

POLICY AND DOCUMENT REVIEW:

DC-ADM 008 - PREA POLICY

INTERVIEWS:

Investigative Staff

FINDINGS:

Agency Policy addresses this provision. Agency Policies require written reports be developed and retained per PREA standards, for as long as the alleged abuser is incarcerated or employed by the agency, plus ten years and per local state retention requirements. Should a victim or abuser (staff or inmate) resign or be transferred to another facility, the case will continue to be investigated.

115.71(j)

POLICY AND DOCUMENT REVIEW:

DC-ADM 008 - PREA POLICY

FINDINGS:

Agency Policy addresses this provision and requires investigation reports to be kept in perpetuity. The auditor reviewed the policy and procedures regarding investigative files.

	<p>115.71(k)</p> <p>POLICY AND DOCUMENT REVIEW:</p> <p>DC-ADM 008 - PREA POLICY</p> <p>INTERVIEWS:</p> <p>Investigative Staff</p> <p>FINDINGS:</p> <p>Staff interviewed reported an investigation would continue regardless of whether the alleged abuser or alleged victim left the facility.</p> <p>115.71(l)</p> <p>POLICY AND DOCUMENT REVIEW:</p> <p>The agency is not required to respond to this provision.</p> <p>FINDINGS:</p> <p>This provision is not applicable as the agency is not required to respond to this provision.</p>
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115.72	Evidentiary standard for administrative investigations
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>115.72(a)</p> <p>POLICY AND DOCUMENT REVIEW:</p> <p>DC-ADM 008 - PREA POLICY</p> <p>INTERVIEWS:</p> <p>Investigative Staff.</p> <p>FINDINGS:</p> <p>Agency Policy address this provision. A review of agency policy indicated the proper standard will be used in determining that the allegations were founded/substantiated. Staff reported the standard of evidence used to substantiate allegations is the preponderance of the evidence.</p>

115.73	Reporting to inmates
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>115.73(a)</p> <p>POLICY AND DOCUMENT REVIEW:</p> <p>DC-ADM 008 - PREA POLICY</p> <p>INTERVIEWS:</p> <p>Facility Superintendent.</p> <p>Investigative staff.</p> <p>FINDINGS:</p> <p>Agency Policy addresses this provision and requires notification for both sexual abuse and sexual harassment investigations. Staff interviewed reported the inmate would be notified in verbally/in writing. The agency policy requirements to notify the inmate on the outcome of the investigations meets the standard requirements.</p> <p>115.73(b)</p> <p>POLICY AND DOCUMENT REVIEW:</p> <p>DC-ADM 008 - PREA POLICY</p> <p>FINDINGS:</p> <p>The agency policy is to contact the SCI Mahanoy Investigator to conduct administrative investigations on all PREA-related allegations.</p> <p>115.73(c)</p> <p>POLICY AND DOCUMENT REVIEW:</p> <p>DC-ADM 008 - PREA POLICY</p> <p>FINDINGS:</p> <p>Staff reported there has been seven unsubstantiated complaints of sexual abuse committed by a staff member, contractor, intern, or volunteer against an inmate in the past 12 months.</p> <p>INTERVIEWS:</p> <p>All Inmates.</p> <p>FINDINGS:</p>

	<p>Agency Policy addresses this provision. By policy, employees are not immediately removed from all inmate contact following an allegation of alleged PREA related violations.</p> <p>115.73(d)</p> <p>POLICY AND DOCUMENT REVIEW:</p> <p>DC-ADM 008 - PREA POLICY</p> <p>INTERVIEWS:</p> <p>Random Inmates.</p> <p>FINDINGS:</p> <p>Agency Policy addresses this provision. Agency policy that requires that the inmate be informed of the outcome of the investigation of all sexual abuse/harassment complaints that the inmate has filed. In the past 12 months, fifty-nine allegations of sexual abuse or sexual harassment from inmates were investigated. By policy all investigations are to be completed, and the inmate is to be informed in writing of the result of the investigation. The reports are documented in writing. For complaints directed towards staff, the inmate would be advised as to staff relocation, no longer employed, whether staff member has been indicted or convicted.</p> <p>115.73(e)</p> <p>POLICY AND DOCUMENT REVIEW:</p> <p>DC-ADM 008 - PREA POLICY</p> <p>Investigative files.</p> <p>FINDINGS:</p> <p>Agency Policy addresses this provision. A review of the agency policy reflected the SCI Mahanoy Investigator conducts all administrative investigations. In the past 12 months, fifty-nine allegations of sexual abuse or sexual harassment from inmates were investigated.</p>
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115.76	Disciplinary sanctions for staff
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>115.76(a)</p> <p>POLICY AND DOCUMENT REVIEW:</p>

DC-ADM 008 - PREA POLICY

FINDINGS:

The agency contacted the SCI Mahanoy Investigator to conduct administrative investigations on PREA related allegations.

FINDINGS:

Agency Policy addresses this provision. The audited agency has disciplinary sanctions for staff up to and including termination for violating sexual abuse and sexual harassment policies. The facility reported one case where an employee was sanctioned in accordance with the agency disciplinary policy for sexual harassment of an inmate and (1) case where a staff member may be reported to law enforcement for violating sexual abuse or harassment policies once the disciplinary process and the employee's administrative rights/processes have been completed.

115.76(b)

POLICY AND DOCUMENT REVIEW:

DC-ADM 008 - PREA POLICY.

The agency reported there has been one staff member that have violated agency sexual harassment policies in the past 12 months.

FINDINGS:

Agency Policy addresses this provision. Sixteen staff members were investigated for possible PREA violations, with one substantiated finding.

115.76(c)

DC-ADM 008 - PREA POLICY.

The agency reported there has been one staff member disciplined for violation of agency sexual abuse or sexual harassment policies in the past 12 months.

FINDINGS:

Agency Policy addresses this provision. Sixteen staff members were investigated for possible PREA violations, with one substantiated finding.

115.76(d)

POLICY AND DOCUMENT REVIEW:

DC-ADM 008 - PREA POLICY.

The agency reported there has been one staff member disciplined for violation of agency sexual abuse or sexual harassment policies in the past 12 months.

FINDINGS:

	Agency Policy addresses this provision. Sixteen staff members were investigated for possible PREA violations, with one substantiated finding.
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115.77	Corrective action for contractors and volunteers
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>115.77(a)</p> <p>POLICY AND DOCUMENT REVIEW:</p> <p>DC-ADM 008 - PREA POLICY.</p> <p>The agency reported there had been (0) contractors or volunteers reported to law enforcement agencies and relevant licensing bodies for engaging in sexual abuse of inmates in the past 12 months.</p> <p>FINDINGS:</p> <p>Agency Policy address this provision. The agency reported there had been (0) contractors or volunteers reported to law enforcement agencies and relevant licensing bodies for engaging in sexual abuse of inmates in the past 12 months, therefore there was no documentation to review specific to this provision.</p>
	<p>115.77(b)</p> <p>POLICY AND DOCUMENT REVIEW:</p> <p>DC-ADM 008 - PREA POLICY</p> <p>INTERVIEWS:</p> <p>Facility Superintendent</p> <p>Random Staff</p> <p>FINDINGS:</p> <p>The agency reported there had been (0) contractors or volunteers reported for engaging in sexual abuse of inmates in the past 12 months, therefore there was no documentation to review specific to this provision. Staff interviewed reported any allegations of sexual abuse of inmates by contractors or volunteers would be treated the same as if they were regular staff. Agency personnel with the need to know would be notified, who would then contact the contractor's point of contact and cease the contract with the contractor. Both volunteers and contractors would be prohibited from having further contact with inmates.</p>

115.78	Disciplinary sanctions for inmates
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>115.78(a)</p> <p>POLICY AND DOCUMENT REVIEW:</p> <p>DC-ADM 008 - PREA POLICY.</p> <p>Inmate Orientation Packet.</p> <p>Inmate Handbook.</p> <p>FINDINGS:</p> <p>Agency Policy address this provision. The Inmate Orientation Packet and Inmate Handbook, provide information related to the Code of Conduct and Progressive Disciplinary Sanctions, including sanctions pertaining to sexual abuse and sexual harassment.</p> <p>115.78(b)</p> <p>POLICY AND DOCUMENT REVIEW:</p> <p>The agency reported there were (0) incidents of Inmate-on-Inmate abusive sexual contact allegation with a finding of guilt. The agency reported there has been zero inmates placed in restrictive housing for inmate-on-inmate sexual abuse or harassment as a disciplinary sanction in the past 12 months.</p> <p>INTERVIEWS:</p> <p>Facility Superintendent</p> <p>Staff</p> <p>FINDINGS:</p> <p>Agency Policy address this provision. The agency reported there were (0) incidents of Inmate-on-Inmate abusive sexual contact allegation with a finding of guilt, documentation reviewed showed all proper PREA protocols are in the policy, and this facility meets this provision. Staff interviewed reported an inmate-on-inmate sexual abuse incident would be considered a major rule violation and could result in disciplinary restrictive housing. The sanction would be referred for supervisory review and approval.</p> <p>115.78(c)</p> <p>POLICY AND DOCUMENT REVIEW:</p>

DC-ADM 008 - PREA POLICY

INTERVIEWS:

Facility Superintendent

Staff

FINDINGS:

Agency Policy address this provision. The agency reported there were (0) incidents of Inmate-on-Inmate abusive sexual contact allegation with a finding of guilt, documentation reviewed showed all proper PREA protocols are in the policy, and this facility meets this provision. Staff interviewed reported an inmate-on-inmate sexual abuse incident would be considered a major rule violation and could result in disciplinary restrictive housing. The sanction would be referred for supervisory review and approval.

115.78(d)

POLICY AND DOCUMENT REVIEW:

DC-ADM 008 - PREA POLICY

INTERVIEWS:

Staff

FINDINGS:

Agency Policy address this provision. Staff interviewed reported the offending inmate is offered therapy, counseling, or other intervention services, but would not require the inmate's participation as a condition of access to any rewards-based behavior management system or programming or education.

115.78(e)(f)(g)

POLICY AND DOCUMENT REVIEW:

DC-ADM 008 - PREA POLICY

FINDINGS:

Agency Policy address this provision. The agency reported there were (0) incidents of Inmate-on-Inmate abusive sexual contact allegation with a finding of guilt. Staff interviewed reported an inmate-on-inmate sexual abuse incident would be considered a major rule violation and could result in disciplinary restrictive housing. The sanction would be referred for supervisory review and approval.

115.81 Medical and mental health screenings; history of sexual abuse

Auditor Overall Determination: Meets Standard

Auditor Discussion

115.81(a)

POLICY AND DOCUMENT REVIEW:

DC-ADM 008 - PREA POLICY.

INTERVIEWS:

Inmates who Disclosed Sexual Victimization at Risk Screening.

Staff Responsible for Risk Screening.

FINDINGS:

Agency Policy address this provision. A review of the forms used by the agency demonstrate how the intake screening staff, medical and mental health staff document the follow-up services inmates with prior sexual victimization disclose during the screening process. Staff interviewed reported they work with the medical and mental health professionals by notifying them immediately, which generates a referral. Inmates interviewed reported being referred to medical and mental health staff for follow-up. A review of the inmate files showed the inmates did receive a follow-up meeting with medical and mental health practitioners as required.

115.81(b)

POLICY AND DOCUMENT REVIEW:

DC-ADM 008 - PREA POLICY.

Randomly selected inmate files.

INTERVIEWS:

Staff Responsible for Risk Screening.

FINDINGS:

Agency Policy addresses this provision. Staff interviewed reported inmates are offered referrals to mental health staff for follow-up. A review of randomly selected inmate files reflected the inmates were offered a follow-up meeting with a medical or mental health practitioner as required.

115.81(c)

POLICY AND DOCUMENT REVIEW:

DC-ADM 008 - PREA POLICY

ONSITE REVIEW:

	<p>FINDINGS:</p> <p>Agency Policy address this provision. During the onsite review, the auditor noted medical and mental health staff have designated space where staff can privately meet with inmates. Medical and Mental Health records are maintained separately and shared according to policy.</p> <p>115.81(d) (e)</p> <p>POLICY AND DOCUMENT REVIEW:</p> <p>DC-ADM 008 - PREA POLICY</p> <p>INTERVIEWS:</p> <p>Staff</p> <p>FINDINGS:</p> <p>Agency Policy addresses this provision. Staff interviewed reported they use the consent form for inmates over 18 years of age. They would follow youthful inmate protocols for youthful inmates, in accordance with state laws. The information obtained is strictly limited and only shared with staff who have the need to know for safety and security purposes.</p>
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115.82	Access to emergency medical and mental health services
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>115.82(a)</p> <p>POLICY AND DOCUMENT REVIEW:</p> <p>DC-ADM 008 - PREA POLICY</p> <p>INTERVIEWS:</p> <p>Staff.</p> <p>Inmates.</p> <p>FINDINGS:</p> <p>Agency Policy addresses this provision. Staff interviewed reported inmates would be provided outside emergency medical treatment immediately and that the nature and scope of the services are determined according to the practitioner’s professional judgement.</p>

	<p>115.82(b)</p> <p>POLICY AND DOCUMENT REVIEW:</p> <p>DC-ADM 008 - PREA POLICY</p> <p>INTERVIEWS:</p> <p>Random Staff.</p> <p>FINDINGS:</p> <p>Agency Policy requires staff to notify initiate emergency medical protocols if they believe an inmate is actively experiencing a mental health crisis. Staff who were interviewed reported protective measures would be taken for the alleged victim, and the victim would be referred for counseling.</p> <p>115.82(c)</p> <p>POLICY AND DOCUMENT REVIEW:</p> <p>DC-ADM 008 - PREA POLICY</p> <p>INTERVIEWS:</p> <p>Staff</p> <p>Inmates.</p> <p>FINDINGS:</p> <p>Agency Policy addresses this provision. Staff interviewed reported the required information and services would be provided immediately and unimpeded.</p> <p>115.82(d)</p> <p>POLICY AND DOCUMENT REVIEW:</p> <p>DC-ADM 008 - PREA POLICY</p> <p>FINDINGS:</p> <p>Agency Policy addresses this provision.</p>
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115.83	Ongoing medical and mental health care for sexual abuse victims and abusers
	Auditor Overall Determination: Meets Standard
	Auditor Discussion

115.83(a)

POLICY AND DOCUMENT REVIEW:

DC-ADM 008 - PREA POLICY.

ONSITE REVIEW:

During the onsite review, the Auditor observed the medical section at the facility. Medical services are available at the facility or at the local hospital, if needed. Mental health counselors provide treatment and counseling to inmates.

FINDINGS:

Agency Policy addresses this provision.

115.83(b)

POLICY AND DOCUMENT REVIEW:

DC-ADM 008 - PREA POLICY.

INTERVIEWS:

Medical and Mental Health Staff.

FINDINGS:

Agency Policy addresses this provision. Staff interviewed reported follow-up services would be matched with appropriate intervention services.

115.83(c)

POLICY AND DOCUMENT REVIEW:

DC-ADM 008 - PREA POLICY.

INTERVIEWS:

Medical and Mental Health Staff.

FINDINGS:

Agency Policy addresses this provision. Staff interviewed reported the services provided go beyond the community level of care.

115.83(d)

POLICY AND DOCUMENT REVIEW:

DC-ADM 008 - PREA POLICY.

FINDINGS:

This is an all-male facility; this provision does not apply.

115.83(e)

POLICY AND DOCUMENT REVIEW:

DC-ADM 008 - PREA POLICY.

FINDINGS:

This is an all-male facility; this provision does not apply.

115.83(f)

POLICY AND DOCUMENT REVIEW:

DC-ADM 008 - PREA POLICY.

INTERVIEWS:

At the time of the onsite audit, there were no inmates who reported sexual abuse at the facility who required medical services, therefore no inmate was interviewed specific to this provision.

FINDINGS:

Agency Policy addresses this provision.

115.83(g)

POLICY AND DOCUMENT REVIEW:

DC-ADM 008 - PREA POLICY.

INTERVIEWS:

At the time of the onsite audit, there were no inmates who reported sexual abuse at the facility who required treatment services, therefore no inmate was interviewed specific to this provision.

FINDINGS:

Agency Policy addresses this provision.

115.83(h)

POLICY AND DOCUMENT REVIEW:

DC-ADM 008 - PREA POLICY.

INTERVIEWS:

Medical and Mental Health Staff.

	<p>FINDINGS:</p> <p>Agency Policy addresses this provision. Staff interviewed reported the inmate would be referred, and the treatment provider would respond immediately.</p>
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115.86	Sexual abuse incident reviews
	<p>Auditor Overall Determination: Meets Standard</p>
	<p>Auditor Discussion</p>
	<p>115.86(a)</p> <p>POLICY AND DOCUMENT REVIEW:</p> <p>DC-ADM 008 - PREA POLICY</p> <p>The agency reported there were (12) investigations of alleged sexual abuse completed within the past 12 months, excluding cases determined to be unfounded.</p> <p>FINDINGS:</p> <p>Agency Policy addresses this provision. A review of investigative files showed there were (22) alleged sexual abuse cases investigated in the last twelve months.</p>
	<p>115.86(b)</p> <p>POLICY AND DOCUMENT REVIEW:</p> <p>DC-ADM 008 - PREA POLICY</p> <p>FINDINGS:</p> <p>Agency Policy addresses this provision. A review of investigative files showed there were (22) alleged sexual abuse cases in the last twelve months. Nine were determined to be unfounded, and six are ongoing, therefore, an incident review has not been required on these cases. An incident review has been properly conducted on the remaining seven cases.</p>
	<p>115.86(c)</p> <p>POLICY AND DOCUMENT REVIEW:</p> <p>DC-ADM 008 - PREA POLICY</p> <p>INTERVIEWS:</p> <p>Facility Superintendent</p> <p>PREA Compliance Manager</p>

	<p>Members of the Incident Review Team</p> <p>FINDINGS:</p> <p>Agency Policy addresses this provision. Staff interviewed reported the incident review team includes the PREA Compliance Manager and several of the senior agency staff. Once the Incident Review is completed, it is reviewed by the facility Superintendent and the senior agency staff. Staff reported an incident review is conducted for all serious incidents.</p> <p>115.86(d)</p> <p>POLICY AND DOCUMENT REVIEW:</p> <p>DC-ADM 008 - PREA POLICY</p> <p>INTERVIEWS:</p> <p>PREA Compliance Manager</p> <p>Incident Review Team</p> <p>FINDINGS:</p> <p>Agency Policy addresses this provision. Staff interviewed referenced all the elements needing to be considered, examined, and assessed. The Incident Review Team member provided detailed information of all the elements addressed by the team. Staff interviewed acknowledged a report would be completed and includes any recommendations for improvement. Staff reported the Incident Review Report is submitted to the facility Superintendent, senior agency staff and PREA Compliance Manager.</p> <p>115.86(e)</p> <p>POLICY AND DOCUMENT REVIEW:</p> <p>DC-ADM 008 - PREA POLICY</p> <p>FINDINGS:</p> <p>Agency Policy addresses this provision.</p>
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115.87	Data collection
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	115.87(a and c)

POLICY AND DOCUMENT REVIEW:

2023 Agency Audit Report

Standard 115.87 Analysis

The following evidence was analyzed in making compliance determinations:

Documents:

1. Pennsylvania Department of Corrections Pre-Audit Questionnaire Responses
2. DC-ADM 008, Section 2, PREA Procedures Manual, Contracting
3. DC-ADM 008, Section 17, PREA Procedures Manual, Discipline Related to Sexual Abuse, Sexual Harassment, and Retaliation
4. DC-ADM 008, Section 18, PREA Procedures Manual, Investigating Allegations of Sexual Abuse, Sexual Harassment, and Retaliation
3. DC-ADM 008, Section 20, PREA Procedures Manual, Data Collection and Retention

Interviews:

1. Agency Head
2. Agency PREA Coordinator
3. Contract Administrator

Site Review Observations:

1. Discussed the Incident Review Team Process
2. Reviewed the PREA Tracking System
3. Reviewed six allegations documented in the PREA Tracking System from contracted facilities

Findings (By Provision):

115.87 (a-f) The auditor reviewed the agency uniform data for every allegation of sexual abuse/sexual harassment and compared the data to the most recent version of the Survey of Sexual Violence (SSV) conducted by the Department of Justice. The agency aggregates incident-based sexual abuse data annually and includes definitions as appropriate to the Survey of Sexual Violence. The auditor reviewed the data collected in 2017 to 2022 as the data is compiled for a one-year (calendar) period after December.

The agency operates facilities and contracts with other agencies for the confinement of its confined persons. The PREA Compliance Managers at each facility securely maintain all documentation used to compile the information and the Pennsylvania State Police maintains the investigative data and records. Approved data is posted on

	<p>the Agency website and available upon request by the Department of Justice. The Agency Head interview confirmed the use of all facility data relative to this standard. Agency policy explains the Community Corrections facilities request a PREA tracking number through the BCC-Management Operation Center (MOC). This information is entered into the Department’s PREA Tracking System by the BCC PREA Captain/designee for data collection purposes. The auditor reviewed six allegations documented in the PREA Tracking System from contracted facilities. The auditor reviewed agency data collection system improvements, contract monitoring procedures, the 2022 agency PREA annual report, and the test version of the new risk screening process during the onsite review.</p> <p>Conclusion: The auditor reviewed the agency policies for collecting data on sexual abuse allegations, the instrument used for collecting the data, the set of definitions applied, the agency website, and a sample of the historical data used to determine the agency is fully compliant with the provisions of this standard. No corrective action is required.</p>
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115.88	Data review for corrective action
	<p>Auditor Overall Determination: Meets Standard</p> <hr/> <p>Auditor Discussion</p> <p>115.88(a)</p> <p>POLICY AND DOCUMENT REVIEW:</p> <p>DC-ADM 008 - PREA POLICY</p> <p>2023 Agency Audit Report.</p> <p>Standard 115.88 Analysis</p> <p>The following evidence was analyzed in making compliance determinations:</p> <p>Documents:</p> <ol style="list-style-type: none"> 1. Pennsylvania Department of Corrections Pre-Audit Questionnaire Responses 2. DC-ADM 008, Section 2, PREA Procedures Manual, Contracting 3. DC-ADM 008, Section 17, PREA Procedures Manual, Discipline Related to Sexual Abuse, Sexual Harassment, and Retaliation 4. DC-ADM 008, Section 18, PREA Procedures Manual, Investigating Allegations of Sexual Abuse, Sexual Harassment, and Retaliation

	<p>5. DC-ADM 008, Section 20, PREA Procedures Manual, Data Collection and Retention Interviews:</p> <ol style="list-style-type: none"> 1. Agency Head 2. Agency PREA Coordinator 3. Agency Contract Administrator <p>Findings (By Provision):</p> <p>115.88 (a-d) Agency policy requires each facility to review data collected and aggregated to assess and improve the effectiveness of its sexual abuse prevention, detection, and response policies, practices, and training. This auditor reviewed the data posted on the agency website that includes the total number of substantiated, unsubstantiated, and unfounded allegations of sexual misconduct by confined persons and staff from 2017 to 2022. This information is approved by the Agency Secretary of Corrections and posted on the agency website for review. The agency PREA Coordinator explained this information is utilized to identify problem areas and initiate corrective action measures when appropriate. The Agency Head confirmed the use and data associated with this report during the interview. No facility data was redacted from the annual report for publication, and this was verified by the agency PREA Coordinator.</p> <p>Conclusion: The auditor reviewed evidence provided by the agency such as corrective action plans, an annual report of findings, website materials, and found the agency is fully compliant with the provisions of this standard. No further action is required.</p>
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115.89	Data storage, publication, and destruction
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>115.89(a)</p> <p>POLICY AND DOCUMENT REVIEW:</p> <p>DC-ADM 008 - PREA POLICY</p> <p>2023 Agency Audit Report.</p> <p>Standard 115.89 Analysis</p> <p>The following evidence was analyzed in making compliance determinations:</p> <p>Documents:</p>

1. Pennsylvania Department of Corrections Pre-Audit Questionnaire Responses
2. DC-ADM 008, Section 2, PREA Procedures Manual, Contracting
3. DC-ADM 008, Section 17, PREA Procedures Manual, Discipline Related to Sexual Abuse, Sexual Harassment, and Retaliation
4. DC-ADM 008, Section 18, PREA Procedures Manual, Investigating Allegations of Sexual Abuse, Sexual Harassment, and Retaliation
5. DC-ADM 008, Section 20, PREA Procedures Manual, Data Collection and Retention

Interviews:

1. Agency Head
2. PREA Coordinator
3. Agency Contract Administrator

Findings (By Provision):

115.89 (a-d) Agency policy DC-ADM 008 Section 20, PREA Procedures Manual, Data Collection and Retention explains:

1. The Department shall make all aggregated sexual abuse data information from facilities under its direct control and contracted facilities, readily available to the public through the Department website, at least annually.
2. The Annual PREA Report shall be approved by the Secretary and posted on the Department's website by June 30 of each year.
3. The Department shall securely retain all aggregate PREA data, on the Department's secure servers, collected for a period of no less than ten years after the date of the initial collection unless federal, state, or local law requires otherwise.

Specific identifying information collected for reporting purposes shall be redacted so that no individual is identifiable. In addition, the Department may redact specific material from the reports when publication would present a clear and specific danger to a facility but must indicate the nature of the material redacted.

Agency policy is very specific regarding contracted facility contract monitoring. Each Contract Agency shall be responsible for site specific data collection required by this procedure's manual and the PREA Standards. Contract Agencies shall comply with the reporting and publication requirements of aggregate data specific to the Contracted Agency. The Department collects aggregate data for Department-Funded Reentrants (DFR) at each of its contracted sites through its PREA Tracking System; however, requires aggregate data for incidents involving only Non-Department-Funded Reentrants (NDFR) at each site to fulfill its data collection obligations. Examples include:

	<p>a. NDFR is the alleged victim, and a Contract Employee is the alleged abuser; or</p> <p>b. NDFR is the alleged victim and a NDFR is the alleged abuser.</p> <p>Each Contract Agency shall be responsible for reporting the information specified to the Department PREA Coordinator/designee for inclusion in the Department’s annual report within 15 business days of request.</p> <p>The PREA Coordinator interview confirmed all documentation utilized for data collection is maintained by the facilities PREA Compliance Managers. The PREA Compliance Manager collects the data and maintains electronic files on a secure server. The data report is approved by the Agency Secretary of Corrections and the annual report is posted on the Agency website annually. The auditor reviewed the report and did not observe any personally identifying information. Agency policy requires the facility shall maintain sexual abuse data collected for at least 10 years after the date of the initial collection unless Federal, State, or local law requires otherwise.</p> <p>Conclusion: Based on the auditor's review of the agency policy, agency website, interviews, and historical data, the Pennsylvania Department of Corrections is fully compliant with the provisions of this standard. No further action is required.</p>
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115.401	Frequency and scope of audits
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>115.401(a)</p> <p>POLICY AND DOCUMENT REVIEW:</p> <p>Aggregated data on website.</p> <p>2023 Agency Audit Report.</p> <p>FINDINGS:</p> <p>The agency ensured that each facility operated by the agency has been audited.</p> <p>115.401(b)</p> <p>POLICY AND DOCUMENT REVIEW:</p> <p>Aggregated data on website.</p> <p>FINDINGS:</p> <p>The agency is following their audit cycle and planned future audits. The data posted</p>

on the agency website.

115.401(h)

POLICY AND DOCUMENT REVIEW:

There is no agency policy for this provision.

FINDINGS:

The Auditor had full access to, and the ability to observe, all areas of the facility. The Auditor reviewed areas of this facility multiple times during the onsite review.

115.401(i)

POLICY AND DOCUMENT REVIEW:

There is no agency policy for this provision.

FINDINGS:

The auditor was permitted to request and did receive copies of any relevant documents needed for this audit.

115.401(m)

POLICY AND DOCUMENT REVIEW:

There is no agency policy for this provision.

FINDINGS:

The Auditor was permitted to conduct private interviews with inmates. The staff at this facility were very professional and efficient with regards to this provision.

115.401(n)

POLICY AND DOCUMENT REVIEW:

There is no agency policy for this provision.

FINDINGS:

Inmates were permitted to send confidential information or correspondence to the Auditor in the same manner as if they were communicating with legal counsel. The Auditor did receive three confidential and unimpeded letters from the inmates residing at this facility.

Standard 115.401 Analysis

The following evidence was analyzed in making compliance determinations:

Documents:

1. Pennsylvania Department of Corrections Pre-Audit Questionnaire Responses
2. DC-ADM 008, Section 2, PREA Procedures Manual, Contracting
3. DC-ADM 008, Section 17, PREA Procedures Manual, Discipline Related to Sexual Abuse, Sexual Harassment, and Retaliation
4. DC-ADM 008, Section 18, PREA Procedures Manual, Investigating Allegations of Sexual Abuse, Sexual Harassment, and Retaliation
5. DC-ADM 008, Section 20, PREA Procedures Manual, Data Collection and Retention

Interviews:

1. Agency Head
2. PREA Coordinator
3. Agency Contract Administrator

Site Review Observations:

1. Reviewed the Agency Website and Facility Data
2. Reviewed the Agency PREA audit reports for the previous audit cycles

Findings (By Provision):

115.401(a-b) The Pennsylvania Department of Corrections ensured all agency PREA audits were conducted during the previous audit cycle PREA audit periods. The auditor verified all facility reports were posted on the agency website and the auditor reviewed audit reports for the following cycle periods:

Audit Cycle 4 - Year 1 - 2022/2023 - Erie CCC - Progress CCC - SCI Albion - SCI Cambridge Springs - SCI Greene - SCI Laurel Highlands - SCI Mercer - SCI Rockview - SCI Somerset - SCI Waymart - Scranton CCC

Audit Cycle 3 - 2022 - Johnstown CCC - SCI Fayette - SCI Houtzdale - SCI Huntingdon - SCI Pine Grove - SCI Quehanna Boot Camp - SCI Smithfield - Wernersville CCC

Audit Cycle 3 - 2021 - Harrisburg CCC - Philadelphia CCC - Pittsburgh CCC -SCI Camp Hill - SCI Chester - SCI Coal Township - SCI Dallas - SCI Forest - SCI Frackville - SCI Mahanoy - SCI Muncy - SCI Phoenix - York CCC

Audit Cycle 3 - 2020 - Progress CCC - SCI Cambridge Springs -SCI Greene - SCI Laurel Highlands - SCI Mercer - SCI Somerset

Audit Cycle 3 - 2019 - Erie CCC - SCI Albion - SCI Benner Township - SCI Rockview - SCI Waymart - Scranton CCC

Audit Cycle 2 - 2019 - Philadelphia CCC - Pittsburgh CCC - Progress CCC - SCI Camp

Hill - SCI Forest - SCI Muncy - SCI Phoenix - SCI Pine Grove - Sharon CCC - Wernersville CCC

Audit Cycle 2 – 2018 - Harrisburg CCC - Johnstown CCC - Philadelphia CCC - SCI Chester - SCI Dallas - SCI Retreat - SCI-Waymart - Scranton CCC - York CCC

Audit Cycle 2 – 2017 - Erie CCC - SCI Albion - SCI Benner Township - SCI Cambridge Springs - SCI Coal Township - SCI Fayette - SCI Frackville - SCI Greene - SCI Houtzdale - SCI Huntingdon - SCI Laurel Highlands - SCI Quehanna Boot Camp - SCI Rockview - SCI Smithfield - SCI Somerset

Audit Cycle 2 – 2016 - SCI Mahanoy - SCI Mercer

Audit Cycle 1 – 2016 Allentown CCC - Harrisburg CCC - Johnstown CCC - Pittsburgh CCC - Progress CCC - Quehanna Motivational Boot Camp - SCI Albion - SCI Benner Township - SCI Cambridge Springs - SCI Chester - SCI Forest - SCI Frackville - SCI Graterford - SCI Greene - SCI Waymart - Scranton CCC - York CCC

Audit Cycle 1 – 2015 - CCC2 - CCC4 - Erie CCC - Riverside CCC - SCI Camp Hill - SCI Coal Township - SCI Dallas - SCI Fayette - SCI Houtzdale - SCI Huntingdon - SCI Laurel Highlands - SCI Mahanoy - SCI Mercer - SCI Pine Grove - SCI Retreat - SCI Rockview - SCI Smithfield - SCI Somerset - Sharon CCC - Wernersville CCC

Audit Cycle 1 – 2014 - SCI Muncy

This data was confirmed by the agency PREA Coordinator during the on-site review. The auditor was authorized complete access to the entire agency and provided this access during the on-site review. No restrictions were placed on the auditor during the Pre-Audit, onsite review, and the post audit phases. The auditor received all documents requested and was provided electronic viewing upon request. The onsite review provided the auditor the opportunity to conduct private interviews with the PREA Coordinator, Contract Administrator, Human Resource Staff, Secretary of Corrections, Background Check Staff, and a member of the Transgender Committee Review Team. The agency PREA Coordinator provided photographic evidence regarding the posting of the PREA Audit Notification at the Pennsylvania Department of Corrections headquarters building. This posting provided the public and staff with a name and mailing address for the auditor. The auditor confirmed this posting during the onsite review as staff interviews validated the posting at least 6 weeks prior to the onsite review. The auditor did not receive correspondence from staff or the public. The interviews with staff indicated knowledge of the posting and the address to write to the auditor.

Conclusion: The auditor has determined based on the evidence provided by the agency and review of the agency website; the Pennsylvania Department of Corrections meets compliance with the provisions of this standard. No additional action is required.

Auditor Overall Determination: Meets Standard

Auditor Discussion

115.403(f)

POLICY AND DOCUMENT REVIEW:

2023 Agency Audit Report.

FINDINGS:

SCI Mahanoy has published on its agency website all Final Audit Reports within 90 days of issuance by the Auditor. This information is made available to the public and is in accordance with 28 C.F.R. § 115.405.

Standard 115.403 Analysis

The following evidence was analyzed in making compliance determinations:

Documents:

1. Pennsylvania Department of Corrections Pre-Audit Questionnaire Responses
2. DC-ADM 008, Section 2, PREA Procedures Manual, Contracting
3. DC-ADM 008, Section 17, PREA Procedures Manual, Discipline Related to Sexual Abuse, Sexual Harassment, and Retaliation
4. DC-ADM 008, Section 18, PREA Procedures Manual, Investigating Allegations of Sexual Abuse, Sexual Harassment, and Retaliation
5. DC-ADM 008, Section 20, PREA Procedures Manual, Data Collection and Retention

Interviews:

1. Agency Head
2. PREA Coordinator
3. Agency Contract Administrator

Site Review Observations:

1. Reviewed the Agency Website and Facility Data

Findings (By Provision):

115.403 (a-f) The auditor verified that the final audit reports were published on the agency website, and the auditor reviewed all documentation and compliance efforts. The auditor attempted to confirm all prior recommendations were completed from the previous audit. The agency has received prior PREA audit reports, and the

auditor confirmed the audit reports are published on the agency website.

Audit Cycle 4 - Year 1 - 2022/2023 - Erie CCC - Progress CCC - SCI Albion - SCI Cambridge Springs - SCI Greene - SCI Laurel Highlands - SCI Mercer - SCI Rockview - SCI Somerset - SCI Waymart - Scranton CCC

Audit Cycle 3 – 2022 - Johnstown CCC - SCI Fayette - SCI Houtzdale - SCI Huntingdon - SCI Pine Grove - SCI Quehanna Boot Camp - SCI Smithfield - Wernersville CCC

Audit Cycle 3 – 2021 - Harrisburg CCC - Philadelphia CCC - Pittsburgh CCC -SCI Camp Hill - SCI Chester - SCI Coal Township - SCI Dallas - SCI Forest - SCI Frackville - SCI Mahanoy - SCI Muncy - SCI Phoenix - York CCC

Audit Cycle 3 – 2020 - Progress CCC - SCI Cambridge Springs -SCI Greene - SCI Laurel Highlands - SCI Mercer - SCI Somerset

Audit Cycle 3 – 2019 - Erie CCC - SCI Albion - SCI Benner Township - SCI Rockview - SCI Waymart - Scranton CCC

Audit Cycle 2 – 2019 - Philadelphia CCC - Pittsburgh CCC - Progress CCC - SCI Camp Hill - SCI Forest - SCI Muncy - SCI Phoenix - SCI Pine Grove - Sharon CCC - Wernersville CCC

Audit Cycle 2 – 2018 - Harrisburg CCC - Johnstown CCC - Philadelphia CCC - SCI Chester - SCI Dallas - SCI Retreat - SCI-Waymart - Scranton CCC - York CCC

Audit Cycle 2 – 2017 - Erie CCC - SCI Albion - SCI Benner Township - SCI Cambridge Springs - SCI Coal Township - SCI Fayette - SCI Frackville - SCI Greene - SCI Houtzdale - SCI Huntingdon - SCI Laurel Highlands - SCI Quehanna Boot Camp - SCI Rockview - SCI Smithfield - SCI Somerset

Audit Cycle 2 – 2016 - SCI Mahanoy - SCI Mercer

Audit Cycle 1 – 2016 Allentown CCC - Harrisburg CCC - Johnstown CCC - Pittsburgh CCC - Progress CCC - Quehanna Motivational Boot Camp - SCI Albion - SCI Benner Township - SCI Cambridge Springs - SCI Chester - SCI Forest - SCI Frackville - SCI Graterford - SCI Greene - SCI Waymart - Scranton CCC - York CCC

Audit Cycle 1 – 2015 - CCC2 - CCC4 - Erie CCC - Riverside CCC - SCI Camp Hill - SCI Coal Township - SCI Dallas - SCI Fayette - SCI Houtzdale - SCI Huntingdon - SCI Laurel Highlands - SCI Mahanoy - SCI Mercer - SCI Pine Grove - SCI Retreat - SCI Rockview - SCI Smithfield - SCI Somerset - Sharon CCC - Wernersville CCC

Audit Cycle 1 – 2014 - SCI Muncy

Conclusion: Based on the evidence provided by the agency, the Pennsylvania Department of Corrections meets substantial compliance with the provisions of this standard, and no further action is required.

Appendix: Provision Findings		
115.11 (a)	Zero tolerance of sexual abuse and sexual harassment; PREA coordinator	
	Does the agency have a written policy mandating zero tolerance toward all forms of sexual abuse and sexual harassment?	yes
	Does the written policy outline the agency's approach to preventing, detecting, and responding to sexual abuse and sexual harassment?	yes
115.11 (b)	Zero tolerance of sexual abuse and sexual harassment; PREA coordinator	
	Has the agency employed or designated an agency-wide PREA Coordinator?	yes
	Is the PREA Coordinator position in the upper-level of the agency hierarchy?	yes
	Does the PREA Coordinator have sufficient time and authority to develop, implement, and oversee agency efforts to comply with the PREA standards in all of its facilities?	yes
115.11 (c)	Zero tolerance of sexual abuse and sexual harassment; PREA coordinator	
	If this agency operates more than one facility, has each facility designated a PREA compliance manager? (N/A if agency operates only one facility.)	yes
	Does the PREA compliance manager have sufficient time and authority to coordinate the facility's efforts to comply with the PREA standards? (N/A if agency operates only one facility.)	yes
115.12 (a)	Contracting with other entities for the confinement of inmates	
	If this agency is public and it contracts for the confinement of its inmates with private agencies or other entities including other government agencies, has the agency included the entity's obligation to comply with the PREA standards in any new contract or contract renewal signed on or after August 20, 2012? (N/A if the agency does not contract with private agencies or other entities for the confinement of inmates.)	yes
115.12 (b)	Contracting with other entities for the confinement of inmates	
	Does any new contract or contract renewal signed on or after August 20, 2012 provide for agency contract monitoring to ensure	yes

	that the contractor is complying with the PREA standards? (N/A if the agency does not contract with private agencies or other entities for the confinement of inmates.)	
115.13 (a)	Supervision and monitoring	
	Does the facility have a documented staffing plan that provides for adequate levels of staffing and, where applicable, video monitoring, to protect inmates against sexual abuse?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: Generally accepted detention and correctional practices?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: Any judicial findings of inadequacy?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: Any findings of inadequacy from Federal investigative agencies?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: Any findings of inadequacy from internal or external oversight bodies?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: All components of the facility's physical plant (including "blind-spots" or areas where staff or inmates may be isolated)?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: The composition of the inmate population?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: The number and placement of supervisory staff?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: The institution programs occurring on a particular shift?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into	yes

	consideration: Any applicable State or local laws, regulations, or standards?	
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: The prevalence of substantiated and unsubstantiated incidents of sexual abuse?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: Any other relevant factors?	yes
115.13 (b)	Supervision and monitoring	
	In circumstances where the staffing plan is not complied with, does the facility document and justify all deviations from the plan? (N/A if no deviations from staffing plan.)	na
115.13 (c)	Supervision and monitoring	
	In the past 12 months, has the facility, in consultation with the agency PREA Coordinator, assessed, determined, and documented whether adjustments are needed to: The staffing plan established pursuant to paragraph (a) of this section?	yes
	In the past 12 months, has the facility, in consultation with the agency PREA Coordinator, assessed, determined, and documented whether adjustments are needed to: The facility's deployment of video monitoring systems and other monitoring technologies?	yes
	In the past 12 months, has the facility, in consultation with the agency PREA Coordinator, assessed, determined, and documented whether adjustments are needed to: The resources the facility has available to commit to ensure adherence to the staffing plan?	yes
115.13 (d)	Supervision and monitoring	
	Has the facility/agency implemented a policy and practice of having intermediate-level or higher-level supervisors conduct and document unannounced rounds to identify and deter staff sexual abuse and sexual harassment?	yes
	Is this policy and practice implemented for night shifts as well as day shifts?	yes
	Does the facility/agency have a policy prohibiting staff from alerting other staff members that these supervisory rounds are occurring, unless such announcement is related to the legitimate operational functions of the facility?	yes

115.14 (a)	Youthful inmates	
	Does the facility place all youthful inmates in housing units that separate them from sight, sound, and physical contact with any adult inmates through use of a shared dayroom or other common space, shower area, or sleeping quarters? (N/A if facility does not have youthful inmates (inmates <18 years old).)	na
115.14 (b)	Youthful inmates	
	In areas outside of housing units does the agency maintain sight and sound separation between youthful inmates and adult inmates? (N/A if facility does not have youthful inmates (inmates <18 years old).)	na
	In areas outside of housing units does the agency provide direct staff supervision when youthful inmates and adult inmates have sight, sound, or physical contact? (N/A if facility does not have youthful inmates (inmates <18 years old).)	na
115.14 (c)	Youthful inmates	
	Does the agency make its best efforts to avoid placing youthful inmates in isolation to comply with this provision? (N/A if facility does not have youthful inmates (inmates <18 years old).)	na
	Does the agency, while complying with this provision, allow youthful inmates daily large-muscle exercise and legally required special education services, except in exigent circumstances? (N/A if facility does not have youthful inmates (inmates <18 years old).)	na
	Do youthful inmates have access to other programs and work opportunities to the extent possible? (N/A if facility does not have youthful inmates (inmates <18 years old).)	na
115.15 (a)	Limits to cross-gender viewing and searches	
	Does the facility always refrain from conducting any cross-gender strip or cross-gender visual body cavity searches, except in exigent circumstances or by medical practitioners?	yes
115.15 (b)	Limits to cross-gender viewing and searches	
	Does the facility always refrain from conducting cross-gender pat-down searches of female inmates, except in exigent circumstances? (N/A if the facility does not have female inmates.)	na
	Does the facility always refrain from restricting female inmates' access to regularly available programming or other out-of-cell opportunities in order to comply with this provision? (N/A if the	na

	facility does not have female inmates.)	
115.15 (c)	Limits to cross-gender viewing and searches	
	Does the facility document all cross-gender strip searches and cross-gender visual body cavity searches?	yes
	Does the facility document all cross-gender pat-down searches of female inmates (N/A if the facility does not have female inmates)?	na
115.15 (d)	Limits to cross-gender viewing and searches	
	Does the facility have policies that enables inmates to shower, perform bodily functions, and change clothing without nonmedical staff of the opposite gender viewing their breasts, buttocks, or genitalia, except in exigent circumstances or when such viewing is incidental to routine cell checks?	yes
	Does the facility have procedures that enables inmates to shower, perform bodily functions, and change clothing without nonmedical staff of the opposite gender viewing their breasts, buttocks, or genitalia, except in exigent circumstances or when such viewing is incidental to routine cell checks?	yes
	Does the facility require staff of the opposite gender to announce their presence when entering an inmate housing unit?	yes
115.15 (e)	Limits to cross-gender viewing and searches	
	Does the facility always refrain from searching or physically examining transgender or intersex inmates for the sole purpose of determining the inmate's genital status?	yes
	If an inmate's genital status is unknown, does the facility determine genital status during conversations with the inmate, by reviewing medical records, or, if necessary, by learning that information as part of a broader medical examination conducted in private by a medical practitioner?	yes
115.15 (f)	Limits to cross-gender viewing and searches	
	Does the facility/agency train security staff in how to conduct cross-gender pat down searches in a professional and respectful manner, and in the least intrusive manner possible, consistent with security needs?	yes
	Does the facility/agency train security staff in how to conduct searches of transgender and intersex inmates in a professional and respectful manner, and in the least intrusive manner possible, consistent with security needs?	yes

115.16 (a)	Inmates with disabilities and inmates who are limited English proficient	
	Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who are deaf or hard of hearing?	yes
	Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who are blind or have low vision?	yes
	Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who have intellectual disabilities?	yes
	Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who have psychiatric disabilities?	yes
	Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who have speech disabilities?	yes
	Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: Other (if "other," please explain in overall determination notes.)	yes
	Do such steps include, when necessary, ensuring effective communication with inmates who are deaf or hard of hearing?	yes
	Do such steps include, when necessary, providing access to interpreters who can interpret effectively, accurately, and impartially, both receptively and expressively, using any necessary specialized vocabulary?	yes
	Does the agency ensure that written materials are provided in formats or through methods that ensure effective communication	yes

	with inmates with disabilities including inmates who: Have intellectual disabilities?	
	Does the agency ensure that written materials are provided in formats or through methods that ensure effective communication with inmates with disabilities including inmates who: Have limited reading skills?	yes
	Does the agency ensure that written materials are provided in formats or through methods that ensure effective communication with inmates with disabilities including inmates who: are blind or have low vision?	yes
115.16 (b)	Inmates with disabilities and inmates who are limited English proficient	
	Does the agency take reasonable steps to ensure meaningful access to all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment to inmates who are limited English proficient?	yes
	Do these steps include providing interpreters who can interpret effectively, accurately, and impartially, both receptively and expressively, using any necessary specialized vocabulary?	yes
115.16 (c)	Inmates with disabilities and inmates who are limited English proficient	
	Does the agency always refrain from relying on inmate interpreters, inmate readers, or other types of inmate assistance except in limited circumstances where an extended delay in obtaining an effective interpreter could compromise the inmate's safety, the performance of first-response duties under §115.64, or the investigation of the inmate's allegations?	yes
115.17 (a)	Hiring and promotion decisions	
	Does the agency prohibit the hiring or promotion of anyone who may have contact with inmates who has engaged in sexual abuse in a prison, jail, lockup, community confinement facility, juvenile facility, or other institution (as defined in 42 U.S.C. 1997)?	yes
	Does the agency prohibit the hiring or promotion of anyone who may have contact with inmates who has been convicted of engaging or attempting to engage in sexual activity in the community facilitated by force, overt or implied threats of force, or coercion, or if the victim did not consent or was unable to consent or refuse?	yes
	Does the agency prohibit the hiring or promotion of anyone who	yes

	may have contact with inmates who has been civilly or administratively adjudicated to have engaged in the activity described in the two bullets immediately above?	
	Does the agency prohibit the enlistment of services of any contractor who may have contact with inmates who has engaged in sexual abuse in a prison, jail, lockup, community confinement facility, juvenile facility, or other institution (as defined in 42 U.S.C. 1997)?	yes
	Does the agency prohibit the enlistment of services of any contractor who may have contact with inmates who has been convicted of engaging or attempting to engage in sexual activity in the community facilitated by force, overt or implied threats of force, or coercion, or if the victim did not consent or was unable to consent or refuse?	yes
	Does the agency prohibit the enlistment of services of any contractor who may have contact with inmates who has been civilly or administratively adjudicated to have engaged in the activity described in the two bullets immediately above?	yes
115.17 (b)	Hiring and promotion decisions	
	Does the agency consider any incidents of sexual harassment in determining whether to hire or promote anyone who may have contact with inmates?	yes
	Does the agency consider any incidents of sexual harassment in determining whether to enlist the services of any contractor who may have contact with inmates?	yes
115.17 (c)	Hiring and promotion decisions	
	Before hiring new employees who may have contact with inmates, does the agency perform a criminal background records check?	yes
	Before hiring new employees who may have contact with inmates, does the agency, consistent with Federal, State, and local law, make its best efforts to contact all prior institutional employers for information on substantiated allegations of sexual abuse or any resignation during a pending investigation of an allegation of sexual abuse?	yes
115.17 (d)	Hiring and promotion decisions	
	Does the agency perform a criminal background records check before enlisting the services of any contractor who may have contact with inmates?	yes

115.17 (e)	Hiring and promotion decisions	
	Does the agency either conduct criminal background records checks at least every five years of current employees and contractors who may have contact with inmates or have in place a system for otherwise capturing such information for current employees?	yes
115.17 (f)	Hiring and promotion decisions	
	Does the agency ask all applicants and employees who may have contact with inmates directly about previous misconduct described in paragraph (a) of this section in written applications or interviews for hiring or promotions?	yes
	Does the agency ask all applicants and employees who may have contact with inmates directly about previous misconduct described in paragraph (a) of this section in any interviews or written self-evaluations conducted as part of reviews of current employees?	yes
	Does the agency impose upon employees a continuing affirmative duty to disclose any such misconduct?	yes
115.17 (g)	Hiring and promotion decisions	
	Does the agency consider material omissions regarding such misconduct, or the provision of materially false information, grounds for termination?	yes
115.17 (h)	Hiring and promotion decisions	
	Does the agency provide information on substantiated allegations of sexual abuse or sexual harassment involving a former employee upon receiving a request from an institutional employer for whom such employee has applied to work? (N/A if providing information on substantiated allegations of sexual abuse or sexual harassment involving a former employee is prohibited by law.)	yes
115.18 (a)	Upgrades to facilities and technologies	
	If the agency designed or acquired any new facility or planned any substantial expansion or modification of existing facilities, did the agency consider the effect of the design, acquisition, expansion, or modification upon the agency's ability to protect inmates from sexual abuse? (N/A if agency/facility has not acquired a new facility or made a substantial expansion to existing facilities since August 20, 2012, or since the last PREA audit, whichever is later.)	na
115.18 (b)	Upgrades to facilities and technologies	

	If the agency installed or updated a video monitoring system, electronic surveillance system, or other monitoring technology, did the agency consider how such technology may enhance the agency's ability to protect inmates from sexual abuse? (N/A if agency/facility has not installed or updated a video monitoring system, electronic surveillance system, or other monitoring technology since August 20, 2012, or since the last PREA audit, whichever is later.)	yes
115.21 (a)	Evidence protocol and forensic medical examinations	
	If the agency is responsible for investigating allegations of sexual abuse, does the agency follow a uniform evidence protocol that maximizes the potential for obtaining usable physical evidence for administrative proceedings and criminal prosecutions? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations.)	yes
115.21 (b)	Evidence protocol and forensic medical examinations	
	Is this protocol developmentally appropriate for youth where applicable? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations.)	yes
	Is this protocol, as appropriate, adapted from or otherwise based on the most recent edition of the U.S. Department of Justice's Office on Violence Against Women publication, "A National Protocol for Sexual Assault Medical Forensic Examinations, Adults/Adolescents," or similarly comprehensive and authoritative protocols developed after 2011? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations.)	yes
115.21 (c)	Evidence protocol and forensic medical examinations	
	Does the agency offer all victims of sexual abuse access to forensic medical examinations, whether on-site or at an outside facility, without financial cost, where evidentiarily or medically appropriate?	yes
	Are such examinations performed by Sexual Assault Forensic Examiners (SAFEs) or Sexual Assault Nurse Examiners (SANEs) where possible?	yes
	If SAFEs or SANEs cannot be made available, is the examination performed by other qualified medical practitioners (they must have been specifically trained to conduct sexual assault forensic exams)?	yes

	Has the agency documented its efforts to provide SAFEs or SANEs?	yes
115.21 (d)	Evidence protocol and forensic medical examinations	
	Does the agency attempt to make available to the victim a victim advocate from a rape crisis center?	yes
	If a rape crisis center is not available to provide victim advocate services, does the agency make available to provide these services a qualified staff member from a community-based organization, or a qualified agency staff member? (N/A if the agency always makes a victim advocate from a rape crisis center available to victims.)	yes
	Has the agency documented its efforts to secure services from rape crisis centers?	yes
115.21 (e)	Evidence protocol and forensic medical examinations	
	As requested by the victim, does the victim advocate, qualified agency staff member, or qualified community-based organization staff member accompany and support the victim through the forensic medical examination process and investigatory interviews?	yes
	As requested by the victim, does this person provide emotional support, crisis intervention, information, and referrals?	yes
115.21 (f)	Evidence protocol and forensic medical examinations	
	If the agency itself is not responsible for investigating allegations of sexual abuse, has the agency requested that the investigating agency follow the requirements of paragraphs (a) through (e) of this section? (N/A if the agency/facility is responsible for conducting criminal AND administrative sexual abuse investigations.)	na
115.21 (h)	Evidence protocol and forensic medical examinations	
	If the agency uses a qualified agency staff member or a qualified community-based staff member for the purposes of this section, has the individual been screened for appropriateness to serve in this role and received education concerning sexual assault and forensic examination issues in general? (N/A if agency always makes a victim advocate from a rape crisis center available to victims.)	yes
115.22 (a)	Policies to ensure referrals of allegations for investigations	

	Does the agency ensure an administrative or criminal investigation is completed for all allegations of sexual abuse?	yes
	Does the agency ensure an administrative or criminal investigation is completed for all allegations of sexual harassment?	yes
115.22 (b)	Policies to ensure referrals of allegations for investigations	
	Does the agency have a policy and practice in place to ensure that allegations of sexual abuse or sexual harassment are referred for investigation to an agency with the legal authority to conduct criminal investigations, unless the allegation does not involve potentially criminal behavior?	yes
	Has the agency published such policy on its website or, if it does not have one, made the policy available through other means?	yes
	Does the agency document all such referrals?	yes
115.22 (c)	Policies to ensure referrals of allegations for investigations	
	If a separate entity is responsible for conducting criminal investigations, does the policy describe the responsibilities of both the agency and the investigating entity? (N/A if the agency/facility is responsible for criminal investigations. See 115.21(a).)	yes
115.31 (a)	Employee training	
	Does the agency train all employees who may have contact with inmates on its zero-tolerance policy for sexual abuse and sexual harassment?	yes
	Does the agency train all employees who may have contact with inmates on how to fulfill their responsibilities under agency sexual abuse and sexual harassment prevention, detection, reporting, and response policies and procedures?	yes
	Does the agency train all employees who may have contact with inmates on inmates' right to be free from sexual abuse and sexual harassment?	yes
	Does the agency train all employees who may have contact with inmates on the right of inmates and employees to be free from retaliation for reporting sexual abuse and sexual harassment?	yes
	Does the agency train all employees who may have contact with inmates on the dynamics of sexual abuse and sexual harassment in confinement?	yes

	Does the agency train all employees who may have contact with inmates on the common reactions of sexual abuse and sexual harassment victims?	yes
	Does the agency train all employees who may have contact with inmates on how to detect and respond to signs of threatened and actual sexual abuse?	yes
	Does the agency train all employees who may have contact with inmates on how to avoid inappropriate relationships with inmates?	yes
	Does the agency train all employees who may have contact with inmates on how to communicate effectively and professionally with inmates, including lesbian, gay, bisexual, transgender, intersex, or gender nonconforming inmates?	yes
	Does the agency train all employees who may have contact with inmates on how to comply with relevant laws related to mandatory reporting of sexual abuse to outside authorities?	yes
115.31 (b)	Employee training	
	Is such training tailored to the gender of the inmates at the employee's facility?	yes
	Have employees received additional training if reassigned from a facility that houses only male inmates to a facility that houses only female inmates, or vice versa?	yes
115.31 (c)	Employee training	
	Have all current employees who may have contact with inmates received such training?	yes
	Does the agency provide each employee with refresher training every two years to ensure that all employees know the agency's current sexual abuse and sexual harassment policies and procedures?	yes
	In years in which an employee does not receive refresher training, does the agency provide refresher information on current sexual abuse and sexual harassment policies?	yes
115.31 (d)	Employee training	
	Does the agency document, through employee signature or electronic verification, that employees understand the training they have received?	yes
115.32 (a)	Volunteer and contractor training	

	Has the agency ensured that all volunteers and contractors who have contact with inmates have been trained on their responsibilities under the agency's sexual abuse and sexual harassment prevention, detection, and response policies and procedures?	yes
115.32 (b)	Volunteer and contractor training	
	Have all volunteers and contractors who have contact with inmates been notified of the agency's zero-tolerance policy regarding sexual abuse and sexual harassment and informed how to report such incidents (the level and type of training provided to volunteers and contractors shall be based on the services they provide and level of contact they have with inmates)?	yes
115.32 (c)	Volunteer and contractor training	
	Does the agency maintain documentation confirming that volunteers and contractors understand the training they have received?	yes
115.33 (a)	Inmate education	
	During intake, do inmates receive information explaining the agency's zero-tolerance policy regarding sexual abuse and sexual harassment?	yes
	During intake, do inmates receive information explaining how to report incidents or suspicions of sexual abuse or sexual harassment?	yes
115.33 (b)	Inmate education	
	Within 30 days of intake, does the agency provide comprehensive education to inmates either in person or through video regarding: Their rights to be free from sexual abuse and sexual harassment?	yes
	Within 30 days of intake, does the agency provide comprehensive education to inmates either in person or through video regarding: Their rights to be free from retaliation for reporting such incidents?	yes
	Within 30 days of intake, does the agency provide comprehensive education to inmates either in person or through video regarding: Agency policies and procedures for responding to such incidents?	yes
115.33 (c)	Inmate education	
	Have all inmates received the comprehensive education referenced in 115.33(b)?	yes

	Do inmates receive education upon transfer to a different facility to the extent that the policies and procedures of the inmate's new facility differ from those of the previous facility?	yes
115.33 (d)	Inmate education	
	Does the agency provide inmate education in formats accessible to all inmates including those who are limited English proficient?	yes
	Does the agency provide inmate education in formats accessible to all inmates including those who are deaf?	yes
	Does the agency provide inmate education in formats accessible to all inmates including those who are visually impaired?	yes
	Does the agency provide inmate education in formats accessible to all inmates including those who are otherwise disabled?	yes
	Does the agency provide inmate education in formats accessible to all inmates including those who have limited reading skills?	yes
115.33 (e)	Inmate education	
	Does the agency maintain documentation of inmate participation in these education sessions?	yes
115.33 (f)	Inmate education	
	In addition to providing such education, does the agency ensure that key information is continuously and readily available or visible to inmates through posters, inmate handbooks, or other written formats?	yes
115.34 (a)	Specialized training: Investigations	
	In addition to the general training provided to all employees pursuant to §115.31, does the agency ensure that, to the extent the agency itself conducts sexual abuse investigations, its investigators receive training in conducting such investigations in confinement settings? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).)	yes
115.34 (b)	Specialized training: Investigations	
	Does this specialized training include techniques for interviewing sexual abuse victims? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).)	yes
	Does this specialized training include proper use of Miranda and	yes

	Garrity warnings? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).)	
	Does this specialized training include sexual abuse evidence collection in confinement settings? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).)	yes
	Does this specialized training include the criteria and evidence required to substantiate a case for administrative action or prosecution referral? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).)	yes
115.34 (c)	Specialized training: Investigations	
	Does the agency maintain documentation that agency investigators have completed the required specialized training in conducting sexual abuse investigations? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).)	yes
115.35 (a)	Specialized training: Medical and mental health care	
	Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in how to detect and assess signs of sexual abuse and sexual harassment? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.)	yes
	Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in how to preserve physical evidence of sexual abuse? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.)	yes
	Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in how to respond effectively and professionally to victims of sexual abuse and sexual harassment? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.)	yes
	Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in how and to whom to report allegations or	yes

	suspicious of sexual abuse and sexual harassment? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.)	
115.35 (b)	Specialized training: Medical and mental health care	
	If medical staff employed by the agency conduct forensic examinations, do such medical staff receive appropriate training to conduct such examinations? (N/A if agency medical staff at the facility do not conduct forensic exams or the agency does not employ medical staff.)	yes
115.35 (c)	Specialized training: Medical and mental health care	
	Does the agency maintain documentation that medical and mental health practitioners have received the training referenced in this standard either from the agency or elsewhere? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.)	yes
115.35 (d)	Specialized training: Medical and mental health care	
	Do medical and mental health care practitioners employed by the agency also receive training mandated for employees by §115.31? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners employed by the agency.)	yes
	Do medical and mental health care practitioners contracted by or volunteering for the agency also receive training mandated for contractors and volunteers by §115.32? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners contracted by or volunteering for the agency.)	yes
115.41 (a)	Screening for risk of victimization and abusiveness	
	Are all inmates assessed during an intake screening for their risk of being sexually abused by other inmates or sexually abusive toward other inmates?	yes
	Are all inmates assessed upon transfer to another facility for their risk of being sexually abused by other inmates or sexually abusive toward other inmates?	yes
115.41 (b)	Screening for risk of victimization and abusiveness	
	Do intake screenings ordinarily take place within 72 hours of arrival at the facility?	yes
115.41 (c)	Screening for risk of victimization and abusiveness	
	Are all PREA screening assessments conducted using an objective	yes

	screening instrument?	
115.41 (d)	Screening for risk of victimization and abusiveness	
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (1) Whether the inmate has a mental, physical, or developmental disability?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (2) The age of the inmate?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (3) The physical build of the inmate?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (4) Whether the inmate has previously been incarcerated?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (5) Whether the inmate's criminal history is exclusively nonviolent?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (6) Whether the inmate has prior convictions for sex offenses against an adult or child?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (7) Whether the inmate is or is perceived to be gay, lesbian, bisexual, transgender, intersex, or gender nonconforming (the facility affirmatively asks the inmate about his/her sexual orientation and gender identity AND makes a subjective determination based on the screener's perception whether the inmate is gender non-conforming or otherwise may be perceived to be LGBTI)?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (8) Whether the inmate has previously experienced sexual victimization?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (9) The inmate's own perception of vulnerability?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (10)	yes

	Whether the inmate is detained solely for civil immigration purposes?	
115.41 (e)	Screening for risk of victimization and abusiveness	
	In assessing inmates for risk of being sexually abusive, does the initial PREA risk screening consider, as known to the agency: prior acts of sexual abuse?	yes
	In assessing inmates for risk of being sexually abusive, does the initial PREA risk screening consider, as known to the agency: prior convictions for violent offenses?	yes
	In assessing inmates for risk of being sexually abusive, does the initial PREA risk screening consider, as known to the agency: history of prior institutional violence or sexual abuse?	yes
115.41 (f)	Screening for risk of victimization and abusiveness	
	Within a set time period not more than 30 days from the inmate's arrival at the facility, does the facility reassess the inmate's risk of victimization or abusiveness based upon any additional, relevant information received by the facility since the intake screening?	yes
115.41 (g)	Screening for risk of victimization and abusiveness	
	Does the facility reassess an inmate's risk level when warranted due to a referral?	yes
	Does the facility reassess an inmate's risk level when warranted due to a request?	yes
	Does the facility reassess an inmate's risk level when warranted due to an incident of sexual abuse?	yes
	Does the facility reassess an inmate's risk level when warranted due to receipt of additional information that bears on the inmate's risk of sexual victimization or abusiveness?	yes
115.41 (h)	Screening for risk of victimization and abusiveness	
	Is it the case that inmates are not ever disciplined for refusing to answer, or for not disclosing complete information in response to, questions asked pursuant to paragraphs (d)(1), (d)(7), (d)(8), or (d)(9) of this section?	yes
115.41 (i)	Screening for risk of victimization and abusiveness	
	Has the agency implemented appropriate controls on the dissemination within the facility of responses to questions asked pursuant to this standard in order to ensure that sensitive	yes

	information is not exploited to the inmate's detriment by staff or other inmates?	
115.42 (a)	Use of screening information	
	Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Housing Assignments?	yes
	Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Bed assignments?	yes
	Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Work Assignments?	yes
	Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Education Assignments?	yes
	Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Program Assignments?	yes
115.42 (b)	Use of screening information	
	Does the agency make individualized determinations about how to ensure the safety of each inmate?	yes
115.42 (c)	Use of screening information	
	When deciding whether to assign a transgender or intersex inmate to a facility for male or female inmates, does the agency consider, on a case-by-case basis, whether a placement would ensure the inmate's health and safety, and whether a placement would present management or security problems (NOTE: if an agency by policy or practice assigns inmates to a male or female facility on the basis of anatomy alone, that agency is not in compliance with this standard)?	yes
	When making housing or other program assignments for transgender or intersex inmates, does the agency consider, on a case-by-case basis, whether a placement would ensure the inmate's health and safety, and whether a placement would	yes

	present management or security problems?	
115.42 (d)	Use of screening information	
	Are placement and programming assignments for each transgender or intersex inmate reassessed at least twice each year to review any threats to safety experienced by the inmate?	yes
115.42 (e)	Use of screening information	
	Are each transgender or intersex inmate's own views with respect to his or her own safety given serious consideration when making facility and housing placement decisions and programming assignments?	yes
115.42 (f)	Use of screening information	
	Are transgender and intersex inmates given the opportunity to shower separately from other inmates?	yes
115.42 (g)	Use of screening information	
	Unless placement is in a dedicated facility, unit, or wing established in connection with a consent decree, legal settlement, or legal judgment for the purpose of protecting lesbian, gay, bisexual, transgender, or intersex inmates, does the agency always refrain from placing: lesbian, gay, and bisexual inmates in dedicated facilities, units, or wings solely on the basis of such identification or status? (N/A if the agency has a dedicated facility, unit, or wing solely for the placement of LGBT or I inmates pursuant to a consent decree, legal settlement, or legal judgement.)	yes
	Unless placement is in a dedicated facility, unit, or wing established in connection with a consent decree, legal settlement, or legal judgment for the purpose of protecting lesbian, gay, bisexual, transgender, or intersex inmates, does the agency always refrain from placing: transgender inmates in dedicated facilities, units, or wings solely on the basis of such identification or status? (N/A if the agency has a dedicated facility, unit, or wing solely for the placement of LGBT or I inmates pursuant to a consent decree, legal settlement, or legal judgement.)	yes
	Unless placement is in a dedicated facility, unit, or wing established in connection with a consent decree, legal settlement, or legal judgment for the purpose of protecting lesbian, gay, bisexual, transgender, or intersex inmates, does the agency always refrain from placing: intersex inmates in dedicated facilities, units, or wings solely on the basis of such identification or status? (N/A if the agency has a dedicated facility, unit, or wing	yes

	solely for the placement of LGBT or I inmates pursuant to a consent degree, legal settlement, or legal judgement.)	
115.43 (a)	Protective Custody	
	Does the facility always refrain from placing inmates at high risk for sexual victimization in involuntary segregated housing unless an assessment of all available alternatives has been made, and a determination has been made that there is no available alternative means of separation from likely abusers?	yes
	If a facility cannot conduct such an assessment immediately, does the facility hold the inmate in involuntary segregated housing for less than 24 hours while completing the assessment?	yes
115.43 (b)	Protective Custody	
	Do inmates who are placed in segregated housing because they are at high risk of sexual victimization have access to: Programs to the extent possible?	yes
	Do inmates who are placed in segregated housing because they are at high risk of sexual victimization have access to: Privileges to the extent possible?	yes
	Do inmates who are placed in segregated housing because they are at high risk of sexual victimization have access to: Education to the extent possible?	yes
	Do inmates who are placed in segregated housing because they are at high risk of sexual victimization have access to: Work opportunities to the extent possible?	yes
	If the facility restricts any access to programs, privileges, education, or work opportunities, does the facility document the opportunities that have been limited? (N/A if the facility never restricts access to programs, privileges, education, or work opportunities.)	yes
	If the facility restricts access to programs, privileges, education, or work opportunities, does the facility document the duration of the limitation? (N/A if the facility never restricts access to programs, privileges, education, or work opportunities.)	yes
	If the facility restricts access to programs, privileges, education, or work opportunities, does the facility document the reasons for such limitations? (N/A if the facility never restricts access to programs, privileges, education, or work opportunities.)	yes
115.43 (c)	Protective Custody	

	Does the facility assign inmates at high risk of sexual victimization to involuntary segregated housing only until an alternative means of separation from likely abusers can be arranged?	yes
	Does such an assignment not ordinarily exceed a period of 30 days?	yes
115.43 (d) Protective Custody		
	If an involuntary segregated housing assignment is made pursuant to paragraph (a) of this section, does the facility clearly document: The basis for the facility's concern for the inmate's safety?	yes
	If an involuntary segregated housing assignment is made pursuant to paragraph (a) of this section, does the facility clearly document: The reason why no alternative means of separation can be arranged?	yes
115.43 (e) Protective Custody		
	In the case of each inmate who is placed in involuntary segregation because he/she is at high risk of sexual victimization, does the facility afford a review to determine whether there is a continuing need for separation from the general population EVERY 30 DAYS?	yes
115.51 (a) Inmate reporting		
	Does the agency provide multiple internal ways for inmates to privately report: Sexual abuse and sexual harassment?	yes
	Does the agency provide multiple internal ways for inmates to privately report: Retaliation by other inmates or staff for reporting sexual abuse and sexual harassment?	yes
	Does the agency provide multiple internal ways for inmates to privately report: Staff neglect or violation of responsibilities that may have contributed to such incidents?	yes
115.51 (b) Inmate reporting		
	Does the agency also provide at least one way for inmates to report sexual abuse or sexual harassment to a public or private entity or office that is not part of the agency?	yes
	Is that private entity or office able to receive and immediately forward inmate reports of sexual abuse and sexual harassment to agency officials?	yes
	Does that private entity or office allow the inmate to remain	yes

	anonymous upon request?	
	Are inmates detained solely for civil immigration purposes provided information on how to contact relevant consular officials and relevant officials at the Department of Homeland Security? (N/A if the facility never houses inmates detained solely for civil immigration purposes.)	na
115.51 (c)	Inmate reporting	
	Does staff accept reports of sexual abuse and sexual harassment made verbally, in writing, anonymously, and from third parties?	yes
	Does staff promptly document any verbal reports of sexual abuse and sexual harassment?	yes
115.51 (d)	Inmate reporting	
	Does the agency provide a method for staff to privately report sexual abuse and sexual harassment of inmates?	yes
115.52 (a)	Exhaustion of administrative remedies	
	Is the agency exempt from this standard? NOTE: The agency is exempt ONLY if it does not have administrative procedures to address inmate grievances regarding sexual abuse. This does not mean the agency is exempt simply because an inmate does not have to or is not ordinarily expected to submit a grievance to report sexual abuse. This means that as a matter of explicit policy, the agency does not have an administrative remedies process to address sexual abuse.	no
115.52 (b)	Exhaustion of administrative remedies	
	Does the agency permit inmates to submit a grievance regarding an allegation of sexual abuse without any type of time limits? (The agency may apply otherwise-applicable time limits to any portion of a grievance that does not allege an incident of sexual abuse.) (N/A if agency is exempt from this standard.)	yes
	Does the agency always refrain from requiring an inmate to use any informal grievance process, or to otherwise attempt to resolve with staff, an alleged incident of sexual abuse? (N/A if agency is exempt from this standard.)	yes
115.52 (c)	Exhaustion of administrative remedies	
	Does the agency ensure that: An inmate who alleges sexual abuse may submit a grievance without submitting it to a staff member who is the subject of the complaint? (N/A if agency is exempt from	yes

	this standard.)	
	Does the agency ensure that: Such grievance is not referred to a staff member who is the subject of the complaint? (N/A if agency is exempt from this standard.)	yes
115.52 (d)	Exhaustion of administrative remedies	
	Does the agency issue a final agency decision on the merits of any portion of a grievance alleging sexual abuse within 90 days of the initial filing of the grievance? (Computation of the 90-day time period does not include time consumed by inmates in preparing any administrative appeal.) (N/A if agency is exempt from this standard.)	yes
	If the agency claims the maximum allowable extension of time to respond of up to 70 days per 115.52(d)(3) when the normal time period for response is insufficient to make an appropriate decision, does the agency notify the inmate in writing of any such extension and provide a date by which a decision will be made? (N/A if agency is exempt from this standard.)	yes
	At any level of the administrative process, including the final level, if the inmate does not receive a response within the time allotted for reply, including any properly noticed extension, may an inmate consider the absence of a response to be a denial at that level? (N/A if agency is exempt from this standard.)	yes
115.52 (e)	Exhaustion of administrative remedies	
	Are third parties, including fellow inmates, staff members, family members, attorneys, and outside advocates, permitted to assist inmates in filing requests for administrative remedies relating to allegations of sexual abuse? (N/A if agency is exempt from this standard.)	yes
	Are those third parties also permitted to file such requests on behalf of inmates? (If a third party files such a request on behalf of an inmate, the facility may require as a condition of processing the request that the alleged victim agree to have the request filed on his or her behalf, and may also require the alleged victim to personally pursue any subsequent steps in the administrative remedy process.) (N/A if agency is exempt from this standard.)	yes
	If the inmate declines to have the request processed on his or her behalf, does the agency document the inmate's decision? (N/A if agency is exempt from this standard.)	yes
115.52 (f)	Exhaustion of administrative remedies	

	Has the agency established procedures for the filing of an emergency grievance alleging that an inmate is subject to a substantial risk of imminent sexual abuse? (N/A if agency is exempt from this standard.)	yes
	After receiving an emergency grievance alleging an inmate is subject to a substantial risk of imminent sexual abuse, does the agency immediately forward the grievance (or any portion thereof that alleges the substantial risk of imminent sexual abuse) to a level of review at which immediate corrective action may be taken? (N/A if agency is exempt from this standard.)	yes
	After receiving an emergency grievance described above, does the agency provide an initial response within 48 hours? (N/A if agency is exempt from this standard.)	yes
	After receiving an emergency grievance described above, does the agency issue a final agency decision within 5 calendar days? (N/A if agency is exempt from this standard.)	yes
	Does the initial response and final agency decision document the agency's determination whether the inmate is in substantial risk of imminent sexual abuse? (N/A if agency is exempt from this standard.)	yes
	Does the initial response document the agency's action(s) taken in response to the emergency grievance? (N/A if agency is exempt from this standard.)	yes
	Does the agency's final decision document the agency's action(s) taken in response to the emergency grievance? (N/A if agency is exempt from this standard.)	yes
115.52 (g)	Exhaustion of administrative remedies	
	If the agency disciplines an inmate for filing a grievance related to alleged sexual abuse, does it do so ONLY where the agency demonstrates that the inmate filed the grievance in bad faith? (N/A if agency is exempt from this standard.)	yes
115.53 (a)	Inmate access to outside confidential support services	
	Does the facility provide inmates with access to outside victim advocates for emotional support services related to sexual abuse by giving inmates mailing addresses and telephone numbers, including toll-free hotline numbers where available, of local, State, or national victim advocacy or rape crisis organizations?	yes
	Does the facility provide persons detained solely for civil immigration purposes mailing addresses and telephone numbers,	na

	including toll-free hotline numbers where available of local, State, or national immigrant services agencies? (N/A if the facility never has persons detained solely for civil immigration purposes.)	
	Does the facility enable reasonable communication between inmates and these organizations and agencies, in as confidential a manner as possible?	yes
115.53 (b)	Inmate access to outside confidential support services	
	Does the facility inform inmates, prior to giving them access, of the extent to which such communications will be monitored and the extent to which reports of abuse will be forwarded to authorities in accordance with mandatory reporting laws?	yes
115.53 (c)	Inmate access to outside confidential support services	
	Does the agency maintain or attempt to enter into memoranda of understanding or other agreements with community service providers that are able to provide inmates with confidential emotional support services related to sexual abuse?	yes
	Does the agency maintain copies of agreements or documentation showing attempts to enter into such agreements?	yes
115.54 (a)	Third-party reporting	
	Has the agency established a method to receive third-party reports of sexual abuse and sexual harassment?	yes
	Has the agency distributed publicly information on how to report sexual abuse and sexual harassment on behalf of an inmate?	yes
115.61 (a)	Staff and agency reporting duties	
	Does the agency require all staff to report immediately and according to agency policy any knowledge, suspicion, or information regarding an incident of sexual abuse or sexual harassment that occurred in a facility, whether or not it is part of the agency?	yes
	Does the agency require all staff to report immediately and according to agency policy any knowledge, suspicion, or information regarding retaliation against inmates or staff who reported an incident of sexual abuse or sexual harassment?	yes
	Does the agency require all staff to report immediately and according to agency policy any knowledge, suspicion, or information regarding any staff neglect or violation of responsibilities that may have contributed to an incident of sexual	yes

	abuse or sexual harassment or retaliation?	
115.61 (b)	Staff and agency reporting duties	
	Apart from reporting to designated supervisors or officials, does staff always refrain from revealing any information related to a sexual abuse report to anyone other than to the extent necessary, as specified in agency policy, to make treatment, investigation, and other security and management decisions?	yes
115.61 (c)	Staff and agency reporting duties	
	Unless otherwise precluded by Federal, State, or local law, are medical and mental health practitioners required to report sexual abuse pursuant to paragraph (a) of this section?	yes
	Are medical and mental health practitioners required to inform inmates of the practitioner's duty to report, and the limitations of confidentiality, at the initiation of services?	yes
115.61 (d)	Staff and agency reporting duties	
	If the alleged victim is under the age of 18 or considered a vulnerable adult under a State or local vulnerable persons statute, does the agency report the allegation to the designated State or local services agency under applicable mandatory reporting laws?	yes
115.61 (e)	Staff and agency reporting duties	
	Does the facility report all allegations of sexual abuse and sexual harassment, including third-party and anonymous reports, to the facility's designated investigators?	yes
115.62 (a)	Agency protection duties	
	When the agency learns that an inmate is subject to a substantial risk of imminent sexual abuse, does it take immediate action to protect the inmate?	yes
115.63 (a)	Reporting to other confinement facilities	
	Upon receiving an allegation that an inmate was sexually abused while confined at another facility, does the head of the facility that received the allegation notify the head of the facility or appropriate office of the agency where the alleged abuse occurred?	yes
115.63 (b)	Reporting to other confinement facilities	
	Is such notification provided as soon as possible, but no later than 72 hours after receiving the allegation?	yes

115.63 (c)	Reporting to other confinement facilities	
	Does the agency document that it has provided such notification?	yes
115.63 (d)	Reporting to other confinement facilities	
	Does the facility head or agency office that receives such notification ensure that the allegation is investigated in accordance with these standards?	yes
115.64 (a)	Staff first responder duties	
	Upon learning of an allegation that an inmate was sexually abused, is the first security staff member to respond to the report required to: Separate the alleged victim and abuser?	yes
	Upon learning of an allegation that an inmate was sexually abused, is the first security staff member to respond to the report required to: Preserve and protect any crime scene until appropriate steps can be taken to collect any evidence?	yes
	Upon learning of an allegation that an inmate was sexually abused, is the first security staff member to respond to the report required to: Request that the alleged victim not take any actions that could destroy physical evidence, including, as appropriate, washing, brushing teeth, changing clothes, urinating, defecating, smoking, drinking, or eating, if the abuse occurred within a time period that still allows for the collection of physical evidence?	yes
	Upon learning of an allegation that an inmate was sexually abused, is the first security staff member to respond to the report required to: Ensure that the alleged abuser does not take any actions that could destroy physical evidence, including, as appropriate, washing, brushing teeth, changing clothes, urinating, defecating, smoking, drinking, or eating, if the abuse occurred within a time period that still allows for the collection of physical evidence?	yes
115.64 (b)	Staff first responder duties	
	If the first staff responder is not a security staff member, is the responder required to request that the alleged victim not take any actions that could destroy physical evidence, and then notify security staff?	yes
115.65 (a)	Coordinated response	
	Has the facility developed a written institutional plan to coordinate actions among staff first responders, medical and mental health practitioners, investigators, and facility leadership taken in	yes

	response to an incident of sexual abuse?	
115.66 (a)	Preservation of ability to protect inmates from contact with abusers	
	Are both the agency and any other governmental entities responsible for collective bargaining on the agency's behalf prohibited from entering into or renewing any collective bargaining agreement or other agreement that limit the agency's ability to remove alleged staff sexual abusers from contact with any inmates pending the outcome of an investigation or of a determination of whether and to what extent discipline is warranted?	yes
115.67 (a)	Agency protection against retaliation	
	Has the agency established a policy to protect all inmates and staff who report sexual abuse or sexual harassment or cooperate with sexual abuse or sexual harassment investigations from retaliation by other inmates or staff?	yes
	Has the agency designated which staff members or departments are charged with monitoring retaliation?	yes
115.67 (b)	Agency protection against retaliation	
	Does the agency employ multiple protection measures, such as housing changes or transfers for inmate victims or abusers, removal of alleged staff or inmate abusers from contact with victims, and emotional support services for inmates or staff who fear retaliation for reporting sexual abuse or sexual harassment or for cooperating with investigations?	yes
115.67 (c)	Agency protection against retaliation	
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor the conduct and treatment of inmates or staff who reported the sexual abuse to see if there are changes that may suggest possible retaliation by inmates or staff?	yes
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor the conduct and treatment of inmates who were reported to have suffered sexual abuse to see if there are changes that may suggest possible retaliation by inmates or staff?	yes
	Except in instances where the agency determines that a report of	yes

	sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Act promptly to remedy any such retaliation?	
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor any inmate disciplinary reports?	yes
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor inmate housing changes?	yes
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor inmate program changes?	yes
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor negative performance reviews of staff?	yes
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor reassignments of staff?	yes
	Does the agency continue such monitoring beyond 90 days if the initial monitoring indicates a continuing need?	yes
115.67 (d)	Agency protection against retaliation	
	In the case of inmates, does such monitoring also include periodic status checks?	yes
115.67 (e)	Agency protection against retaliation	
	If any other individual who cooperates with an investigation expresses a fear of retaliation, does the agency take appropriate measures to protect that individual against retaliation?	yes
115.68 (a)	Post-allegation protective custody	
	Is any and all use of segregated housing to protect an inmate who is alleged to have suffered sexual abuse subject to the requirements of § 115.43?	yes
115.71 (a)	Criminal and administrative agency investigations	
	When the agency conducts its own investigations into allegations	yes

	of sexual abuse and sexual harassment, does it do so promptly, thoroughly, and objectively? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations. See 115.21(a).)	
	Does the agency conduct such investigations for all allegations, including third party and anonymous reports? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations. See 115.21(a).)	yes
115.71 (b)	Criminal and administrative agency investigations	
	Where sexual abuse is alleged, does the agency use investigators who have received specialized training in sexual abuse investigations as required by 115.34?	yes
115.71 (c)	Criminal and administrative agency investigations	
	Do investigators gather and preserve direct and circumstantial evidence, including any available physical and DNA evidence and any available electronic monitoring data?	yes
	Do investigators interview alleged victims, suspected perpetrators, and witnesses?	yes
	Do investigators review prior reports and complaints of sexual abuse involving the suspected perpetrator?	yes
115.71 (d)	Criminal and administrative agency investigations	
	When the quality of evidence appears to support criminal prosecution, does the agency conduct compelled interviews only after consulting with prosecutors as to whether compelled interviews may be an obstacle for subsequent criminal prosecution?	yes
115.71 (e)	Criminal and administrative agency investigations	
	Do agency investigators assess the credibility of an alleged victim, suspect, or witness on an individual basis and not on the basis of that individual's status as inmate or staff?	yes
	Does the agency investigate allegations of sexual abuse without requiring an inmate who alleges sexual abuse to submit to a polygraph examination or other truth-telling device as a condition for proceeding?	yes
115.71 (f)	Criminal and administrative agency investigations	
	Do administrative investigations include an effort to determine whether staff actions or failures to act contributed to the abuse?	yes

	Are administrative investigations documented in written reports that include a description of the physical evidence and testimonial evidence, the reasoning behind credibility assessments, and investigative facts and findings?	yes
115.71 (g)	Criminal and administrative agency investigations	
	Are criminal investigations documented in a written report that contains a thorough description of the physical, testimonial, and documentary evidence and attaches copies of all documentary evidence where feasible?	yes
115.71 (h)	Criminal and administrative agency investigations	
	Are all substantiated allegations of conduct that appears to be criminal referred for prosecution?	yes
115.71 (i)	Criminal and administrative agency investigations	
	Does the agency retain all written reports referenced in 115.71(f) and (g) for as long as the alleged abuser is incarcerated or employed by the agency, plus five years?	yes
115.71 (j)	Criminal and administrative agency investigations	
	Does the agency ensure that the departure of an alleged abuser or victim from the employment or control of the agency does not provide a basis for terminating an investigation?	yes
115.71 (l)	Criminal and administrative agency investigations	
	When an outside entity investigates sexual abuse, does the facility cooperate with outside investigators and endeavor to remain informed about the progress of the investigation? (N/A if an outside agency does not conduct administrative or criminal sexual abuse investigations. See 115.21(a).)	yes
115.72 (a)	Evidentiary standard for administrative investigations	
	Is it true that the agency does not impose a standard higher than a preponderance of the evidence in determining whether allegations of sexual abuse or sexual harassment are substantiated?	yes
115.73 (a)	Reporting to inmates	
	Following an investigation into an inmate's allegation that he or she suffered sexual abuse in an agency facility, does the agency inform the inmate as to whether the allegation has been determined to be substantiated, unsubstantiated, or unfounded?	yes

115.73 (b)	Reporting to inmates	
	If the agency did not conduct the investigation into an inmate's allegation of sexual abuse in an agency facility, does the agency request the relevant information from the investigative agency in order to inform the inmate? (N/A if the agency/facility is responsible for conducting administrative and criminal investigations.)	na
115.73 (c)	Reporting to inmates	
	Following an inmate's allegation that a staff member has committed sexual abuse against the resident, unless the agency has determined that the allegation is unfounded, or unless the inmate has been released from custody, does the agency subsequently inform the resident whenever: The staff member is no longer posted within the inmate's unit?	yes
	Following an inmate's allegation that a staff member has committed sexual abuse against the resident, unless the agency has determined that the allegation is unfounded, or unless the resident has been released from custody, does the agency subsequently inform the resident whenever: The staff member is no longer employed at the facility?	yes
	Following an inmate's allegation that a staff member has committed sexual abuse against the resident, unless the agency has determined that the allegation is unfounded, or unless the resident has been released from custody, does the agency subsequently inform the resident whenever: The agency learns that the staff member has been indicted on a charge related to sexual abuse in the facility?	yes
	Following an inmate's allegation that a staff member has committed sexual abuse against the resident, unless the agency has determined that the allegation is unfounded, or unless the resident has been released from custody, does the agency subsequently inform the resident whenever: The agency learns that the staff member has been convicted on a charge related to sexual abuse within the facility?	yes
115.73 (d)	Reporting to inmates	
	Following an inmate's allegation that he or she has been sexually abused by another inmate, does the agency subsequently inform the alleged victim whenever: The agency learns that the alleged abuser has been indicted on a charge related to sexual abuse within the facility?	yes
	Following an inmate's allegation that he or she has been sexually	yes

	abused by another inmate, does the agency subsequently inform the alleged victim whenever: The agency learns that the alleged abuser has been convicted on a charge related to sexual abuse within the facility?	
115.73 (e)	Reporting to inmates	
	Does the agency document all such notifications or attempted notifications?	yes
115.76 (a)	Disciplinary sanctions for staff	
	Are staff subject to disciplinary sanctions up to and including termination for violating agency sexual abuse or sexual harassment policies?	yes
115.76 (b)	Disciplinary sanctions for staff	
	Is termination the presumptive disciplinary sanction for staff who have engaged in sexual abuse?	yes
115.76 (c)	Disciplinary sanctions for staff	
	Are disciplinary sanctions for violations of agency policies relating to sexual abuse or sexual harassment (other than actually engaging in sexual abuse) commensurate with the nature and circumstances of the acts committed, the staff member's disciplinary history, and the sanctions imposed for comparable offenses by other staff with similar histories?	yes
115.76 (d)	Disciplinary sanctions for staff	
	Are all terminations for violations of agency sexual abuse or sexual harassment policies, or resignations by staff who would have been terminated if not for their resignation, reported to: Law enforcement agencies (unless the activity was clearly not criminal)?	yes
	Are all terminations for violations of agency sexual abuse or sexual harassment policies, or resignations by staff who would have been terminated if not for their resignation, reported to: Relevant licensing bodies?	yes
115.77 (a)	Corrective action for contractors and volunteers	
	Is any contractor or volunteer who engages in sexual abuse prohibited from contact with inmates?	yes
	Is any contractor or volunteer who engages in sexual abuse reported to: Law enforcement agencies (unless the activity was clearly not criminal)?	yes

	Is any contractor or volunteer who engages in sexual abuse reported to: Relevant licensing bodies?	yes
115.77 (b)	Corrective action for contractors and volunteers	
	In the case of any other violation of agency sexual abuse or sexual harassment policies by a contractor or volunteer, does the facility take appropriate remedial measures, and consider whether to prohibit further contact with inmates?	yes
115.78 (a)	Disciplinary sanctions for inmates	
	Following an administrative finding that an inmate engaged in inmate-on-inmate sexual abuse, or following a criminal finding of guilt for inmate-on-inmate sexual abuse, are inmates subject to disciplinary sanctions pursuant to a formal disciplinary process?	yes
115.78 (b)	Disciplinary sanctions for inmates	
	Are sanctions commensurate with the nature and circumstances of the abuse committed, the inmate's disciplinary history, and the sanctions imposed for comparable offenses by other inmates with similar histories?	yes
115.78 (c)	Disciplinary sanctions for inmates	
	When determining what types of sanction, if any, should be imposed, does the disciplinary process consider whether an inmate's mental disabilities or mental illness contributed to his or her behavior?	yes
115.78 (d)	Disciplinary sanctions for inmates	
	If the facility offers therapy, counseling, or other interventions designed to address and correct underlying reasons or motivations for the abuse, does the facility consider whether to require the offending inmate to participate in such interventions as a condition of access to programming and other benefits?	yes
115.78 (e)	Disciplinary sanctions for inmates	
	Does the agency discipline an inmate for sexual contact with staff only upon a finding that the staff member did not consent to such contact?	yes
115.78 (f)	Disciplinary sanctions for inmates	
	For the purpose of disciplinary action does a report of sexual abuse made in good faith based upon a reasonable belief that the alleged conduct occurred NOT constitute falsely reporting an incident or lying, even if an investigation does not establish	yes

	evidence sufficient to substantiate the allegation?	
115.78 (g)	Disciplinary sanctions for inmates	
	If the agency prohibits all sexual activity between inmates, does the agency always refrain from considering non-coercive sexual activity between inmates to be sexual abuse? (N/A if the agency does not prohibit all sexual activity between inmates.)	yes
115.81 (a)	Medical and mental health screenings; history of sexual abuse	
	If the screening pursuant to § 115.41 indicates that a prison inmate has experienced prior sexual victimization, whether it occurred in an institutional setting or in the community, do staff ensure that the inmate is offered a follow-up meeting with a medical or mental health practitioner within 14 days of the intake screening? (N/A if the facility is not a prison).	yes
115.81 (b)	Medical and mental health screenings; history of sexual abuse	
	If the screening pursuant to § 115.41 indicates that a prison inmate has previously perpetrated sexual abuse, whether it occurred in an institutional setting or in the community, do staff ensure that the inmate is offered a follow-up meeting with a mental health practitioner within 14 days of the intake screening? (N/A if the facility is not a prison.)	yes
115.81 (c)	Medical and mental health screenings; history of sexual abuse	
	If the screening pursuant to § 115.41 indicates that a jail inmate has experienced prior sexual victimization, whether it occurred in an institutional setting or in the community, do staff ensure that the inmate is offered a follow-up meeting with a medical or mental health practitioner within 14 days of the intake screening? (N/A if the facility is not a jail).	na
115.81 (d)	Medical and mental health screenings; history of sexual abuse	
	Is any information related to sexual victimization or abusiveness that occurred in an institutional setting strictly limited to medical and mental health practitioners and other staff as necessary to inform treatment plans and security management decisions, including housing, bed, work, education, and program assignments, or as otherwise required by Federal, State, or local law?	yes
115.81 (e)	Medical and mental health screenings; history of sexual abuse	
	Do medical and mental health practitioners obtain informed consent from inmates before reporting information about prior	yes

	sexual victimization that did not occur in an institutional setting, unless the inmate is under the age of 18?	
115.82 (a)	Access to emergency medical and mental health services	
	Do inmate victims of sexual abuse receive timely, unimpeded access to emergency medical treatment and crisis intervention services, the nature and scope of which are determined by medical and mental health practitioners according to their professional judgment?	yes
115.82 (b)	Access to emergency medical and mental health services	
	If no qualified medical or mental health practitioners are on duty at the time a report of recent sexual abuse is made, do security staff first responders take preliminary steps to protect the victim pursuant to § 115.62?	yes
	Do security staff first responders immediately notify the appropriate medical and mental health practitioners?	yes
115.82 (c)	Access to emergency medical and mental health services	
	Are inmate victims of sexual abuse offered timely information about and timely access to emergency contraception and sexually transmitted infections prophylaxis, in accordance with professionally accepted standards of care, where medically appropriate?	yes
115.82 (d)	Access to emergency medical and mental health services	
	Are treatment services provided to the victim without financial cost and regardless of whether the victim names the abuser or cooperates with any investigation arising out of the incident?	yes
115.83 (a)	Ongoing medical and mental health care for sexual abuse victims and abusers	
	Does the facility offer medical and mental health evaluation and, as appropriate, treatment to all inmates who have been victimized by sexual abuse in any prison, jail, lockup, or juvenile facility?	yes
115.83 (b)	Ongoing medical and mental health care for sexual abuse victims and abusers	
	Does the evaluation and treatment of such victims include, as appropriate, follow-up services, treatment plans, and, when necessary, referrals for continued care following their transfer to, or placement in, other facilities, or their release from custody?	yes
115.83 (c)	Ongoing medical and mental health care for sexual abuse	

	victims and abusers	
	Does the facility provide such victims with medical and mental health services consistent with the community level of care?	yes
115.83 (d)	Ongoing medical and mental health care for sexual abuse victims and abusers	
	Are inmate victims of sexually abusive vaginal penetration while incarcerated offered pregnancy tests? (N/A if "all male" facility. Note: in "all male" facilities there may be inmates who identify as transgender men who may have female genitalia. Auditors should be sure to know whether such individuals may be in the population and whether this provision may apply in specific circumstances.)	na
115.83 (e)	Ongoing medical and mental health care for sexual abuse victims and abusers	
	If pregnancy results from the conduct described in paragraph § 115.83(d), do such victims receive timely and comprehensive information about and timely access to all lawful pregnancy-related medical services? (N/A if "all male" facility. Note: in "all male" facilities there may be inmates who identify as transgender men who may have female genitalia. Auditors should be sure to know whether such individuals may be in the population and whether this provision may apply in specific circumstances.)	na
115.83 (f)	Ongoing medical and mental health care for sexual abuse victims and abusers	
	Are inmate victims of sexual abuse while incarcerated offered tests for sexually transmitted infections as medically appropriate?	yes
115.83 (g)	Ongoing medical and mental health care for sexual abuse victims and abusers	
	Are treatment services provided to the victim without financial cost and regardless of whether the victim names the abuser or cooperates with any investigation arising out of the incident?	yes
115.83 (h)	Ongoing medical and mental health care for sexual abuse victims and abusers	
	If the facility is a prison, does it attempt to conduct a mental health evaluation of all known inmate-on-inmate abusers within 60 days of learning of such abuse history and offer treatment when deemed appropriate by mental health practitioners? (NA if the facility is a jail.)	yes

115.86 (a)	Sexual abuse incident reviews	
	Does the facility conduct a sexual abuse incident review at the conclusion of every sexual abuse investigation, including where the allegation has not been substantiated, unless the allegation has been determined to be unfounded?	yes
115.86 (b)	Sexual abuse incident reviews	
	Does such review ordinarily occur within 30 days of the conclusion of the investigation?	yes
115.86 (c)	Sexual abuse incident reviews	
	Does the review team include upper-level management officials, with input from line supervisors, investigators, and medical or mental health practitioners?	yes
115.86 (d)	Sexual abuse incident reviews	
	Does the review team: Consider whether the allegation or investigation indicates a need to change policy or practice to better prevent, detect, or respond to sexual abuse?	yes
	Does the review team: Consider whether the incident or allegation was motivated by race; ethnicity; gender identity; lesbian, gay, bisexual, transgender, or intersex identification, status, or perceived status; gang affiliation; or other group dynamics at the facility?	yes
	Does the review team: Examine the area in the facility where the incident allegedly occurred to assess whether physical barriers in the area may enable abuse?	yes
	Does the review team: Assess the adequacy of staffing levels in that area during different shifts?	yes
	Does the review team: Assess whether monitoring technology should be deployed or augmented to supplement supervision by staff?	yes
	Does the review team: Prepare a report of its findings, including but not necessarily limited to determinations made pursuant to §§ 115.86(d)(1)-(d)(5), and any recommendations for improvement and submit such report to the facility head and PREA compliance manager?	yes
115.86 (e)	Sexual abuse incident reviews	
	Does the facility implement the recommendations for improvement, or document its reasons for not doing so?	yes

115.87 (a)	Data collection	
	Does the agency collect accurate, uniform data for every allegation of sexual abuse at facilities under its direct control using a standardized instrument and set of definitions?	yes
115.87 (b)	Data collection	
	Does the agency aggregate the incident-based sexual abuse data at least annually?	yes
115.87 (c)	Data collection	
	Does the incident-based data include, at a minimum, the data necessary to answer all questions from the most recent version of the Survey of Sexual Violence conducted by the Department of Justice?	yes
115.87 (d)	Data collection	
	Does the agency maintain, review, and collect data as needed from all available incident-based documents, including reports, investigation files, and sexual abuse incident reviews?	yes
115.87 (e)	Data collection	
	Does the agency also obtain incident-based and aggregated data from every private facility with which it contracts for the confinement of its inmates? (N/A if agency does not contract for the confinement of its inmates.)	na
115.87 (f)	Data collection	
	Does the agency, upon request, provide all such data from the previous calendar year to the Department of Justice no later than June 30? (N/A if DOJ has not requested agency data.)	yes
115.88 (a)	Data review for corrective action	
	Does the agency review data collected and aggregated pursuant to § 115.87 in order to assess and improve the effectiveness of its sexual abuse prevention, detection, and response policies, practices, and training, including by: Identifying problem areas?	yes
	Does the agency review data collected and aggregated pursuant to § 115.87 in order to assess and improve the effectiveness of its sexual abuse prevention, detection, and response policies, practices, and training, including by: Taking corrective action on an ongoing basis?	yes
	Does the agency review data collected and aggregated pursuant	yes

	to § 115.87 in order to assess and improve the effectiveness of its sexual abuse prevention, detection, and response policies, practices, and training, including by: Preparing an annual report of its findings and corrective actions for each facility, as well as the agency as a whole?	
115.88 (b)	Data review for corrective action	
	Does the agency's annual report include a comparison of the current year's data and corrective actions with those from prior years and provide an assessment of the agency's progress in addressing sexual abuse?	yes
115.88 (c)	Data review for corrective action	
	Is the agency's annual report approved by the agency head and made readily available to the public through its website or, if it does not have one, through other means?	yes
115.88 (d)	Data review for corrective action	
	Does the agency indicate the nature of the material redacted where it redacts specific material from the reports when publication would present a clear and specific threat to the safety and security of a facility?	yes
115.89 (a)	Data storage, publication, and destruction	
	Does the agency ensure that data collected pursuant to § 115.87 are securely retained?	yes
115.89 (b)	Data storage, publication, and destruction	
	Does the agency make all aggregated sexual abuse data, from facilities under its direct control and private facilities with which it contracts, readily available to the public at least annually through its website or, if it does not have one, through other means?	yes
115.89 (c)	Data storage, publication, and destruction	
	Does the agency remove all personal identifiers before making aggregated sexual abuse data publicly available?	yes
115.89 (d)	Data storage, publication, and destruction	
	Does the agency maintain sexual abuse data collected pursuant to § 115.87 for at least 10 years after the date of the initial collection, unless Federal, State, or local law requires otherwise?	yes
115.401 (a)	Frequency and scope of audits	

	During the prior three-year audit period, did the agency ensure that each facility operated by the agency, or by a private organization on behalf of the agency, was audited at least once? (Note: The response here is purely informational. A "no" response does not impact overall compliance with this standard.)	yes
115.401 (b)	Frequency and scope of audits	
	Is this the first year of the current audit cycle? (Note: a "no" response does not impact overall compliance with this standard.)	no
	If this is the second year of the current audit cycle, did the agency ensure that at least one-third of each facility type operated by the agency, or by a private organization on behalf of the agency, was audited during the first year of the current audit cycle? (N/A if this is not the second year of the current audit cycle.)	yes
	If this is the third year of the current audit cycle, did the agency ensure that at least two-thirds of each facility type operated by the agency, or by a private organization on behalf of the agency, were audited during the first two years of the current audit cycle? (N/A if this is not the third year of the current audit cycle.)	na
115.401 (h)	Frequency and scope of audits	
	Did the auditor have access to, and the ability to observe, all areas of the audited facility?	yes
115.401 (i)	Frequency and scope of audits	
	Was the auditor permitted to request and receive copies of any relevant documents (including electronically stored information)?	yes
115.401 (m)	Frequency and scope of audits	
	Was the auditor permitted to conduct private interviews with inmates, residents, and detainees?	yes
115.401 (n)	Frequency and scope of audits	
	Were inmates permitted to send confidential information or correspondence to the auditor in the same manner as if they were communicating with legal counsel?	yes
115.403	Audit contents and findings	

(f)		
	The agency has published on its agency website, if it has one, or has otherwise made publicly available, all Final Audit Reports. The review period is for prior audits completed during the past three years PRECEDING THIS AUDIT. The pendency of any agency appeal pursuant to 28 C.F.R. § 115.405 does not excuse noncompliance with this provision. (N/A if there have been no Final Audit Reports issued in the past three years, or, in the case of single facility agencies, there has never been a Final Audit Report issued.)	yes